



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : C07H 21/00, 21/02, 21/04, 19/06, 19/16, 19/10, 19/20		A1	(11) International Publication Number: WO 00/08042 (43) International Publication Date: 17 February 2000 (17.02.00)
(21) International Application Number: PCT/US99/17988		(74) Agents: CALDWELL, John, W. et al.; Woodcock Washburn Kurtz Mackiewicz & Norris LLP, 46th floor, One Liberty Place, Philadelphia, PA 19103 (US).	
(22) International Filing Date: 9 August 1999 (09.08.99)			
(30) Priority Data: 09/130,973 7 August 1998 (07.08.98) US		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(63) Related by Continuation (CON) or Continuation-in-Part (CIP) to Earlier Application US 09/130,973 (CIP) Filed on 7 August 1998 (07.08.98)			
(71) Applicant (for all designated States except US): ISIS PHARMACEUTICALS, INC. [US/US]; 2292 Faraday Avenue, Carlsbad, CA 92008 (US).			
(72) Inventors; and (75) Inventors/Applicants (for US only): MANOHARAN, Muthiah [US/US]; 7634 Reposado Drive, Carlsbad, CA 92009 (US). COOK, Phillip, Dan [US/US]; 5237 Olive Hill Road, Fallbrook, CA 92028 (US). PRAKASH, Thazha, P. [IN/US]; Apartment #12, 2703 Avenida De Anita, Carlsbad, CA 92008 (US). KAWASAKI, Andrew, M. [US/US]; 2395 Rancho del Oro Road #31, Oceanside, CA 92056 (US).		Published With international search report.	

(54) Title: AMINOOXY-MODIFIED NUCLEOSIDIC COMPOUNDS AND OLIGOMERIC COMPOUNDS PREPARED THEREFROM

(57) Abstract

Nucleosidic monomers and oligomeric compounds prepared therefrom are provided which have increased nuclease resistance, substituent groups (such as 2'-aminooxy groups) for increasing binding affinity to complementary strand, and regions of 2'-deoxy-*erythro*-pentofuranosyl nucleotides that activate RNase H. Such oligomeric compounds are useful for diagnostics and other research purposes, for modulating the expression of a protein in organisms, and for the diagnosis, detection and treatment of other conditions susceptible to oligonucleotide therapeutics.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		

**AMINOXY-MODIFIED NUCLEOSIDIC COMPOUNDS AND
OLIGOMERIC COMPOUNDS PREPARED THEREFROM**

RELATED APPLICATION DATA

This patent application is a continuation-in-part
5 of Application Serial No. 09/130,973, and Application Serial
No. 09/344,260, which is a continuation-in-part of
Application Serial No. 09/016,520, filed on January 30,
1998, which claims priority benefit of U.S. Provisional
Application Serial No. 60/037,143, filed on February 14,
10 1997. The contents of each of the foregoing applications
are incorporated herein by reference in their entirety.

FIELD OF THE INVENTION

This invention is directed to aminoxy-modified
nucleosides and oligonucleotides, to oligonucleotides that
15 elicit RNase H for cleavage in a complementary nucleic acid
strand, and to oligonucleotides wherein at least some of the
nucleotides are functionalized to be nuclease resistant, at
least some of the nucleotides of the oligonucleotide
including a substituent that potentiates hybridization of
20 the oligonucleotide to a complementary strand of nucleic
acid, and at least some of the nucleotides of the oligonu-
cleotide include 2'-deoxy-*erythro*-pentofuranosyl sugar

- 2 -

moiety. The inclusion of one or more aminoxy moieties in such oligonucleotide provides, *inter alia*, for improved binding of the oligonucleotides to a complementary strand. The oligonucleotides and macromolecules are useful for 5 therapeutics, diagnostics and as research reagents.

BACKGROUND OF THE INVENTION

Oligonucleotides are known to hybridize to single-stranded RNA or single-stranded DNA. Hybridization is the sequence specific base pair hydrogen bonding of bases of the 10 oligonucleotides to bases of target RNA or DNA. Such base pairs are said to be complementary to one another.

In determining the extent of hybridization of an oligonucleotide to a complementary nucleic acid, the relative ability of an oligonucleotide to bind to the 15 complementary nucleic acid may be compared by determining the melting temperature of a particular hybridization complex. The melting temperature (T_m), a characteristic physical property of double helices, denotes the temperature in degrees centigrade, at which 50% helical (hybridized) 20 versus coil (unhybridized) forms are present. T_m is measured by using the UV spectrum to determine the formation and breakdown (melting) of the hybridization complex. Base stacking which occurs during hybridization, is accompanied by a reduction in UV absorption (hypochromicity). 25 Consequently, a reduction in UV absorption indicates a higher T_m . The higher the T_m , the greater the strength of the bonds between the strands.

Oligonucleotides can be used to effect enzymatic cleavage of a target RNA by using the intracellular enzyme, 30 RNase H. The mechanism of such RNase H cleavage requires that a 2'-deoxyribofuranosyl oligonucleotide hybridize to a target RNA. The resulting DNA-RNA duplex activates the RNase H enzyme and the activated enzyme cleaves the RNA strand. Cleavage of the RNA strand destroys the normal

- 3 -

function of the RNA. Phosphorothioate oligonucleotides operate via this type of mechanism. However, for a DNA oligonucleotide to be useful for cellular activation of RNase H, the oligonucleotide must be reasonably stable to 5 nucleases in order to survive in a cell for a time period sufficient for RNase H activation. For non-cellular uses, such as use of oligonucleotides as research reagents, such nuclease stability may not be necessary.

Several publications of Walder *et al.* describe the 10 interaction of RNase H and oligonucleotides. Of particular interest are: (1) Dagle *et al.*, *Nucleic Acids Research* **1990**, 18, 4751; (2) Dagle *et al.*, *Antisense Research And Development* **1991**, 1, 11; (3) Eder *et al.*, *J. Biol. Chem.* **1991**, 266, 6472; and (4) Dagle *et al.*, *Nucleic Acids Research* **1991**, 19, 1805. According to these publications, 15 DNA oligonucleotides having both unmodified phosphodiester internucleoside linkages and modified phosphorothioate internucleoside linkages are substrates for cellular RNase H. Since they are substrates, they activate the cleavage of 20 target RNA by RNase H. However, the authors further note that in *Xenopus* embryos, both phosphodiester linkages and phosphorothioate linkages are also subject to exonuclease degradation. Such nuclease degradation is detrimental since 25 it rapidly depletes the oligonucleotide available for RNase H activation.

As described in references (1), (2) and (4), to stabilize oligonucleotides against nuclease degradation while still providing for RNase H activation, 2'-deoxy oligonucleotides having a short section of phosphodiester 30 linked nucleotides positioned between sections of phosphoramidate, alkyl phosphonate or phosphotriester linkages were constructed. Although the phosphoramidate-containing oligonucleotides were stabilized against exonucleases, in reference (4) the authors noted that each phos-

- 4 -

phoramidate linkage resulted in a loss of 1.6°C in the measured T_m value of the phosphoramidate containing oligonucleotides. Such a decrease in the T_m value is indicative of an decrease in hybridization between the oligonucleotide and 5 its target strand.

Other authors have commented on the effect such a loss of hybridization between an oligonucleotide and its target strand can have. Saison-Behmoaras *et al.*, *EMBO Journal* 1991, 10, 1111, observed that even though an 10 oligonucleotide could be a substrate for RNase H, cleavage efficiency by RNase H was low because of weak hybridization to the mRNA. The authors also noted that the inclusion of an acridine substitution at the 3' end of the 15 oligonucleotide protected the oligonucleotide from exonucleases.

U.S. Patent 5,013,830, issued May 7, 1991, discloses mixed oligomers comprising an RNA oligomer, or a derivative thereof, conjugated to a DNA oligomer via a phosphodiester linkage. The RNA oligomers also bear 2'-O- 20 alkyl substituents. However, being phosphodiesters, the oligomers are susceptible to nuclease cleavage.

European Patent application 339,842, filed April 13, 1989, discloses 2'-O-substituted phosphorothioate 25 oligonucleotides, including 2'-O-methylribonucleotide phosphorothioate derivatives. The above-mentioned application also discloses 2'-O-methyl phosphodiester oligonucleotides which lack nuclease resistance.

U.S. Patent 5,149,797, issued September 22, 1992, discloses mixed phosphate backbone oligonucleotides which 30 include an internal portion of deoxynucleotides linked by phosphodiester linkages, and flanked on each side by a portion of modified DNA or RNA sequences. The flanking sequences include methyl phosphonate, phosphoromorpholidate, phosphoropiperazidate or phosphoramidate linkages.

35 U.S. Patent 5,256,775, issued October 26, 1993,

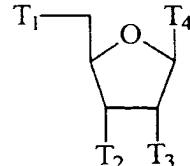
- 5 -

describe mixed oligonucleotides that incorporate phosphoramidate linkages and phosphorothioate or phosphorodithioate linkages.

Although it has been recognized that cleavage of a target RNA strand using an oligonucleotide and RNase H would be useful, nuclease resistance of the oligonucleotide and fidelity of hybridization are of great importance in the development of oligonucleotide therapeutics. Accordingly, there remains a long-felt need for methods and materials that could activate RNase H while concurrently maintaining or improving hybridization properties and providing nuclease resistance. Such oligonucleotides are also desired as research reagents and diagnostic agents.

BRIEF DESCRIPTION OF THE INVENTION

15 In accordance with one embodiment of this invention there are provided compounds of the structure:



wherein:

20 T_4 is Bx or Bx-L where Bx is a heterocyclic base moiety;

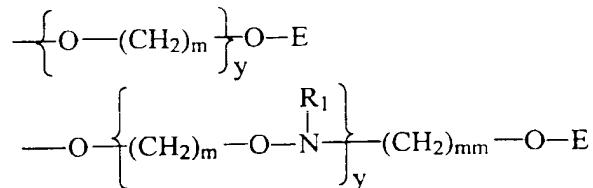
one of T_1 , T_2 and T_3 is L, hydrogen, hydroxyl, a protected hydroxyl or a sugar substituent group;

another one of T_1 , T_2 and T_3 is L, hydroxyl, a protected hydroxyl, a connection to a solid support or an activated phosphorus group;

the remaining one of T_1 , T_2 and T_3 is L, hydrogen, hydroxyl or a sugar substituent group provided that at least

- 6 -

one of T_1 , T_2 , T_3 and T_4 is L or $Bx-L$;
said group L having one of the formulas;



wherein:

5 each m and $m\text{m}$ is, independently, from 1 to 10;
 y is from 1 to 10;
 E is $\text{N}(\text{R}_1)(\text{R}_2)$ or $\text{N}=\text{C}(\text{R}_1)(\text{R}_2)$;
 each R_1 and R_2 is, independently, H, a nitrogen protecting group, substituted or unsubstituted $\text{C}_1\text{-C}_{10}$ alkyl, substituted or unsubstituted $\text{C}_2\text{-C}_{10}$ alkenyl, substituted or unsubstituted $\text{C}_2\text{-C}_{10}$ alkynyl, wherein said substitution is OR_3 , SR_3 , NH_3^+ , $\text{N}(\text{R}_3)(\text{R}_4)$, guanidino or acyl where said acyl is an acid, amide or an ester;
 or R_1 and R_2 , together, are a nitrogen protecting group or are joined in a ring structure that optionally includes an additional heteroatom selected from N and O; and
15 each R_3 and R_4 is, independently, H, $\text{C}_1\text{-C}_{10}$ alkyl, a nitrogen protecting group, or R_3 and R_4 , together, are a nitrogen protecting group;
20 or R_3 and R_4 are joined in a ring structure that optionally includes an additional heteroatom selected from N and O.
 In some preferred embodiments, one of T_1 , T_2 or T_3 is L. In further preferred embodiments T_3 is L.
25 In further preferred embodiments L is $-\text{O---} (\text{CH}_2)_2 \text{---} \text{O---N}(\text{R}_1)(\text{R}_2)$. In another preferred embodiment R_1 is H or $\text{C}_1\text{-C}_{10}$ alkyl or $\text{C}_1\text{-C}_{10}$ substituted alkyl and R_2 is $\text{C}_1\text{-C}_{10}$ substituted alkyl, preferably wherein R_1 is $\text{C}_1\text{-C}_{10}$ alkyl and/or R_2 is NH_3^+ or $\text{N}(\text{R}_3)(\text{R}_4)$ $\text{C}_1\text{-C}_{10}$ substituted alkyl. In
30 another preferred embodiment R_1 and R_2 are both $\text{C}_1\text{-C}_{10}$

- 7 -

substituted alkyl, with preferred substituents being independently, NH_3^+ or $\text{N}(\text{R}_3)(\text{R}_4)$.

In some preferred embodiments Bx is adenine, guanine, hypoxanthine, uracil, thymine, cytosine, 2-5 aminoadenine or 5-methylcytosine.

In some preferred embodiments R_1 and R_2 are joined in a ring structure that can include at least one heteroatom selected from N and O, with preferred ring structures being imidazole, piperidine, morpholine or a substituted 10 piperazine wherein the substituent is preferably $\text{C}_1\text{-C}_{12}$ alkyl.

In some preferred embodiments T_1 is a protected hydroxyl. In other preferred embodiments T_2 is an activated phosphorus group or a connection to a solid support. In 15 some preferred embodiments, the solid support is microparticles. In further preferred embodiments the solid support material is CPG.

In some preferred embodiments L is bound to an exocyclic amino functionality of Bx . In other preferred 20 embodiments, L is bound to a cyclic carbon atom of Bx .

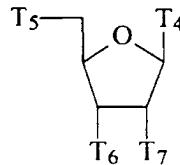
In further preferred embodiments T_4 is Bx-L . In still further preferred embodiments, Bx is adenine, 2-aminoadenine or guanine. In further preferred embodiments Bx is a pyrimidine heterocyclic base and L is covalently 25 bound to C5 of Bx . In still further preferred embodiments Bx is a pyrimidine heterocyclic base and L is covalently bound to C4 of Bx . In yet further preferred embodiments Bx is a purine heterocyclic base and L is covalently bound to N2 of Bx . In still further preferred embodiments Bx is a 30 purine heterocyclic base and L is covalently bound to N6 of Bx .

In accordance with some preferred embodiments, there are provided oligomeric compounds which incorporate at least one nucleosidic compound that is functionalized to 35 increase nuclease resistance of the oligomeric compounds.

- 8 -

In a further embodiment oligomeric compounds are functionalized with a substituent group to increase their binding affinity to target RNAs.

The oligomeric compounds preferably comprise a 5 plurality of nucleoside units of the structure:



wherein:

T₄ of each nucleoside unit is, independently, Bx or Bx-L where Bx is a heterocyclic base moiety;

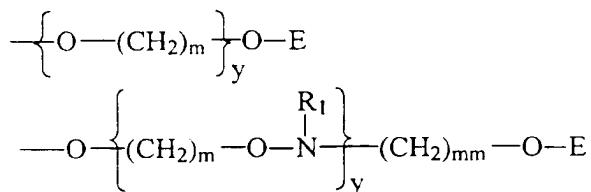
one of T₅, T₆ and T₇ of each nucleoside unit is, 10 independently, L, hydroxyl, a protected hydroxyl, a sugar substituent group, an activated phosphorus group, a connection to a solid support, a nucleoside, a nucleotide, an oligonucleoside or an oligonucleotide;

another of T₅, T₆ and T₇ of each nucleoside unit 15 is, independently, a nucleoside, a nucleotide, an oligonucleoside or an oligonucleotide;

the remaining one of T₅, T₆ and T₇ of each nucleoside unit is, independently, is L, hydrogen, hydroxyl, a protected hydroxyl, or a sugar substituent group;

20 provided that on at least one of said nucleoside units T₄ is Bx-L or at least one of T₅, T₆ and T₇ is L;

said group L having one of the formulas;



wherein:

25 each m and m_m is, independently, from 1 to 10;

- 9 -

y is from 1 to 10;
E is N(R₁)(R₂) or N=C(R₁)(R₂);
each R₁ and R₂ is, independently, H, a nitrogen
protecting group, substituted or unsubstituted C₁-C₁₀ alkyl,
5 substituted or unsubstituted C₂-C₁₀ alkenyl, substituted or
unsubstituted C₂-C₁₀ alkynyl, wherein said substitution is
OR₃, SR₃, NH₃⁺, N(R₃)(R₄), guanidino or acyl where said acyl is
acid, amide or ester,
or R₁ and R₂, together, are a nitrogen protecting
10 group or are joined in a ring structure that optionally
includes an additional heteroatom selected from N and O; and
each R₃ and R₄ is, independently, H, C₁-C₁₀ alkyl, a
nitrogen protecting group, or R₃ and R₄, together, are a
nitrogen protecting group or wherein R₃ and R₄ are joined in
15 a ring structure that optionally includes an additional
heteroatom selected from N and O.
In some preferred embodiments of the oligomeric compounds of
the invention, at least one of T₁, T₂ or T₃ is L. In further
preferred embodiment, at least one T₃ is L.
20 In further preferred embodiments of the oligomeric
compounds of the invention, at least one L is
-O-(CH₂)₂-O-N(R₁)(R₂). In further preferred embodiments of
the oligomeric compounds of the invention, R₁ is H or C₁-C₁₀
alkyl or C₁-C₁₀ substituted alkyl and R₂ is C₁-C₁₀ substituted
25 alkyl, preferably wherein R₁ is C₁-C₁₀ alkyl and/or R₂ is NH₃⁺
or N(R₃)(R₄) C₁-C₁₀ substituted alkyl. In still further
preferred embodiments of the oligomeric compounds of the
invention, R₁ and R₂ are both C₁-C₁₀ substituted alkyl, with
preferred substituents being independently, NH₃⁺ or N(R₃)(R₄).
30 In some preferred embodiments of the oligomeric
compounds of the invention, B_x is adenine, guanine,
hypoxanthine, uracil, thymine, cytosine, 2-aminoadenine or
5-methylcytosine.
In some preferred embodiments of the oligomeric
35 compounds of the invention, R₁ and R₂ are joined in a ring

- 10 -

structure that can include at least one heteroatom selected from N and O, with preferred ring structures being imidazole, piperidine, morpholine or a substituted piperazine wherein the substituent is preferably C₁-C₁₂ alkyl.

In some preferred embodiments of the oligomeric compounds of the invention, T₁ is a protected hydroxyl. In other preferred embodiments of the oligomeric compounds of the invention, T₂ is an activated phosphorus group or a connection to a solid support. In some preferred embodiments of the oligomeric compounds of the invention, the solid support is microparticles. In further preferred embodiments the solid support material is CPG.

In some preferred embodiments of the oligomeric compounds of the invention, L is bound to an exocyclic amino functionality of Bx. In other preferred embodiments of the oligomeric compounds of the invention, L is bound to a cyclic carbon atom of Bx.

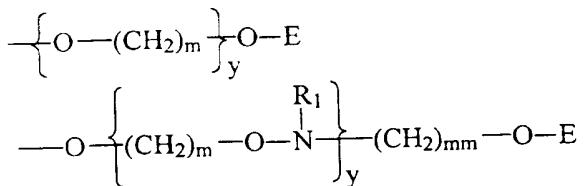
In further preferred embodiments of the oligomeric compounds of the invention, T₄ is Bx-L. In still further preferred embodiments, Bx is adenine, 2-aminoadenine or guanine. In further preferred embodiments of the oligomeric compounds of the invention, Bx is a pyrimidine heterocyclic base and L is covalently bound to C5 of Bx. In still further preferred embodiments of the oligomeric compounds of the invention, Bx is a pyrimidine heterocyclic base and L is covalently bound to C4 of Bx. In yet further preferred embodiments of the oligomeric compounds of the invention, Bx is a purine heterocyclic base and L is covalently bound to N2 of Bx. In still further preferred embodiments of the oligomeric compounds of the invention, Bx is a purine heterocyclic base and L is covalently bound to N6 of Bx.

In some preferred embodiments of the oligomeric compounds of the invention, the oligomeric compounds are from 5 to 50 nucleoside units in length. In further

- 11 -

preferred embodiments of the oligomeric compounds of the invention, the oligomeric compounds are from 8 to 30 nucleoside units in length, with 15 to 25 nucleoside units in length being more preferred.

5 In some preferred embodiments, chimeric oligomeric compounds are provided that are specifically hybridizable with DNA or RNA comprising a sequence of linked nucleoside units. Preferably, the sequence is divided into a first region having linked nucleoside units and a second region 10 being composed of linked nucleoside units having 2'-deoxy sugar moieties. The linked nucleoside units of at least one of the first or second regions are connected by phosphorothioate linkages and at least one of the linked nucleoside units of the first region bears a group L that is 15 covalently attached to the heterocyclic base or the 2', 3' or 5' position of the sugar moiety wherein the group L has one of the formulas:



where

20 each m and mm is, independently, from 1 to 10;
y is from 1 to 10;
E is N(R₁)(R₂) or N=C(R₁)(R₂);
each R₁ and R₂ is, independently, H, a nitrogen protecting group, substituted or unsubstituted C₁-C₁₀ alkyl, 25 substituted or unsubstituted C₂-C₁₀ alkenyl, substituted or unsubstituted C₂-C₁₀ alkynyl, wherein the substitution is OR₃, SR₃, NH₃⁺, N(R₃)(R₄), guanidino or acyl where the acyl is an acid, amide or an ester;
or R₁ and R₂, together, are a nitrogen protecting 30 group or are joined in a ring structure that optionally

- 12 -

includes an additional heteroatom selected from N and O; and each R₃ and R₄ is, independently, H, C₁-C₁₀ alkyl, a nitrogen protecting group, or R₃ and R₄, together, are a nitrogen protecting group; and

5 or R₃ and R₄ are joined in a ring structure that optionally includes an additional heteroatom selected from N and O.

In some preferred embodiments, the nucleoside units of the first and second regions are connected by 10 phosphorothioate internucleoside linkages. In further preferred embodiments, the nucleoside units of the first region are connected by phosphodiester internucleoside linkages and the nucleoside units of the second region are connected by phosphorothioate internucleoside linkages. In 15 still further preferred embodiments, the nucleoside units of the first region are connected by phosphorothioate internucleoside linkages and the nucleoside units of the second region are connected by phosphodiester internucleoside linkages.

20 In some preferred embodiments, the second region has at least three nucleoside units. In further preferred embodiments, the second region has at least five nucleoside units.

In some preferred embodiments, the chimeric 25 oligomeric compound has a third region having 2'-O-alkyl substituted nucleoside units, wherein the second region is positioned between the first and third regions. In further preferred embodiments, the nucleoside units of the first, second and third regions are connected by phosphorothioate linkages. In further preferred embodiments, the nucleoside 30 units of the first and third regions are connected by phosphodiester linkages and the nucleoside units of the second region are connected by phosphorothioate linkages. In another preferred embodiment, the nucleoside units of the 35 first and third regions are connected by phosphorothioate

- 13 -

linkages and the nucleoside units of the second region are connected by phosphodiester linkages.

In some preferred embodiments, the second region has at least three nucleoside units. In further preferred 5 embodiments, the second region has at least five nucleoside units.

In some preferred embodiments, at least one of the 2'-O-alkyl substituted nucleoside units of the third region bears an L group.

10 The nucleotides forming oligonucleotides of the present invention can be connected via phosphorus linkages. Preferred phosphorous linkages include phosphodiester, phosphorothioate and phosphorodithioate linkages, with phosphodiester and phosphorothioate linkages being 15 particularly preferred.

BRIEF DESCRIPTION OF THE DRAWINGS

The numerous objects and advantages of the present invention may be better understood by those skilled in the art by reference to the accompanying figures, in which:

20 Figure 1 shows a synthesis of certain intermediates of the invention.

Figure 2 shows a synthesis of 5-methyluridine DMT-phosphoramidate having a protected aminoxyethyl group at the 2'-O position.

25 Figure 3 shows a synthesis of certain intermediates of the invention.

Figure 4 shows a synthesis of adenosine DMT-phosphoramidate having a protected aminoxyethoxy group at the 2' position.

30 Figure 5 shows a synthesis of certain intermediates of the invention.

Figure 6 shows a synthesis of cytidine DMT-phosphoramidate having a protected aminoxyethoxy group at the 2' position.

- 14 -

Figure 7 shows a synthesis of certain intermediates of the invention.

Figure 8 shows a synthesis of guanidine DMT-phosphoramidate having a protected aminoxyethoxy group at 5 the 2' position.

Figure 9 shows a synthesis of some intermediates and monomers of the invention.

Figure 10 shows a linking of compounds of the invention to CPG.

10 Figure 11 shows a synthesis of intermediates and monomers of the invention.

Figure 12 shows a synthesis of intermediates and monomers of the invention.

15 Figure 13 shows a graph of % full length oligonucleotide versus time in minutes pertaining to effects of nuclease action on oligonucleotides.

Figure 14 shows a graph of % full length oligonucleotide versus time in minutes pertaining to effects of nuclease action on oligonucleotides.

20 Figure 15 shows a graph of % full length oligonucleotide versus time in minutes pertaining to effects of nuclease action on oligonucleotides.

Figure 16 shows a synthesis of intermediates and monomers of the invention.

25 Figure 17 shows a synthesis of intermediates and monomers of the invention.

Figure 18 shows a synthesis of intermediates and monomers of the invention.

30 Figure 19 shows a synthesis of intermediates and monomers of the invention.

Figure 20 shows a synthesis of intermediates and monomers of the invention.

Figure 21 shows a synthesis of intermediates and monomers of the invention.

35 Figure 22 shows a synthesis of intermediates and

- 15 -

monomers of the invention.

Figure 23 shows a synthesis of intermediates and monomers of the invention.

5 Figure 24 shows a synthesis of intermediates and monomers of the invention.

Figure 25 shows a synthesis of intermediates and monomers of the invention.

Figure 26 shows a synthesis of intermediates and monomers of the invention.

10 Figure 27 shows a synthesis of intermediates and monomers of the invention.

Figure 28 shows a synthesis of intermediates and monomers of the invention.

15 Figure 29 shows a synthesis of intermediates and monomers of the invention.

Figure 30 shows a synthesis of intermediates and monomers of the invention.

Figure 31 shows a synthesis of intermediates and monomers of the invention.

20 Figure 32 shows a synthesis of intermediates and DMT phosphoramidite monomers of the invention.

Figure 33 shows a synthesis of intermediates and monomers of the invention attached to CPG.

25 Figure 34 shows a synthesis of intermediates and DMT phosphoramidite monomers of the invention.

Figure 35 shows a synthesis of intermediates and DMT phosphoramidite monomers of the invention.

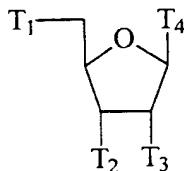
DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

The present invention presents modified
30 nucleosidic monomers and oligomers prepared therefrom. The monomers each comprise a nucleoside having at least one modification which is at a 2', 3' or 5'-sugar position or which can be at a heterocyclic base position. More than one position can be modified in either the nucleosidic monomers

- 16 -

or oligomers of the invention. The oligomeric compounds of the invention are useful for identification or quantification of an RNA or DNA or for modulating the activity of an RNA or DNA molecule. The oligomeric 5 compounds having a modified nucleosidic monomer therein are preferably prepared to be specifically hybridizable with a preselected nucleotide sequence of a single-stranded or double-stranded target DNA or RNA molecule. It is generally desirable to select a sequence of DNA or RNA which is 10 involved in the production of a protein whose synthesis is ultimately to be modulated or inhibited in its entirety or to select a sequence of RNA or DNA whose presence, absence or specific amount is to be determined in a diagnostic test.

The nucleosidic monomers (monomers) of the 15 invention are prepared having one or more aminooxy modifications. The sites for modification can be the 2', 3' and/or 5' positions on the sugar portion, and/or in the heterocyclic base moiety of the monomers. In preferred embodiments, the nucleosidic monomers are of the formula:



20 wherein:

T₄ is Bx or Bx-L where Bx is a heterocyclic base moiety;

one of T₁, T₂ and T₃ is L, hydrogen, hydroxyl, a protected hydroxyl or a sugar substituent group;

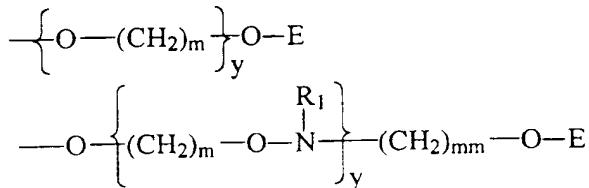
25 another one of T₁, T₂ and T₃ is L, hydroxyl, a protected hydroxyl, a connection to a solid support or an

- 17 -

activated phosphorus group;

the remaining one of T_1 , T_2 and T_3 is L, hydrogen, hydroxyl or a sugar substituent group provided that at least one of T_1 , T_2 , T_3 and T_4 is L or $Bx-L$;

5 said group L having one of the formulas;



wherein:

each m and mm is, independently, from 1 to 10;

y is from 1 to 10;

10 E is $N(R_1)(R_2)$ or $N=C(R_1)(R_2)$;

each R_1 and R_2 is, independently, H, a nitrogen protecting group, substituted or unsubstituted C_1-C_{10} alkyl, substituted or unsubstituted C_2-C_{10} alkenyl, substituted or unsubstituted C_2-C_{10} alkynyl, wherein said substitution is

15 OR_3 , SR_3 , NH_3^+ , $N(R_3)(R_4)$, guanidino or acyl where said acyl is an acid, amide or an ester;

or R_1 and R_2 , together, are a nitrogen protecting group or are joined in a ring structure that optionally includes an additional heteroatom selected from N and O; and

20 each R_3 and R_4 is, independently, H, C_1-C_{10} alkyl, a nitrogen protecting group, or R_3 and R_4 , together, are a nitrogen protecting group;

or R_3 and R_4 are joined in a ring structure that optionally includes an additional heteroatom selected from N

25 and O.

While not wishing to be bound by a specific theory, the design of aminoxy-modified oligomeric compounds is focused on a number of factors that include: an electronegative atom at the 2'-connecting site, which is 30 believed to be necessary for C_3 -endo conformation via O_4-O_2 .

- 18 -

gauche effect (increase in binding affinity); gauche effect of the 2'-substituent -O-CH₂-CH₂-O- (increase in binding affinity/nuclease resistance); restricted motion around N-O bond, as in calichiamycin, which is believed to lead to 5 conformational constraints in side chain; lipophilicity of the modification (which relates to protein binding/absorption); and fusogenic properties of aminoxy side chains.

One of the factors believed to be related to the 10 2'-O-dimethylaminoxyethyl (DMAOE) substituent is the potential fusogenic property or "proton sponge hypothesis." The nitrogen of the DMAOE is expected to have pKa between 4.5 and 5.0. Thus, it is believed that this nitrogen probably will not be protonated outside the cell or in cell 15 membranes, but is likely to be protonated inside the endosomes where pH is around 5.0. Such a protonation is expected to prevent the endosomal degradation of the oligonucleotide by lysosomal nucleases having an acidic optimal pH. Such a "proton sponge" is expected to alter the 20 osmolarity of the endosomal vesicle. The accumulation of protons brought in by the endosomal ATPase is coupled to an influx of chloride anions. Concentration of DMAOE oligonucleotide in the endosome should cause an increase in the ionic concentration within the endosome, resulting in 25 osmotic swelling of the endosome. Moreover, DMAOE protonation is believed to cause internal charge repulsion. Both of these effects are believed to cause endosomal fusion to release the oligonucleotide to the cytoplasm. Once the oligonucleotide is in the cytoplasm, it should be easily 30 transported to the nucleus.

It is preferred that the oligonucleotides of the invention be adapted to be specifically hybridizable with the nucleotide sequence of the target RNA or DNA selected for modulation. Oligonucleotides particularly suited for 35 the practice of one or more embodiments of the present

- 19 -

invention comprise 2', 3', or 5'-sugar modified or heterocyclic base modified oligonucleotides wherein the modification is an aminoxy moiety. For example, the oligonucleotides are modified to contain substitutions 5 including but not limited incorporation of one or more nucleoside units modified as shown in the formula defining "L" above. The modified nucleosidic compounds can be positioned internally in the oligonucleotide via linking in the oligonucleotide backbone or they can be located on one 10 or both of the 3' and 5' terminal ends of the oligonucleotide.

The nucleosidic monomers of the present invention can include appropriate activated phosphorus groups such as activated phosphate groups and activated phosphite groups.

15 As used herein, the terms activated phosphate and activated phosphite groups refer to activated monomers or oligomers that are reactive with a hydroxyl group of another monomeric or oligomeric compound to form a phosphorus-containing internucleotide linkage. Such activated phosphorus groups 20 contain activated phosphorus atoms in P^{III} or P^V valency states. Such activated phosphorus atoms are known in the art and include, but are not limited to, phosphoramidite, H- phosphonate and phosphate triesters. A preferred synthetic solid phase synthesis utilizes phosphoramidites as activated 25 phosphates. The phosphoramidites utilize P^{III} chemistry. The intermediate phosphite compounds are subsequently oxidized to the P^V state using known methods to yield, in preferred embodiments, phosphodiester or phosphorothioate internucleotide linkages. Additional activated phosphates 30 and phosphites are disclosed in Tetrahedron Report Number 309 (Beaucage and Iyer, *Tetrahedron*, 1992, 48, 2223-2311).

The oligomers (oligomeric compounds) of the invention are conveniently synthesized using solid phase synthesis of known methodology, and are preferably designed 35 to be complementary to or specifically hybridizable with a

- 20 -

preselected nucleotide sequence of the target RNA or DNA. Standard solution phase and solid phase methods for the synthesis of oligonucleotides and oligonucleotide analogs are well known to those skilled in the art. These methods 5 are constantly being improved in ways that reduce the time and cost required to synthesize these complicated compounds. Representative solution phase techniques are described in United States Patent No. 5,210,264, issued May 11, 1993 and commonly assigned with this invention. Representative solid 10 phase techniques employed for oligonucleotide and oligonucleotide analog synthesis utilizing standard phosphoramidite chemistries are described in, *Protocols For Oligonucleotides And Analogs*, Agrawal, S., ed., Humana Press, Totowa, NJ, 1993.

15 The oligomeric compounds of the invention also include those that comprise nucleosides connected by charged linkages, and whose sequences are divided into at least two regions. In some preferred embodiments, the first region includes 2'-aminoxyalkyl substituted-nucleosides linked by 20 a first type of linkage, and the second region includes nucleosides linked by a second type of linkage. In some preferred embodiments, the oligomers of the invention further include a third region comprised of nucleosides as are used in the first region, with the second region 25 positioned between the first and the third regions. Such oligomeric compounds are known as "chimeras," "chimeric," or "gapped" oligonucleotides. (See, e.g., U.S. Patent No. 5,623,065, issued April 22, 1997, the contents of which are incorporated herein by reference.)

30 GAPmer technology has been developed to incorporate modifications at the ends ("wings") of oligomeric compounds, leaving a phosphorothioate Gap in the middle for RNase H activation (Cook, P.D., *Anti-Cancer Drug Des.*, 1991, 6, 585-607; Monia et al., *J. Biol. Chem.*, 1993, 35 268, 14514-14522). In a recent report, the activities of a

- 21 -

series of uniformly 2'-O modified 20 mer RNase H-independent oligonucleotides that were antisense to the 5'-cap region of human ICAM-1 transcript in HUVEC cells, were compared to the parent 2'-deoxy phosphorothioate oligonucleotide. See Baker et al., *J. Bio. Chem.*, 1997, 272, 11994-12000). The 2'-MOE/P=O oligomer demonstrated the greatest activity with a IC_{50} of 2.1 nM ($T_m = 87.1^\circ C$), while the parent P=S oligonucleotide analog had an IC_{50} of 6.5 nM ($T_m = 79.2^\circ C$). Correlation of activity with binding affinity was not always seen as the 2'-F/P=S ($T_m = 87.9^\circ C$) was less active than the 2'-MOE/P=S ($T_m = 79.2^\circ C$) by four fold. The RNase H competent 2'-deoxy P=S parent oligonucleotide exhibited an $IC_{50} = 41$ nM.

In the context of this invention, the terms "oligomer" and "oligomeric compound" refer to a plurality of naturally occurring or non-naturally occurring nucleosides joined together in a specific sequence. "Oligomer" and "oligomeric compound" include oligonucleotides, oligonucleotide analogs and chimeric oligomeric compounds having non-phosphorus containing internucleoside linkages. In some preferred embodiments, each of the oligomeric compounds of the invention have at least one modified nucleoside where the modification is an aminoxy compound of the invention. Preferred nucleosides of the invention are joined through a sugar moiety via phosphorus linkages, and include those containing adenine, guanine, adenine, cytosine, uracil, thymine, xanthine, hypoxanthine, 2-aminoadenine, 6-methyl, 2-propyl and other alkyl adenines, 5-halo uracil, 5-halo cytosine, 6-aza uracil, 6-aza cytosine and 6-aza thymine, pseudo uracil, 4-thiouracil, 8-halo adenine, 8-aminoadenine, 8-thiol adenine, 8-thiolalkyl adenines, 8-hydroxyl adenine and other 8-substituted adenines, 8-halo guanines, 8-amino guanine, 8-thiol guanine, 8-thiolalkyl guanines, 8-hydroxyl guanine and other 8-substituted guanines, other aza and deaza uracils, other aza

- 22 -

and deaza thymidines, other aza and deaza cytosines, other aza and deaza adenines, other aza and deaza guanines, 5-trifluoromethyl uracil and 5-trifluoro cytosine.

Heterocyclic base moieties (often referred to in the art simply as the "base") amenable to the present invention include both naturally and non-naturally occurring nucleobases and heterocycles. As used herein, "unmodified" or "natural" nucleobases include the purine bases adenine and guanine, and the pyrimidine bases thymine, cytosine and uracil. Modified nucleobases include other synthetic and natural nucleobases such as 5-methylcytosine (5-me-C), 5-hydroxymethyl cytosine, xanthine, hypoxanthine, 2-aminoadenine, 6-methyl and other alkyl derivatives of adenine and guanine, 2-propyl and other alkyl derivatives of adenine and guanine, 2-thiouracil, 2-thiothymine and 2-thiocytosine, 5-halouracil and cytosine, 5-propynyl uracil and cytosine, 6-azo uracil, cytosine and thymine, 5-uracil (pseudouracil), 4-thiouracil, 8-halo, 8-amino, 8-thiol, 8-thioalkyl, 8-hydroxyl and other 8-substituted adenines and guanines, 5-halo particularly 5-bromo, 5-trifluoromethyl and other 5-substituted uracils and cytosines, 7-methylguanine and 7-methyladenine, 8-azaguanine and 8-azaadenine, 7-deazaguanine and 7-deazaadenine and 3-deazaguanine and 3-deazaadenine. Further nucleobases include those disclosed in United States Patent No. 3,687,808, those disclosed in the *Concise Encyclopedia Of Polymer Science And Engineering*, pages 858-859, Kroschwitz, J.I., ed. John Wiley & Sons, 1990, those disclosed by Englisch et al., *Angewandte Chemie, International Edition*, 1991, 30, 613, and those disclosed by Sanghvi, Y.S., Chapter 15, *Antisense Research and Applications*, pages 289-302, Crooke, S.T. and Lebleu, B., ed., CRC Press, 1993. Certain of these nucleobases are particularly useful for increasing the binding affinity of the oligonucleotides of the invention. These include 5-substituted pyrimidines, 6-azapyrimidines and N-2, N-6 and 35

- 23 -

0-6 substituted purines, including 2-aminopropyladenine, 5-propynyluracil and 5-propynylcytosine. 5-methylcytosine substitutions have been shown to increase nucleic acid duplex stability by 0.6-1.2 °C (Id., pages 276-278) and are 5 presently preferred base substitutions, even more particularly when combined with 2'-methoxyethyl sugar modifications.

Representative United States patents that teach the preparation of certain of the above noted modified 10 nucleobases as well as other modified nucleobases include, but are not limited to, the above noted U.S. Patent 3,687,808, as well as U.S. Patents 4,845,205; 5,130,302; 5,134,066; 5,175,273; 5,367,066; 5,432,272; 5,457,187; 5,459,255; 5,484,908; 5,502,177; 5,525,711; 5,552,540; 15 5,587,469; 5,594,121, 5,596,091; 5,614,617; and 5,681,941, certain of which are commonly owned, and each of which is herein incorporated by reference, and commonly owned United States patent application 08/762,488, filed on December 10, 1996, also herein incorporated by reference.

20 The preferred sugar moieties are deoxyribose or ribose. However, other sugar substitutes known in the art are also amenable to the present invention.

As used herein, the term "sugar substituent 25 groups" refer to groups that are attached to sugar moieties of compounds or oligomers of the invention. Sugar substituent groups are covalently attached at sugar 2', 3' and 5'-positions. In some preferred embodiments, the sugar substituent group has an oxygen atom bound directly to the 2', 3' and/or 5'-carbon atom of the sugar. Preferably, 30 sugar substituent groups are attached at 2'-positions although sugar substituent groups may also be located at 3' and 5' positions.

Sugar substituent groups amenable to the present 35 invention include fluoro, O-alkyl, O-alkylamino, O-alkylalkoxy, protected O-alkylamino, O-alkylaminoalkyl, O-

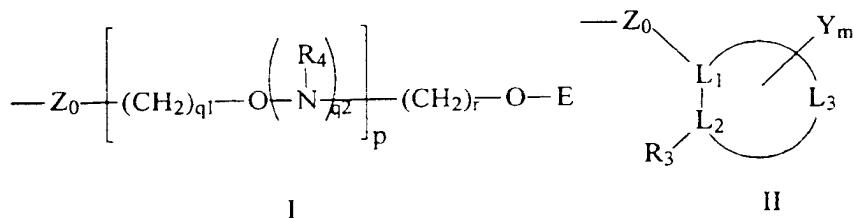
- 24 -

alkyl imidazole, and polyethers of the formula (O-alkyl)_m, where m is 1 to about 10. Preferred among these polyethers are linear and cyclic polyethylene glycols (PEGs), and (PEG)-containing groups, such as crown ethers and those which are disclosed by Ouchi, et al., *Drug Design and Discovery* 1992, 9, 93, Ravasio, et al., *J. Org. Chem.* 1991, 56, 4329, and Delgado et. al., *Critical Reviews in Therapeutic Drug Carrier Systems* 1992, 9, 249, each of which are hereby incorporated by reference in their entirety.

10 Further sugar modifications are disclosed in Cook, P.D., *Anti-Cancer Drug Design*, 1991, 6, 585-607. Fluoro, O-alkyl, O-alkylamino, O-alkyl imidazole, O-alkylaminoalkyl, and alkyl amino substitution is described in United States Patent Application serial number 08/398,901, filed March 6, 15 1995, entitled Oligomeric Compounds having Pyrimidine Nucleotide(s) with 2' and 5' Substitutions, hereby incorporated by reference in its entirety.

Additional sugar substituent groups amenable to the present invention include -SR and -NR₂ groups, where each 20 R is, independently, hydrogen, a protecting group or substituted or unsubstituted alkyl, alkenyl, or alkynyl. 2'-SR nucleosides are disclosed in United States Patent No. 5,670,633, issued September 23, 1997, hereby incorporated by reference in its entirety. The incorporation of 2'-SR monomer synthons are disclosed by Hamm et al., *J. Org. Chem.*, 1997, 62, 3415-3420. 2'-NR₂ nucleosides are disclosed by Goettingen, M., *J. Org. Chem.*, 1996, 61, 6273-6281; and Polushin et al., *Tetrahedron Lett.*, 1996, 37, 3227-3230.

Further representative sugar substituent groups 30 amenable to the present invention include those having one of formula I or II:



wherein

Z_0 is O, S or NH;

E is C_1-C_{10} alkyl, $N(R_4)(R_5)$ or $N=C(R_4)(R_5)$;

each R_4 and R_5 is, independently, H, a nitrogen

5 protecting group, substituted or unsubstituted C₁-C₁₀ alkyl, substituted or unsubstituted C₂-C₁₀ alkenyl, substituted or unsubstituted C₂-C₁₀ alkynyl, wherein said substitution is OR₆, SR₆, NH₆⁺, N(R₆)(R₇), guanidino or acyl where said acyl is an acid amide or an ester;

10 or R_4 and R_5 , together, are a nitrogen protecting group or are joined in a ring structure that optionally includes an additional heteroatom selected from N and O; and each R_6 and R_7 is, independently, H, C_1 - C_{10} alkyl, a nitrogen protecting group, or R_3 and R_4 , together, are a
15 nitrogen protecting group;

or R_6 and R_7 are joined in a ring structure that optionally includes an additional heteroatom selected from N and O;

R_3 is OX , SX , or $N(X)_2$;

20 each X is, independently, H, C₁-C₈ alkyl, C₁-C₈ haloalkyl, C(=NH)N(H)Z, C(=O)N(H)Z or OC(=O)N(H)Z;

Z is H or C_1-C_6 alkyl;

L₁, L₂ and L₃ comprise a ring system having from about 4 to about 7 carbon atoms or having from about 3 to about 6 carbon atoms and 1 or 2 hetero atoms wherein said hetero atoms are selected from oxygen, nitrogen and sulfur and wherein said ring system is aliphatic, unsaturated aliphatic, aromatic, or saturated or unsaturated

- 26 -

heterocyclic;

Y is alkyl or haloalkyl having 1 to about 10 carbon atoms, alkenyl having 2 to about 10 carbon atoms, alkynyl having 2 to about 10 carbon atoms, aryl having 6 to 5 about 14 carbon atoms, N(R₄)(R₅) OR₄, halo, SR₄ or CN; each q₁ is, independently, from 2 to 10; each q₂ is, 0 or 1; p is from 1 to 10; and r is from 1 to 10 with the proviso that when p is 10 0, r is greater than 1.

Representative 2'-O- sugar substituents of formula I are disclosed in United States Patent Application Serial No.: 09/130,973, filed August 7, 1998, entitled Capped 2'-Oxyethoxy Oligonucleotides, hereby incorporated by 15 reference in its entirety.

Representative cyclic 2'-O- sugar substituents of formula II are disclosed in United States Patent Application Serial No.: 09/123,108, filed July 27, 1998, entitled RNA Targeted 2'-Modified Oligonucleotides that are 20 Conformationally Preorganized, hereby incorporated by reference in its entirety.

Particularly preferred sugar substituent groups include O[(CH₂)_nO]_mCH₃, O(CH₂)_nOCH₃, O(CH₂)_nNH₂, O(CH₂)_nCH₃, O(CH₂)_nONH₂, and O(CH₂)_nON[(CH₂)_nCH₃]₂, where n and m are from 1 25 to about 10.

Some preferred oligomeric compounds of the invention contain at least one nucleoside having one of the following at the 2' position: C₁ to C₁₀ lower alkyl, substituted lower alkyl, alkaryl, aralkyl, O-alkaryl or O-30 aralkyl, SH, SCH₃, OCN, Cl, Br, CN, CF₃, OCF₃, SOCH₃, SO₂CH₃, ONO₂, NO₂, N₃, NH₂, heterocycloalkyl, heterocycloalkaryl, aminoalkylamino, polyalkylamino, substituted silyl, an RNA cleaving group, a reporter group, an intercalator, a group for improving the pharmacokinetic properties of an 35 oligonucleotide, or a group for improving the

- 27 -

pharmacodynamic properties of an oligonucleotide, and other substituents having similar properties. A preferred modification includes 2'-methoxyethoxy [2'-O-CH₂CH₂OCH₃, also known as 2'-O-(2-methoxyethyl) or 2'-MOE] (Martin *et al.*, 5 *Helv. Chim. Acta*, 1995, 78, 486), i.e., an alkoxyalkoxy group. A further preferred modification is 2'-dimethylaminoxyethoxy, i.e., a O(CH₂)₂ON(CH₃)₂ group, also known as 2'-DMAOE, as described in co-owned United States 10 patent application Serial Number 09/016,520, filed on January 30, 1998, the contents of which are herein incorporated by reference.

Other preferred modifications include 2'-methoxy (2'-O-CH₃), 2'-aminopropoxy (2'-OCH₂CH₂CH₂NH₂) and 2'-fluoro (2'-F). Similar modifications may also be made at other 15 positions on the oligonucleotide, particularly the 3' position of the sugar on the 3' terminal nucleotide or in 2'-5' linked oligonucleotides and the 5' position of 5' terminal nucleotide. Oligonucleotides may also have sugar mimetics such as cyclobutyl moieties in place of the 20 pentofuranosyl sugar. Representative United States patents that teach the preparation of such modified sugars structures include, but are not limited to, U.S. Patents Nos. 4,981,957; 5,118,800; 5,319,080; 5,359,044; 5,393,878; 5,446,137; 5,466,786; 5,514,785; 5,519,134; 5,567,811; 25 5,576,427; 5,591,722; 5,597,909; 5,610,300; 5,627,0531; 5,639,873; 5,646,265; 5,658,873; 5,670,633; and 5,700,920, certain of which are commonly owned, and each of which is herein incorporated by reference, and commonly owned United 30 States patent application 08/468,037, filed on June 5, 1995, also herein incorporated by reference.

Sugars having O-substitutions on the ribosyl ring are also amenable to the present invention. Representative substitutions for ring O include, but are not limited to, S, CH₂, CHF, and CF₂. See, e.g., Secrist *et al.*, *Abstract 21, 35 Program & Abstracts, Tenth International Roundtable,*

- 28 -

Nucleosides, Nucleotides and their Biological Applications, Park City, Utah, Sept. 16-20, 1992, hereby incorporated by reference in its entirety.

Additional modifications may also be made at other 5 positions on the oligonucleotide, particularly the 3' position of the sugar on the 3' terminal nucleotide and the 5'-position of 5' terminal nucleotide. For example, one additional modification of the oligonucleotides of the present invention involves chemically linking to the 10 oligonucleotide one or more moieties or conjugates which enhance the activity, cellular distribution or cellular uptake of the oligonucleotide. Such moieties include, but are not limited to, lipid moieties such as a cholesterol moiety (Letsinger et al., *Proc. Natl. Acad. Sci. USA*, 1989, 15 86, 6553), cholic acid (Manoharan et al., *Bioorg. Med. Chem. Lett.*, 1994, 4, 1053), a thioether, e.g., hexyl-S-tritylthiol (Manoharan et al., *Ann. N.Y. Acad. Sci.*, 1992, 660, 306; Manoharan et al., *Bioorg. Med. Chem. Lett.*, 1993, 3, 2765), a thiocholesterol (Oberhauser et al., *Nucl. Acids Res.*, 1992, 20, 533), an aliphatic chain, e.g., dodecandiol or undecyl residues (Saison-Behmoaras et al., *EMBO J.*, 1991, 10, 111; Kabanov et al., *FEBS Lett.*, 1990, 259, 327; Svinarchuk et al., *Biochimie*, 1993, 75, 49), a phospholipid, e.g., di-hexadecyl-rac-glycerol or triethylammonium-1,2-di- 25 0-hexadecyl-rac-glycero-3-H-phosphonate (Manoharan et al., *Tetrahedron Lett.*, 1995, 36, 3651; Shea et al., *Nucl. Acids Res.*, 1990, 18, 3777), a polyamine or a polyethylene glycol chain (Manoharan et al., *Nucleosides & Nucleotides*, 1995, 14, 969), adamantine acetic acid (Manoharan et al., 30 *Tetrahedron Lett.*, 1995, 36, 3651), a palmityl moiety (Mishra et al., *Biochim. Biophys. Acta*, 1995, 1264, 229), or an octadecylamine or hexylamino-carbonyl-oxycholesterol moiety (Crooke et al., *J. Pharmacol. Exp. Ther.*, 1996, 277, 923).

- 29 -

Compounds of the invention can include ring structures that include a nitrogen atom (e.g., -N(R₁)(R₂) and -N(R₃)(R₄) where (R₁)(R₂) and (R₃)(R₄) each form cyclic structures about the respective N). The resulting ring 5 structure is a heterocycle or a heterocyclic ring structure that can include further heteroatoms selected from N, O and S. Such ring structures may be mono-, bi- or tricyclic, and may be substituted with substituents such as oxo, acyl, alkoxy, alkoxycarbonyl, alkyl, alkenyl, alkynyl, amino, 10 amido, azido, aryl, heteroaryl, carboxylic acid, cyano, guanidino, halo, haloalkyl, haloalkoxy, hydrazino, ODMT, alkylsulfonyl, nitro, sulfide, sulfone, sulfonamide, thiol and thioalkoxy. A preferred bicyclic ring structure that includes nitrogen is phthalimido.

15 Heterocyclic ring structures of the present invention can be fully saturated, partially saturated, unsaturated or with a polycyclic heterocyclic ring each of the rings may be in any of the available states of saturation. Heterocyclic ring structures of the present 20 invention also include heteroaryl which includes fused systems including systems where one or more of the fused rings contain no heteroatoms. Heterocycles, including nitrogen heterocycles, according to the present invention include, but are not limited to, imidazole, pyrrole, 25 pyrazole, indole, 1H-indazole, α -carboline, carbazole, phenothiazine, phenoxazine, tetrazole, triazole, pyrrolidine, piperidine, piperazine and morpholine groups. A more preferred group of nitrogen heterocycles includes imidazole, pyrrole, indole, and carbazole groups.

30 The present invention provides oligomeric compounds comprising a plurality of linked nucleosides wherein the preferred internucleoside linkage is a 3',5'-linkage. Alternatively, 2',5'-linkages can be used (as described in U.S. Application Serial No. 09/115,043, filed 35 July 14, 1998). A 2',5'-linkage is one that covalently

- 30 -

connects the 2'-position of the sugar portion of one nucleotide subunit with the 5'-position of the sugar portion of an adjacent nucleotide subunit.

The oligonucleotides of the present invention 5 preferably are about 5 to about 50 bases in length. It is more preferred that the oligonucleotides of the invention have from 8 to about 30 bases, and even more preferred that from about 15 to about 25 bases be employed.

In positioning one of the nucleosidic monomers of 10 the invention in an oligonucleotide, an appropriate blocked and activated monomer is incorporated in the oligonucleotides in the standard manner for incorporation of a normal blocked and active standard nucleotide. for example, a diisopropyl phosphoramidite nucleosidic monomer is selected 15 that has an aminoxy moiety that is protected with, for example, a phthalimido protecting group. In addition, one of the hydroxyl groups of the nucleosidic monomer molecule, for example the 5'-hydroxyl, is protected with a dimethoxytrityl (DMT) protecting group, and the other 20 hydroxyl group, (i.e., the 3'-hydroxyl group), bears a cyanoethyl protecting group. The nucleosidic monomer is added to the growing oligonucleotide by treating with the normal activating agents, as is known in the art, to react the phosphoramidite moiety with the growing oligonucleotide. 25 This is followed by removal of the DMT group in the standard manner, as is known in the art, and continuation of elongation of the oligonucleotide with normal nucleotide amidite units as is standard in the art. If the nucleosidic monomer is an intermediate unit utilized during synthesis of 30 the oligonucleotide, the nucleosidic monomer nucleoside is positioned in the interior of the oligonucleotide. If the nucleosidic monomer is the last unit linked to the oligonucleotide, the nucleosidic monomer will form the 5' most terminal moiety of the oligonucleotide. There are a 35 plurality of alternative methods for preparing oligomeric

- 31 -

compounds of the invention that are well known in the art. The phosphoramidite method illustrated above is meant as illustrative of one of these methods.

In the context of this specification, alkyl (generally C₁-C₁₀), alkenyl (generally C₂-C₁₀), and alkynyl (generally C₂-C₁₀) groups include but are not limited to substituted and unsubstituted straight chain, branch chain, and alicyclic hydrocarbons, including methyl, ethyl, propyl, butyl, pentyl, hexyl, heptyl, octyl, nonyl, decyl, undecyl, 10 dodecyl, tridecyl, tetradecyl, pentadecyl, hexadecyl, heptadecyl, octadecyl, nonadecyl, eicosyl and other higher carbon alkyl groups. Further examples include 2-methyl-propyl, 2-methyl-4-ethylbutyl, 2,4-diethylbutyl, 3-propylbutyl, 2,8-dibutyldecyl, 6,6-dimethyloctyl, 6-propyl-15 6-butyloctyl, 2-methylbutyl, 2-methylpentyl, 3-methylpentyl, 2-ethylhexyl and other branched chain groups, allyl, crotyl, propargyl, 2-pentenyl and other unsaturated groups containing a pi bond, cyclohexane, cyclopentane, adamantine as well as other alicyclic groups, 3-penten-2-one, 3-methyl-20 2-butanol, 2-cyanoctyl, 3-methoxy-4-heptanal, 3-nitrobutyl, 4-isopropoxydodecyl, 4-azido-2-nitrodecyl, 5-mercaptopononyl, 4-amino-1-pentenyl as well as other substituted groups.

Further, in the context of this invention, a straight chain compound means an open chain compound, such as an aliphatic compound, including alkyl, alkenyl, or alkynyl compounds; lower alkyl, alkenyl, or alkynyl as used herein include but are not limited to hydrocarbyl compounds from about 1 to about 6 carbon atoms. A branched compound, as used herein, comprises a straight chain compound, such as 30 an alkyl, alkenyl, alkynyl compound, which has further straight or branched chains attached to the carbon atoms of the straight chain. A cyclic compound, as used herein, refers to closed chain compounds, i.e. a ring of carbon atoms, such as an alicyclic or aromatic compound. The 35 straight, branched, or cyclic compounds may be internally

interrupted, as in alkoxy or heterocyclic compounds. In the context of this invention, internally interrupted means that the carbon chains may be interrupted with heteroatoms such as O, N, or S. However, if desired, the carbon chain may 5 have no heteroatoms.

In one aspect of the invention the overall length of the alkyl group appended to a nucleosidic monomer will be selected to be less than 11 with the aminoxy group positioned between the ends of the alkyl group. In certain 10 preferred nucleoside monomers of the invention, it is preferred to position the aminoxy group with at least two methylene groups between it and either of the hydroxyl groups of the nucleoside monomer. This can be accomplished by any combination of methylene units in either the alkyl 15 backbone or on the aminoxy side chain. As so positioned the oxygen atom of the aminoxy moiety and the oxygen atoms of the hydroxyl groups do not form acetal type structures. In other embodiments the aminoxy moiety is positioned with only one methylene group between it and one or the other of 20 the hydroxyl groups forming an acetal type structure.

In substituted nucleosidic monomers of the invention, a first preferred group of substituents include 2'-O-aminoxyalkyl substituents. A further preferred group of substituents includes 2'-O-alkylaminoxyalkyl, 2'-O-di- 25 alkylaminoxyalkyl and 2'-O-monoalkylaminoxyalkyl, e.g., dimethylaminoxyethyl and ethylaminoxyethyl. An additional preferred group of substituents include precursor or blocked forms of these 2'-O-aminoxyalkyl substituents include phthalimido and formaldehyde adducts, i.e., phthalimido-N- 30 oxy and formaloximyl groups. A more preferred group of substituents includes 2'-aminoxyalkyl where the amino group is substituted with one or more substituted alkyl groups where preferred substitutions are amino and substituted amino.

35 In certain preferred embodiments of the present

- 33 -

invention, oligomeric compounds are linked via phosphorus linkages. Preferred phosphorus linkages include phosphodiester, phosphorothioate and phosphorodithioate linkages. In one preferred embodiment of this invention, 5 nuclease resistance is conferred on the oligonucleotides by utilizing phosphorothioate internucleoside linkages.

As used herein, the term oligonucleoside includes oligomers or polymers containing two or more nucleoside subunits having a non-phosphorous linking moiety.

10 Oligonucleosides according to the invention have monomeric subunits or nucleosides having a ribofuranose moiety attached to a heterocyclic base moiety through a glycosyl bond.

Oligonucleotides and oligonucleosides can be 15 joined to give a chimeric oligomeric compound. In addition to the naturally occurring phosphodiester linking group, phosphorus and non-phosphorus containing linking groups that can be used to prepare oligonucleotides, oligonucleosides and oligomeric chimeric compounds (oligomeric compounds) of 20 the invention are well documented in the prior art and include without limitation the following:

phosphorus containing linkages

phosphorodithioate $(-O-P(S)(S)-O-)$;
phosphorothioate $(-O-P(S)(O)-O-)$;
25 phosphoramidate $(-O-P(O)(NJ)-O-)$;
phosphonate $(-O-P(J)(O)-O-)$;
phosphotriesters $(-O-P(O)J(O)-O-)$;
phosphorophoramidate $(-O-P(O)(NJ)-S-)$;
thionoalkylphosphonate $(-O-P(S)(J)-O-)$;
thionoalkylphosphotriester $(-O-P(O)(OJ)-S-)$;
30 boranophosphate $(-R^5-P(O)(O)-J-)$;
non-phosphorus containing linkages
thiodiester $(-O-C(O)-S-)$;
thionocarbamate $(-O-C(O)(NJ)-S-)$;
35 siloxane $(-O-Si(J)-O-)$;

- 34 -

carbamate ($-\text{O}-\text{C}(\text{O})-\text{NH}-$ and $-\text{NH}-\text{C}(\text{O})-\text{O}-$)
sulfamate ($-\text{O}-\text{S}(\text{O})(\text{O})-\text{N}-$ and $-\text{N}-\text{S}(\text{O})(\text{O})-\text{N}-$);
morpholino sulfamide ($-\text{O}-\text{S}(\text{O})(\text{N}(\text{morpholino}))-$);
sulfonamide ($-\text{O}-\text{SO}_2-\text{NH}-$);
5 sulfide ($-\text{CH}_2-\text{S}-\text{CH}_2-$);
sulfonate ($-\text{O}-\text{SO}_2-\text{CH}_2-$);
N,N'-dimethylhydrazine ($-\text{CH}_2-\text{N}(\text{CH}_3)-\text{N}(\text{CH}_3)-$);
thioformacetal ($-\text{S}-\text{CH}_2-\text{O}-$);
10 formacetal ($-\text{O}-\text{CH}_2-\text{O}-$);
thioketal ($-\text{S}-\text{C}(\text{J})_2-\text{O}-$); and
ketal ($-\text{O}-\text{C}(\text{J})_2-\text{O}-$);
amine ($-\text{NH}-\text{CH}_2-\text{CH}_2-$);
hydroxylamine ($-\text{CH}_2-\text{N}(\text{J})-\text{O}-$);
hydroxylimine ($-\text{CH}=\text{N}-\text{O}-$); and
15 hydrazinyl ($-\text{CH}_2-\text{N}(\text{H})-\text{N}(\text{H})-$).
"J" denotes a substituent group which is commonly hydrogen or an alkyl group, but which can be a more complicated group that varies from one type of linkage to another.
20 In addition to linking groups as described above that involve the modification or substitution of one or more of the $-\text{O}-\text{P}(\text{O})_2-\text{O}-$ atoms of a naturally occurring linkage, included within the scope of the present invention are linking groups that include modification of the 5'-methylene group as well as one or more of the atoms of the naturally occurring linkage. Linkages of this type are well documented in the literature and include without limitation 25 the following:
linking groups that include modification of the 5'-methylene group as well as one or more of the atoms of the naturally occurring linkage. Linkages of this type are well documented in the literature and include without limitation the following:
amides ($-\text{CH}_2-\text{CH}_2-\text{N}(\text{H})-\text{C}(\text{O})$) and $-\text{CH}_2-\text{O}-\text{N}=\text{CH}-$; and
30 alkylphosphorus ($-\text{C}(\text{J})_2-\text{P}(=\text{O})(\text{OJ})-\text{C}(\text{J})_2-\text{C}(\text{J})_2-$).
wherein J is as described above.
Synthetic schemes for the synthesis of the substitute internucleoside linkages described above are disclosed in: WO 91/08213; WO 90/15065; WO 91/15500; WO 35 92/20822; WO 92/20823; WO 91/15500; WO 89/12060; EP 216860;

- 35 -

US 92/04294; US 90/03138; US 91/06855; US 92/03385; US 91/03680; U.S. Patent Nos. 07/990,848; 07,892,902; 07/806,710; 07/763,130; 07/690,786; 5,466,677; 5,034,506; 5,124,047; 5,278,302; 5,321,131; 5,519,126; 4,469,863; 5,455,233; 5,214,134; 5,470,967; 5,434,257; Stirchak, E.P., et al., *Nucleic Acid Res.*, **1989**, 17, 6129-6141; Hewitt, J.M., et al., **1992**, 11, 1661-1666; Sood, A., et al., *J. Am. Chem. Soc.*, **1990**, 112, 9000-9001; Vaseur, J.J. et al., *J. Amer. Chem. Soc.*, **1992**, 114, 4006-4007; Musichi, B., et al., *J. Org. Chem.*, **1990**, 55, 4231-4233; Reynolds, R.C., et al., *J. Org. Chem.*, **1992**, 57, 2983-2985; Mertes, M.P., et al., *J. Med. Chem.*, **1969**, 12, 154-157; Mungall, W.S., et al., *J. Org. Chem.*, **1977**, 42, 703-706; Stirchak, E.P., et al., *J. Org. Chem.*, **1987**, 52, 4202-4206; Coull, J.M., et al., *Tet. Lett.*, **1987**, 28, 745; and Wang, H., et al., *Tet. Lett.*, **1991**, 32, 7385-7388.

Other modifications can be made to the sugar, to the base, or to the phosphate group of the nucleotide. Representative modifications are disclosed in International Publication Numbers WO 91/10671, published July 25, 1991, WO 92/02258, published February 20, 1992, WO 92/03568, published March 5, 1992, and United States Patents 5,138,045, 5,218,105, 5,223,618 5,359,044, 5,378,825, 5,386,023, 5,457,191, 5,459,255, 5,489,677, 5,506,351, 5,541,307, 5,543,507, 5,571,902, 5,578,718, 5,587,361, 5,587,469, all assigned to the assignee of this application. The disclosures of each of the above referenced publications are herein incorporated by reference.

The attachment of conjugate groups to oligonucleotides and analogs thereof is well documented in the prior art. The compounds of the invention can include conjugate groups covalently bound to functional groups such as primary or secondary hydroxyl groups. Conjugate groups of the invention include intercalators, reporter molecules,

- 36 -

polyamines, polyamides, polyethylene glycols, polyethers, groups that enhance the pharmacodynamic properties of oligomers, and groups that enhance the pharmacokinetic properties of oligomers. Typical conjugates groups include 5 cholesterols, phospholipids, biotin, phenazine, phenanthridine, anthraquinone, acridine, fluoresceins, rhodamines, coumarins, and dyes. Groups that enhance the pharmacodynamic properties, in the context of this invention, include groups that improve oligomer uptake, enhance 10 oligomer resistance to degradation, and/or strengthen sequence-specific hybridization with RNA. Groups that enhance the pharmacokinetic properties, in the context of this invention, include groups that improve oligomer uptake, distribution, metabolism or excretion. Representative 15 conjugate groups are disclosed in International Patent Application PCT/US92/09196, filed October 23, 1992, United States Patent No. 5,578,718, issued July 1, 1997, and United States Patent No. 5,218,105. Each of the foregoing is commonly assigned with this application. The entire 20 disclosure of each is incorporated herein by reference.

Other groups for modifying antisense properties include RNA cleaving complexes, pyrenes, metal chelators, porphyrins, alkylators, hybrid intercalator/ligands and photo-crosslinking agents. RNA cleavers include o- 25 phenanthroline/Cu complexes and Ru(bipyridine)₃²⁺ complexes. The Ru(bpy)₃²⁺ complexes interact with nucleic acids and cleave nucleic acids photochemically. Metal chelators are include EDTA, DTPA, and o-phenanthroline. Alkylators include compounds such as iodoacetamide. Porphyrins include 30 porphine, its substituted forms, and metal complexes. Pyrenes include pyrene and other pyrene-based carboxylic acids that could be conjugated using the similar protocols.

Hybrid intercalator/ligands include the photonuclease/intercalator ligand 6-[[9-[[6-(4-nitro- 35 benzamido)hexyl]amino]acridin-4-yl]carbonyl]amino]hexanoyl-

- 37 -

pentafluorophenyl ester. This compound has two noteworthy features: an acridine moiety that is an intercalator and a p-nitro benzamido group that is a photonuclease.

Photo-crosslinking agents include aryl azides such as, for example, N-hydroxysuccinimidyl-4-azidobenzoate (HSAB) and N-succinimidyl-6(-4'-azido-2'-nitrophenyl-amino)hexanoate (SANPAH). Aryl azides conjugated to oligonucleotides effect crosslinking with nucleic acids and proteins upon irradiation. They also crosslink with carrier proteins (such as KLH or BSA), raising antibody against the oligonucleotides.

Vitamins according to the invention generally can be classified as water soluble or lipid soluble. Water soluble vitamins include thiamine, riboflavin, nicotinic acid or niacin, the vitamin B₆ pyridoxal group, pantothenic acid, biotin, folic acid, the B₁₂ cobamide coenzymes, inositol, choline and ascorbic acid. Lipid soluble vitamins include the vitamin A family, vitamin D, the vitamin E tocopherol family and vitamin K (and phytols). The vitamin A family, including retinoic acid and retinol, are absorbed and transported to target tissues through their interaction with specific proteins such as cytosol retinol-binding protein type II (CRBP-II), Retinol-binding protein (RBP), and cellular retinol-binding protein (CRBP). These proteins, which have been found in various parts of the human body, have molecular weights of approximately 15 kD. They have specific interactions with compounds of vitamin-A family, especially, retinoic acid and retinol.

In the context of this invention, "hybridization" shall mean hydrogen bonding, which may be Watson-Crick, Hoogsteen or reversed Hoogsteen hydrogen bonding, between complementary nucleotides. For example, adenine and thymine are complementary nucleobases which pair through the formation of hydrogen bonds. "Complementary," as used herein, also refers to sequence complementarity between two

- 38 -

nucleotides. For example, if a nucleotide at a certain position of an oligonucleotide is capable of hydrogen bonding with a nucleotide at the same position of a DNA or RNA molecule, then the oligonucleotide and the DNA or RNA 5 are considered to be complementary to each other at that position. The oligonucleotide and the DNA or RNA are complementary to each other when a sufficient number of corresponding positions in each molecule are occupied by nucleotides which can hydrogen bond with each other. Thus, 10 "specifically hybridizable" and "complementary" are terms which are used to indicate a sufficient degree of complementarity such that stable and specific binding occurs between the oligonucleotide and the DNA or RNA target. It is understood that an oligonucleotide need not be 100% 15 complementary to its target DNA sequence to be specifically hybridizable. An oligonucleotide is specifically hybridizable when binding of the oligonucleotide to the target DNA or RNA molecule interferes with the normal function of the target DNA or RNA, and there is a sufficient 20 degree of complementarity to avoid non-specific binding of the oligonucleotide to non-target sequences under conditions in which specific binding is desired, i.e. under physiological conditions in the case of *in vivo* assays or therapeutic treatment, or in the case of *in vitro* assays, 25 under conditions in which the assays are performed.

Cleavage of oligonucleotides by nucleolytic enzymes requires the formation of an enzyme-substrate complex, or in particular, a nuclease-oligonucleotide complex. The nuclease enzymes will generally require 30 specific binding sites located on the oligonucleotides for appropriate attachment. If the oligonucleotide binding sites are removed or blocked, such that nucleases are unable to attach to the oligonucleotides, the oligonucleotides will be nuclease resistant. In the case of restriction 35 endonucleases that cleave sequence-specific palindromic

- 39 -

double-stranded DNA, certain binding sites such as the ring nitrogen in the 3- and 7-positions have been identified as required binding sites. Removal of one or more of these sites or sterically blocking approach of the nuclease to 5 these particular positions within the oligonucleotide has provided various levels of resistance to specific nucleases.

This invention provides oligonucleotides possessing superior hybridization properties. Structure-activity relationship studies have revealed that an increase 10 in binding (T_m) of certain 2'-sugar modified oligonucleotides to an RNA target (complement) correlates with an increased "A" type conformation of the heteroduplex. Furthermore, absolute fidelity of the modified oligonucleotides is maintained. Increased binding of 2'-sugar modified 15 sequence-specific oligonucleotides of the invention provides superior potency and specificity compared to phosphorus-modified oligonucleotides such as methyl phosphonates, phosphate triesters and phosphoramidates as known in the literature.

20 The only structural difference between DNA and RNA duplexes is a hydrogen atom at the 2'-position of the sugar moiety of a DNA molecule versus a hydroxyl group at the 2'-position of the sugar moiety of an RNA molecule (assuming that the presence or absence of a methyl group in the uracil 25 ring system has no effect). However, gross conformational differences exist between DNA and RNA duplexes.

It is known from X-ray diffraction analysis of nucleic acid fibers (Arnott and Hukins, *Biochem. Biophys. Res. Comm.*, 1970, 47, 1504) and analysis of crystals of 30 double-stranded nucleic acids that DNA takes a "B" form structure and RNA takes the more rigid "A" form structure. The difference between the sugar puckering (C2' endo for "B" form DNA and C3' endo for "A" form RNA) of the nucleosides of DNA and RNA is the major conformational difference 35 between double-stranded nucleic acids.

- 40 -

The primary contributor to the conformation of the pentofuranosyl moiety is the nature of the substituent at the 2'-position. Thus, the population of the C3'-endo form increases with respect to the C2'-endo form as the 5 electronegativity of the 2'-substituent increases. For example, among 2'-deoxy-2'-haloadenosines, the 2'-fluoro derivative exhibits the largest population (65%) of the C3'-endo form, and the 2'-iodo exhibits the lowest population (7%). Those of adenosine (2'-OH) and deoxy- 10 adenine (2'-H) are 36% and 19%, respectively. Furthermore, the effect of the 2'-fluoro group of adenosine dimers (2'-deoxy-2'-fluoroadenosine - 2'-deoxy-2'-fluoroadenosine) is further correlated to the stabilization of the stacked conformation. Research indicates that dinucleoside 15 phosphates have a stacked conformation with a geometry similar to that of A-A but with a greater extent of base-base overlapping than A-A. It is assumed that the highly polar nature of the C2'-F bond and the extreme preference for C3'-endo puckering may stabilize the stacked 20 conformation in an "A" structure.

Data from UV hypochromicity, circular dichromism, and ¹H NMR also indicate that the degree of stacking decreases as the electronegativity of the halo substituent decreases. Furthermore, steric bulk at the 2'-position of 25 the sugar moiety is better accommodated in an "A" form duplex than a "B" form duplex.

Thus, a 2'-substituent on the 3'-nucleotidyl unit of a dinucleoside monophosphate is thought to exert a number of effects on the stacking conformation: steric repulsion, 30 furanose puckering preference, electrostatic repulsion, hydrophobic attraction, and hydrogen bonding capabilities. These substituent effects are thought to be determined by the molecular size, electronegativity, and hydrophobicity of the substituent.

- 41 -

guanosine, cytidine, and uridine dinucleoside phosphates exhibit enhanced stacking effects with respect to the corresponding unmethylated species (2'-OH). In this case, it is believed that the hydrophobic attractive forces of the 5 methyl group tend to overcome the destabililizing effects of its steric bulk.

Melting temperatures (complementary binding) are increased with the 2'-substituted adenosine diphosphates. It is not clear whether the 3'-endo preference of the 10 conformation or the presence of the substituent is responsible for the increased binding. However, greater overlap of adjacent bases (stacking) can be achieved with the 3'-endo conformation.

While we do not wish to be bound by theory, it is 15 believed that the aminoxyalkyl substituents of the present invention also result in the sugar pucker of the nucleoside being C3'-endo puckering.

Compounds of the invention can be utilized as diagnostics, therapeutics and as research reagents and kits. 20 They can be utilized in pharmaceutical compositions by adding an effective amount of an oligonucleotide of the invention to a suitable pharmaceutically acceptable diluent or carrier. They further can be used for treating organisms having a disease characterized by the undesired production 25 of a protein. The organism can be contacted with an oligonucleotide of the invention having a sequence that is capable of specifically hybridizing with a strand of target nucleic acid that codes for the undesirable protein.

The formulation of therapeutic compositions and 30 their subsequent administration is believed to be within the skill of those in the art. In general, for therapeutics, a patient in need of such therapy is administered an oligomer in accordance with the invention, commonly in a pharmaceutically acceptable carrier, in doses ranging from 35 0.01 µg to 100 g per kg of body weight depending on the age

- 42 -

of the patient and the severity of the disease state being treated. Further, the treatment may be a single dose or may be a regimen that may last for a period of time which will vary depending upon the nature of the particular disease, 5 its severity and the overall condition of the patient, and may extend from once daily to once every 20 years.

Following treatment, the patient is monitored for changes in his/her condition and for alleviation of the symptoms of the disease state. The dosage of the oligomer may either be 10 increased in the event the patient does not respond significantly to current dosage levels, or the dose may be decreased if an alleviation of the symptoms of the disease state is observed, or if the disease state has been ablated.

In some cases it may be more effective to treat a 15 patient with an oligomer of the invention in conjunction with other traditional therapeutic modalities. For example, a patient being treated for AIDS may be administered an oligomer in conjunction with AZT, or a patient with atherosclerosis may be treated with an oligomer of the 20 invention following angioplasty to prevent reocclusion of the treated arteries.

Dosing is dependent on severity and responsiveness of the disease condition to be treated, with the course of treatment lasting from several days to several months, or 25 until a cure is effected or a diminution of disease state is achieved. Optimal dosing schedules can be calculated from measurements of drug accumulation in the body of the patient. Persons of ordinary skill can easily determine optimum dosages, dosing methodologies and repetition rates. 30 Optimum dosages may vary depending on the relative potency of individual oligomers, and can generally be estimated based on EC₅₀s found to be effective in *in vitro* and *in vivo* animal models. In general, dosage is from 0.01 µg to 100 g per kg of body weight, and may be given once or more daily, 35 weekly, monthly or yearly, or even once every 2 to several

- 43 -

years.

Following successful treatment, it may be desirable to have the patient undergo maintenance therapy to prevent the recurrence of the disease state, wherein the 5 oligomer is administered in maintenance doses, ranging from 0.01 µg to 100 g per kg of body weight, once or more daily, to once every several years.

The pharmaceutical compositions of the present invention may be administered in a number of ways depending 10 upon whether local or systemic treatment is desired and upon the area to be treated. Administration may be topical (including ophthalmic, vaginal, rectal, intranasal, transdermal), oral or parenteral. Parenteral administration includes intravenous drip, subcutaneous, intraperitoneal or 15 intramuscular injection, or intrathecal or intraventricular administration.

Formulations for topical administration may include transdermal patches, ointments, lotions, creams, gels, drops, suppositories, sprays, liquids and powders. 20 Conventional pharmaceutical carriers, aqueous, powder or oily bases, thickeners and the like may be necessary or desirable. Coated condoms, gloves and the like may also be useful.

Compositions for oral administration include 25 powders or granules, suspensions or solutions in water or non-aqueous media, capsules, sachets or tablets. Thickeners, flavoring agents, diluents, emulsifiers, dispersing aids or binders may be desirable.

Compositions for intrathecal or intraventricular 30 administration may include sterile aqueous solutions which may also contain buffers, diluents and other suitable additives.

Formulations for parenteral administration may include sterile aqueous solutions which may also contain 35 buffers, diluents and other suitable additives.

- 44 -

The present invention can be practiced in a variety of organisms ranging from unicellular prokaryotic and eukaryotic organisms to multicellular eukaryotic organisms. Any organism that utilizes DNA-RNA transcription or 5 RNA-protein translation as a fundamental part of its hereditary, metabolic or cellular machinery is susceptible to such therapeutic and/or prophylactic treatment. Seemingly diverse organisms such as bacteria, yeast, protozoa, algae, plant and higher animal forms, including 10 warm-blooded animals, can be treated in this manner. Further, since each of the cells of multicellular eukaryotes also includes both DNA-RNA transcription and RNA-protein translation as an integral part of their cellular activity, such therapeutics and/or diagnostics can also be practiced 15 on such cellular populations. Furthermore, many of the organelles, e.g. mitochondria and chloroplasts, of eukaryotic cells also include transcription and translation mechanisms. As such, single cells, cellular populations or 20 organelles also can be included within the definition of organisms that are capable of being treated with the therapeutic or diagnostic oligonucleotides of the invention. As used herein, therapeutics is meant to include both the 25 eradication of a disease state, killing of an organism, e.g. bacterial, protozoan or other infection, or control of aberrant or undesirable cellular growth or expression.

The current method of choice for the preparation of naturally occurring oligonucleotides, as well as modified oligonucleotides such as phosphorothioate oligonucleotides, is via solid-phase synthesis wherein an oligonucleotide is 30 prepared on a polymer support (a solid support) such as controlled pore glass (CPG); oxalyl-controlled pore glass (see, e.g., Alul, et al., *Nucleic Acids Research* 1991, 19, 1527); TENTAGEL Support, (see, e.g., Wright, et al., *Tetrahedron Letters* 1993, 34, 3373); or POROS, a polystyrene 35 resin available from Perceptive Biosystems. Equipment for

- 45 -

such synthesis is sold by several vendors including, for example, Applied Biosystems (Foster City, CA). Any other means for such synthesis known in the art may additionally or alternatively be employed. Suitable solid phase 5 techniques, including automated synthesis techniques, are described in F. Eckstein (ed.), *Oligonucleotides and Analogues, a Practical Approach*, Oxford University Press, New York (1991).

Solid-phase synthesis relies on sequential 10 addition of nucleotides to one end of a growing oligonucleotide chain. Typically, a first nucleoside (having protecting groups on any exocyclic amine 15 functionalities present) is attached to an appropriate glass bead support and activated phosphite compounds (typically nucleotide phosphoramidites, also bearing appropriate 20 protecting groups) are added stepwise to elongate the growing oligonucleotide. Additional methods for solid-phase synthesis may be found in Caruthers U.S. Patents Nos. 4,415,732; 4,458,066; 4,500,707; 4,668,777; 4,973,679; and 5,132,418; and Koster U.S. Patents Nos. 4,725,677 and Re. 34,069.

Solid supports according to the invention include controlled pore glass (CPG), oxalyl-controlled pore glass (see, e.g., Alul, et al., *Nucleic Acids Research* **1991**, 19, 25 1527), TentaGel Support -- an aminopolyethyleneglycol derivatized support (see, e.g., Wright, et al., *Tetrahedron Letters* **1993**, 34, 3373) or Poros -- a copolymer of polystyrene/divinylbenzene.

2'-Substituted oligonucleotides were synthesized 30 by standard solid phase nucleic acid synthesis using an automated synthesizer such as Model 380B (Perkin-Elmer/Applied Biosystems) or MilliGen/Bioscience 7500 or 8800. Triester, phosphoramidite, or hydrogen phosphonate coupling chemistries (*Oligonucleotides: Antisense Inhibitors*

- 46 -

of *Gene Expression*. M. Caruthers, p. 7, J.S. Cohen (Ed.), CRC Press, Boca Raton, Florida, 1989) are used with these synthesizers to provide the desired oligonucleotides. The Beaucage reagent (*J. Amer. Chem. Soc.*, **1990**, *112*, 1253) or 5 elemental sulfur (Beaucage et al., *Tet. Lett.*, **1981**, *22*, 1859) is used with phosphoramidite or hydrogen phosphonate chemistries to provide 2'-substituted phosphorothioate oligonucleotides.

The requisite 2'-substituted nucleosides (A, G, C, 10 T(U), and other nucleosides having modified nucleobases and or additional sugar modifications) are prepared, utilizing procedures as described below.

During the synthesis of nucleosides and oligonucleotides of the invention, chemical protecting 15 groups can be used to facilitate conversion of one or more functional groups while other functional groups are rendered inactive. A number of chemical functional groups can be introduced into compounds of the invention in a blocked form and subsequently deblocked to form a final, desired 20 compound. In general, a blocking group renders a chemical functionality of a molecule inert to specific reaction conditions and can later be removed from such functionality in a molecule without substantially damaging the remainder of the molecule (Green and Wuts, *Protective Groups in 25 Organic Synthesis*, 2d edition, John Wiley & Sons, New York, 1991). For example, amino groups can be blocked as phthalimido groups, as 9-fluorenylmethoxycarbonyl (Fmoc) groups, and with triphenylmethylsulfenyl, t-BOC, benzoyl or benzyl groups. Carboxyl groups can be protected as acetyl 30 groups. Representative hydroxyl protecting groups are described by Beaucage et al., *Tetrahedron* **1992**, *48*, 2223. Preferred hydroxyl protecting groups are acid-labile, such as the trityl, monomethoxytrityl, dimethoxytrityl, trimethoxytrityl, 9-phenylxanthine-9-yl (Pixyl) and 9-(p-

- 47 -

methoxyphenyl)xanthine-9-yl (MOX) groups. Chemical functional groups can also be "blocked" by including them in a precursor form. Thus, an azido group can be used considered as a "blocked" form of an amine since the azido 5 group is easily converted to the amine. Representative protecting groups utilized in oligonucleotide synthesis are discussed in Agrawal, et al., *Protocols for Oligonucleotide Conjugates*, Eds, Humana Press; New Jersey, 1994; Vol. 26 pp. 1-72.

10 Among other uses, the oligonucleotides of the invention are useful in a ras-luciferase fusion system using ras-luciferase transactivation. As described in International Publication Number WO 92/22651, published December 23, 1992 and United States patents 5,582,972 and 15 5,582,986, commonly assigned with this application, the entire contents of which are herein incorporated by reference, the ras oncogenes are members of a gene family that encode related proteins that are localized to the inner face of the plasma membrane. Ras proteins have been shown 20 to be highly conserved at the amino acid level, to bind GTP with high affinity and specificity, and to possess GTPase activity. Although the cellular function of ras gene products is unknown, their biochemical properties, along with their significant sequence homology with a class of 25 signal-transducing proteins known as GTP binding proteins, or G proteins, suggest that ras gene products play a fundamental role in basic cellular regulatory functions relating to the transduction of extracellular signals across plasma membranes.

30 Three ras genes, designated H-ras, K-ras, and N-ras, have been identified in the mammalian genome. Mammalian ras genes acquire transformation-inducing properties by single point mutations within their coding sequences. Mutations in naturally occurring ras oncogenes 35 have been localized to codons 12, 13, and 61. The most

- 48 -

commonly detected activating ras mutation found in human tumors is in codon-12 of the H-ras gene in which a base change from GGC to GTC results in a glycine-to-valine substitution in the GTPase regulatory domain of the ras 5 protein product. This single amino acid change is thought to abolish normal control of ras protein function, thereby converting a normally regulated cell protein to one that is continuously active. It is believed that such deregulation of normal ras protein function is responsible for the 10 transformation from normal to malignant growth.

In addition to modulation of the ras gene, the oligonucleotides of the present invention that are specifically hybridizable with other nucleic acids can be used to modulate the expression of such other nucleic acids. 15 Examples include the raf gene, a naturally present cellular gene which occasionally converts to an activated form that has been implicated in abnormal cell proliferation and tumor formation. Other examples include those relating to protein kinase C (PKC) that have been found to modulate the 20 expression of PKC, those related to cell adhesion molecules such as ICAM, those related to multi-drug resistance associated protein, and viral genomic nucleic acids include HIV, herpesviruses, Epstein-Barr virus, cytomegalovirus, papillomavirus, hepatitis C virus and influenza virus (see 25 United States patents 5,166,195, 5,242,906, 5,248,670, 5,442,049, 5,457,189, 5,510,476, 5,510,239, 5,514,577, 5,514,786, 5,514,788, 5,523,389, 5,530,389, 5,563,255, 5,576,302, 5,576,902, 5,576,208, 5,580,767, 5,582,972, 5,582,986, 5,591,720, 5,591,600 and 5,591,623, commonly 30 assigned with this application, the disclosures of which are herein incorporated by reference).

As will be recognized, the steps of the methods of the present invention need not be performed any particular number of times or in any particular sequence. Additional 35 objects, advantages, and novel features of this invention

- 49 -

will become apparent to those skilled in the art upon examination of the following examples thereof, which are intended to be illustrative and not intended to be limiting.

EXAMPLE 1

5 **Methyl-2-O-(2-ethylacetyl)-3,5-bis-O-(2,4-dichlorobenzyl)- α -D-ribofuranoside (3, Figure 1)**

Compound **2** (Figure 1) (multigram quantities of **2** were prepared from **1** via the literature procedure, Martin, P. *Helv. Chem. Acta*, 1995, 78, 486-504) was dissolved in DMF 10 (86 mL) with cooling to 5 °C, and NaH (60% dispersion, 1.38 g, 34.38 mmol) was added. The reaction mixture was stirred at 5 °C for 5 minutes then warmed to ambient temperature and stirred for 20 minutes after which time the reaction mixture was cooled to 5 °C and ethylbromoacetate (3.81 mL, 34.4 15 mmol) was added dropwise resulting in the evolution of gas. The reaction mixture was allowed to warm to ambient temperature and stirred for 3 hours after which time the mixture was cooled to 5 °C and the pH was adjusted to 3 with saturated aqueous NH₄Cl. The solvent was evaporated in vacuo to give a syrup which was dissolved in EtOAc (200 mL), 20 washed with water and then brine. The organic layer was separated, dried with MgSO₄, and the solvent was evaporated in vacuo to give an oil. The oil was purified by flash chromatography using hexanes-EtOAc, 60:40, to give the title 25 compound (**3**) as an oil (15.52 g, 95%). ¹H NMR (CDCl₃): δ 7.58-7.18 (m, 6H), 5.05 (d, J = 3.8 Hz, 1H), 4.79 (q, J_{AB} = 13.7 Hz, 2H), 4.57 (d, J = 2.8 Hz, 2H), 4.31-4.16 (m, 5H), 4.03 (m, 2H), 3.62 (d, 2H), 3.50 (s, 3H), 1.28 (t, 3H). ¹³C NMR (CDCl₃): δ 170.0, 134.2, 133.6, 133.5, 130.3, 129.8, 30 129.1, 128.8, 127.1, 102.1, 81.4, 78.9, 76.6, 70.6, 70.0, 69.3, 67.6, 61.0, 55.6, 14.2. Anal. Calcd for C₂₄H₂₆Cl₄O₇·H₂O: C, 49.17; H, 4.81. Found: C, 49.33; H, 4.31.

- 50 -

EXAMPLE 2

1-[2'-O-(2-ethylacetyl)-3',5'-bis-O-(2,4-dichlorobenzyl)- β -D-ribofuranosyl]thymine (4, Figure 1)

Thymine (6.90 g, 54.6 mmol) was suspended in
5 anhydrous dichloroethane (136 mL) and bis-
trimethylsilylacetamide (40.5 mL, 164 mmol) was added. The
reaction mixture was heated to reflux temperature for 10
minutes to give dissolution. After cooling to ambient
temperature, the solution was added to compound **3** with
10 stirring. Trimethylsilyl trifluoromethanesulfonate (6.86
mL, 35.5 mmol) was added and the reaction mixture was heated
to reflux for 6 hours. The mixture was cooled to 5 °C and
the pH was adjusted to 7 by the slow addition of saturated
NaHCO₃. The mixture was extracted with CH₂Cl₂ (3 x 150 mL)
15 and the organic extracts were combined, washed with brine,
and the solvent was evaporated in vacuo to give an oil. The
oil was dissolved in CH₂Cl₂ and purified by flash
chromatography using hexanes-EtOAc, 45:55, to provide the
title compound (**4**) as an oil (7.92 g, 44%). (The α -anomer
20 was contained in a later fraction). ¹H NMR (400 MHz, CDCl₃):
 δ 8.25 (s, 1H), 7.67 (s, 1H), 7.46-7.21 (m, 6H), 5.94 (d, J
= 1.6 Hz, 1H), 4.80 (q, J_{HB} = 12.4 Hz, 2H), 4.70-4.18 (m,
9H), 4.02 (d, 1H), 3.75 (d, 1H), 1.58 (s, 3H), 1.26 (t, 3H).
¹³C NMR (CDCl₃): δ 170.1, 164.3, 150.3, 135.5, 134.5, 134.2,
25 134.1, 133.8, 133.5, 130.7, 130.2, 129.4, 129.0, 127.1,
110.3, 88.4, 80.8, 80.5, 74.7, 70.1, 68.9, 68.0, 66.2, 60.9,
14.1, 12.1. Anal. Calcd for C₂₈H₂₈Cl₄N₂O₈·H₂O: C, 49.43; H,
4.44; N, 4.12. Found: C, 49.25; H, 4.10; N, 3.94.

EXAMPLE 3

30 1-[2'-O-(2-hydroxyethyl)-3',5'-bis-O-(2,4-dichlorobenzyl)- β -D-ribofuranosyl]thymine (5, Figure 1)

Compound **4** (9.92 g, 15.0 mmol) was dissolved in
hot EtOH (150 mL) and the solution was cooled to ambient

- 51 -

temperature in a water bath. To the solution was cautiously added NaBH_4 (1.13 g, 30.0 mmol) over 10 minutes. After 3 hours additional NaBH_4 (282 mg, 7.45 mmol) was added the mixture was stirred for 1 hour and left to stand for 8 hours. The pH was adjusted to 4 by addition of Saturated NH_4Cl (25 mL) to give a gum. The solvent was decanted and evaporated in vacuo to afford a white solid which was dissolved in CH_2Cl_2 (250 mL). The gum was dissolved with saturated aqueous NaHCO_3 , and this solution was gently extracted with the CH_2Cl_2 containing the product. The organic layer was separated and the aqueous layer was extracted again with CH_2Cl_2 (2 x 50 mL). After combining the organic layers, the solvent was dried over MgSO_4 and evaporated in vacuo to afford a white foam. The foam was dissolved in CH_2Cl_2 and purified by flash chromatography using hexanes-EtOAc, 20:80, to give the title compound (**5**) as a white foam (8.39 g, 90%). ^1H NMR (CDCl_3): δ 10.18 (s, 1H), 7.66 (s, 1H), 7.39-7.20 (m, 6H), 5.96 (s, 1H), 4.76-3.62 (m, 14H), 1.58 (s, 3H). ^{13}C NMR (CDCl_3): δ 164.0, 150.8, 135.2, 134.6, 134.2, 134.1, 133.5, 133.4, 130.2, 129.4, 129.0, 127.1, 110.6, 88.6, 81.0, 80.7, 75.2, 72.0, 70.1, 68.9, 68.1, 61.9, 12.1.

EXAMPLE 4

1-[2'-O-(2-phthalimido-N-hydroxyethyl)-3',5'-bis-O-(2,4-dichlorobenzyl)- β -D-ribofuranosyl]thymine (**6**, Figure 1)

Compound **5** was dried by coevaporation with anhydrous acetonitrile followed by further drying in vacuo (0.1 torr) at ambient temperature for 12 h. The dried material (8.39 g, 13.53 mmol) was dissolved in freshly distilled THF (97 mL), PPh_3 (3.90 g, 14.9 mmol), and N-hydroxypthalimide (2.43 g, 14.9 mmol) was added. The reaction mixture was cooled to -78 °C, and diethyl azodicarboxylate (2.34 mL, 14.9 mmol) was added. The

- 52 -

reaction mixture was warmed to ambient temperature and the solvent was evaporated in vacuo to give a foam. The foam was dissolved in EtOAc (100 mL) and washed with saturated aqueous NaHCO₃ (3 x 30 mL). The organic layer was separated, 5 washed with brine, dried over MgSO₄, and the solvent evaporated to give a foam. The foam was purified by flash chromatography using CH₂Cl₂-acetone, 85:15, to give the title compound (**6**) as a white foam (3.22 g, 31%). A second chromatographic purification provided additional **6** as a 10 white foam (5.18 g, 50%). ¹H NMR (400 MHZ, CDCl₃): δ 9.0 (s, 1H), 7.8 (m, 11H), 5.95 (s, 1H), 4.84-3.70 (m, 13H), 1.60 (s, 3H). ¹³C NMR (100 MHZ, CDCl₃): δ 163.7, 163.5, 150.2, 138.0, 135.6, 134.5, 134.1, 134.0, 133.9, 133.7, 133.6, 130.6, 130.4, 130.1, 129.8, 129.4, 129.1, 129.0, 128.8, 15 127.2, 123.5, 110.4, 88.2, 81.0, 80.9, 77.6, 75.4, 70.2, 68.9, 68.4, 68.1, 12.1. LRMS (FAB+) *m/z* : 766 (M + H). LRMS (FAB-) *m/z* : 764 (M - H).

EXAMPLE 5

20 **1-[2'-O-(2-phthalimido-N-oxyethyl)-3',5'-bis-O-(2,4-dichlorobenzyl)-β-D-ribofuranosyl]thymine (7, Figure 2)**

Compound **6** (1.79 g, 2.34 mmol) was dissolved in CH₂Cl₂ (12 mL), the solution was cooled to -78 °C and 1.0 M boron trichloride (5.15 mL, 5.15 mmol) in CH₂Cl₂ was added and the reaction mixture was kept at 5 °C for 1.5 hours. 25 Additional 1.0 M boron trichloride (5.15 mL, 5.15 mmol) in CH₂Cl₂ was added and the solution was stirred at 5° for an additional 1.5 hours. The pH was adjusted to 7 with saturated aqueous NaHCO₃ (30 mL). After dilution with CH₂Cl₂ (100 mL), the organic layer was separated, and the aqueous 30 layer was extracted with CHCl₃ (5 x 25 mL) and then EtOAc (3 x 25 mL). The organic layers were combined, dried over Na₂SO₄, and evaporated in vacuo to give an oil. The oil was purified by flash chromatography using CH₂Cl₂-acetone, 45:55,

- 53 -

to provide the title compound (**7**) as a white foam (619 mg, 59%). ^1H NMR (CDCl₃): δ 8.8 (br, 1H), 7.88-7.75 (m, 4H), 7.50 (s, 1H), 5.70 (d, J = 4 Hz, 1H), 4.45-3.75 (m, 11H), 2.95 (br, 1H), 1.90 (s, 3H). ^{13}C NMR (100 MHZ, CDCl₃): δ 164.3, 163.7, 150.6, 137.4, 134.7, 128.5, 123.6, 110.5, 89.7, 84.7, 81.9, 77.6, 68.5, 68.4, 61.0, 12.3. LRMS (FAB+) m/z : 448 (M + H). LRMS (FAB-) m/z : 446 (M - H).

EXAMPLE 6

10 **1-[2'-O-(2-phthalimido-N-oxyethyl)-5'-O-(4,4'-dimethoxytrityl)- β -D-ribofuranosyl]thymine (8, Figure 2)**

Compound **7** was dried by coevaporation with anhydrous acetonitrile followed by further drying in vacuo (0.1 torr) at ambient temperature for 12 hours. The dried material (619 mg, 1.38 mmol) was dissolved in anhydrous pyridine (7 mL) and 4,4'-dimethoxytrityl chloride (514 mg, 1.52 mmol) was added. After 2 hours additional 4,4'-dimethoxytrityl chloride (257 mg, 0.76 mmol) was added. The solution was stirred for 2 hours and a final addition of 4,4'-dimethoxytrityl chloride (257 mg, 0.76 mmol) was made. After 12 h MeOH (10 mL) was added to the reaction mixture, it was stirred for 10 min and the solvent was evaporated in vacuo to give an oil which was coevaporated with toluene. The oil was purified by flash chromatography by pre-treating the silica with CH₂Cl₂-acetone-pyridine, 80:20:1, then using CH₂Cl₂-acetone, 80:20 to afford the title compound (**8**) as a yellow solid (704 mg, 68%). ^1H NMR (CDCl₃): δ 7.8-6.8 (m, 18H), 5.94 (d, J = 2.2 Hz, 1H), 4.57-4.12 (m, 7H), 3.78 (s, 6H), 3.53 (m, 2H), 1.34 (s, 3H). ^{13}C NMR (CDCl₃): δ 164.3, 163.8, 158.6, 150.6, 144.4, 135.5, 135.4, 134.7, 130.1, 128.7, 128.2, 128.0, 127.1, 123.7, 113.3, 110.9, 87.9, 86.7, 83.2, 68.7, 68.5, 61.7, 55.2, 11.9. LRMS (FAB+) m/z : 750 (M + H). LRMS (FAB-) m/z : 748 (M - H). Anal. Calcd for C₄₁H₃₉N₃O₁₁·H₂O: C, 65.14; H, 5.38; N, 5.47. Found: C, 63.85;

- 54 -

H, 5.16; N, 5.14. Anal. Calcd for C₄₁H₃₉N₃O₁₁: C, 65.68; H, 5.24; N, 5.60. Found: C, 65.23; H, 5.27; N, 5.45.

EXAMPLE 7

1-[2'-O-(2-phthalimido-N-oxyethyl)-5'-O-(4,4'-
5 dimethoxytrityl)-β-D-ribofuranosyl]thymine-3'-[(2-
cyanoethyl)-N,N-diisopropylphosphoramidite] (9, Figure 2)

Compound 8 was dried by coevaporation with anhydrous pyridine (2 x 20 mL), then further dried in vacuo (0.1 torr) at ambient temperature for 12 hours. The dried 10 material (704 mg, 0.939 mmol) was dissolved in CH₂Cl₂ (9 mL), diisopropylamine tetrazolate (80.4 mg, 0.47 mmol) and 2-cyanoethyl-N,N,N',N'-tetraisopropylphosphorodiamidite (0.33 mL, 1.03 mmol) with stirring. After 2 hours at ambient 15 temperature additional 2-cyanoethyl-N,N,N',N'-tetraisopropylphosphorodiamidite (0.33 mL, 1.03 mmol) was added and the solution was stirred for 20 hours. The solvent was evaporated in vacuo to give an oil which was purified by flash chromatography by pre-treating the silica with CH₂Cl₂-acetone-pyridine, 85:15:1, then using CH₂Cl₂-acetone, 85:15 20 to afford the title compound (9) as an oil (704 mg, 68%). The product was coevaporated with anhydrous acetonitrile (2 x 30 mL) and CH₂Cl₂ (2 x 30 mL) to afford a yellow foam. ¹H NMR (CDCl₃): δ 8.6 (br, 1H), 7.78-6.82 (m, 18H), 6.06 (m, 1H), 4.6-3.3 (m, 14H), 3.75 (s, 6H), 2.66 (m, 1H), 2.37 (m, 1H), 1.36 (s, 3H), 1.16 (m, 12H). ³¹P NMR (CDCl₃): δ 150.5, 25 151.2. LRMS (FAB+) m/z : 950 (M + H). LRMS (FAB-) m/z : 948 (M - H). Anal. Calcd for C₅₀H₅₆N₅O₁₂P·H₂O: C, 62.04; H, 6.04; N, 7.24. Found: C, 62.20; H, 5.94; N, 7.34.

EXAMPLE 8

30 2'-O-(2-ethylacetyl)-3',5'-O-(1,1,3,3-tetraisopropylidisiloxane-1,3-diyl)adenosine (11, Figure 3)

Adenosine (30.00 g, 112 mmol) was dissolved in hot

- 55 -

anhydrous DMF (600 mL) and the solution was cooled to ambient temperature. NaH (60% dispersion oil, 4.94 g, 124 mmol) was added and the mixture was stirred with a mechanical stirrer for 1 hour. The resulting suspension was 5 cooled to 5 °C and ethylbromoacetate (13.7 mL, 124 mmol) was added. The resulting solution was stirred for 12 hours at ambient temperature and the solvent was evaporated in vacuo to give a residue which contained 2'-O-(2-ethylacetyl)adenosine (**10**) and the putative 3'-O-isomer. 10 This material was coevaporated with pyridine to give a foam which was dissolved in anhydrous pyridine (400 mL). 1,3-Dichloro-1,1,3,3-tetraisopropylsiloxane (39.52 mL, 124 mmol) was added and the solution was stirred for 24 hours at ambient temperature. The solvent was evaporated in vacuo to 15 give an oil which was dissolved in EtOAc (500 mL) and washed with brine three times. The organic layer was separated, dried over MgSO₄, and the solvent was evaporated in vacuo to afford an oil. The oil was purified by flash chromatography using hexanes-EtOAc, 80:20, to give the title compound (**11**) 20 as an oil (14.63 g, 22%). ¹H NMR (CDCl₃): δ 8.26 (s, 1H), 8.07 (s, 1H), 6.20 (br s, 2H), 4.91 (dd, J_{1',2'} = 4.7 Hz, J_{2',3'} = 9.3 Hz, 1H), 4.64-3.97 (m, 8H), 1.22 (t, 3H), 1.05 (m, 28 H). ¹³C NMR (CDCl₃): δ 170.0, 155.5, 152.8, 149.0, 139.3, 120.2, 88.6, 82.2, 81.1, 69.9, 68.3, 60.8, 60.0, 17.2, 14.0, 25 12.7. Anal. Calcd for C₂₆H₄₅N₅O₇Si₂: C, 52.41; H, 7.61; N, 11.75, Si, 9.43. Found: C, 52.23; H, 7.34; N, 11.69.

EXAMPLE 9

2'-O-(2-hydroxyethyl)-3',5'-O-(1,1,3,3-tetraisopropylidisiloxane-1,3-diyl)adenosine (12, Figure 3)

30 Compound **11** (4.175 g, 7.01 mmol) was dissolved in ethanol (95%, 40 mL) and the resulting solution was cooled to 5 °C. NaBH₄ (60% oil dispersion, 0.64 g, 16.8 mmol) was added, and the mixture was allowed to warm to ambient

- 56 -

temperature. After stirring for 12 hours CH_2Cl_2 (200 mL) was added and the solution was washed with brine twice and the organic layer was separated. The organic layer was dried over MgSO_4 , and the solvent was evaporated in vacuo to give 5 an oil. The oil was purified by flash chromatography using EtOAc-MeOH , 95:5, to afford the title compound (**12**) as an oil (0.368 g, 9.5%). ^1H NMR (CDCl_3): δ 8.31 (s, 1H), 8.14 (s, 1H), 6.18 (br s, 2H), 6.07 (s, 1H), 4.62 (dd, $J_{1',2'} = 4.6$ Hz, $J_{2',3'} = 9.4$ Hz, 1H), 4.3-3.5 (m, 8H), 1.03 (m, 28H). 10 ^{13}C NMR (CDCl_3): δ 155.5, 153.0, 148.7, 138.3, 120.3, 89.2, 82.7, 81.4, 73.5, 69.3, 61.8, 59.7, 17.2, 17.0, 16.8, 13.4, 12.9, 12.8, 12.6. LRMS (FAB+) m/z : 554 (M + H), 686 (M + Cs $^+$).

EXAMPLE 10

15 **2'-O-(2-Phthalimido-N-hydroxyethyl)-3',5'-O-(1,1,3,3-tetraisopropylsiloxy-1,3-diyl)adenosine (13, Figure 4)**

To a solution of compound **12** (0.330 g, 0.596 mmol) in anhydrous THF (10 mL) was added triphenylphosphine (0.180 g, 0.685 mmol) and N-hydroxyphthalimide (0.112 g, 0.685 mmol). To this mixture diethyl azodicarboxylate (0.11 mL, 685 mmol) was added dropwise at 5 °C. After stirring for 3 hours at ambient temperature, the solvent was evaporated to give an oil. The oil was dissolved in EtOAc and washed with saturated aqueous NaHCO_3 (x3) and brine. The organic layer 20 was separated, dried over MgSO_4 . The solvent was evaporated in vacuo to give an oil. The oil was purified by flash chromatography using EtOAc-MeOH , 95:5, to give the title compound (**13**) as an oil (0.285 g, 68%). ^1H NMR (CDCl_3): δ 8.21 (s, 1H), 8.05 (s, 1H), 7.8-7.45 (m, 4H), 6.00 (s, 1H), 5.88 (br s, 2H), 4.92 (dd, $J_{1',2'} = 4.6$, $J_{2',3'} = 9.0$ Hz), 4.5-30 3.9 (m, 8H), 1.0 (m, 28H). ^{13}C NMR (CDCl_3): δ 163, 155.3, 152.8, 149, 139.6, 134.3, 123.4, 120, 88.7, 82.7, 81.1, 77.4, 70.2, 69.5, 60.1, 17.4, 17.2, 17.0, 16.9, 13.3, 12.9,

- 57 -

12.7, 12.6. LRMS (FAB+) m/z : 699 (M + H).

EXAMPLE 11

N⁶-Benzoyl-2'-O-(2-phthalimido-N-hydroxyethyl)-3',5'-O-(1,1,3,3-tetraisopropylsilyl)adenosine (14),

5 **Figure 4)**

To a solution of compound **13** (1.09 g, 1.97 mmol) in anhydrous pyridine (19 mL) cooled to 5 °C was added benzoyl chloride (1.14 mL, 9.8 mmol) and the resulting mixture was stirred at ambient temperature for 12 hours.

10 After cooling the mixture to 5 °C, cold water (3.8 mL) was added, the mixture was stirred for 15 minutes, and conc NH₄OH (3.8 mL) was added. After stirring for 30 minutes at 5 °C the solvent was evaporated to give a residue which was dissolved in water and extracted with CH₂Cl₂ three times.

15 The organic extracts were combined, dried over MgSO₄, and evaporated in vacuo to afford an oil. The oil was purified by flash chromatography using hexanes-EtOAc, 50:50, then 20:80, to give the title compound (**14**) as an oil (0.618 g, 48%). ¹H NMR (CDCl₃): δ 9.2 (br s, 1H), 8.69 (s, 1H), 8.27 (s, 1H), 8.0-7.4 (m, 9H), 6.12 (s, 1H), 4.95 (dd, J _{1',2'} = 4.7 Hz, J _{2',3'} = 9.1 Hz, 1H), 4.5-4.0 (m, 8H), 1.06 (m, 28H).

20 ¹³C NMR (CDCl₃): δ 164.4, 163.3, 152.5, 150.8, 149.3, 142.1, 134.4, 133.7, 132.6, 132.1, 128.7, 128.2, 127.7, 123.4, 88.9, 82.7, 81.3, 77.5, 70.1, 69.6, 60.0, 17.2, 17.0, 16.8,

25 13.3, 12.8, 12.7, 12.6. LRMS (FAB+) m/z : 803 (M + H).

EXAMPLE 12

N⁶-Benzoyl-2'-O-(2-phthalimido-N-hydroxyethyl)adenosine (15),

Figure 4)

To a solution of compound **14** (0.680 g, 0.847 mmol) in THF (20 mL) in a polyethylene reaction vessel at 5 °C was added HF-pyridine (70%, 0.48 mL, 16.9 mmol) and the resulting mixture was warmed to ambient temperature. After

- 58 -

stirring for 12 hours the solvent was evaporated in vacuo, EtOAc was added, the solution was washed with water, and the aqueous layer was separated and extracted with EtOAc. The organic layers were combined, dried over MgSO₄, and the solvent was evaporated in vacuo to give the title compound (15) as a solid (408 mg, 86%). ¹H NMR (DMSO-d₆): δ 11.2 (br s, 1H), 8.71 (s, 1H), 8.67 (s, 1H), 8.0-7.5 (m, 9H), 6.11 (d, J 1',2' = 5.7 Hz), 5.23 (d, 1H), 5.14 (t, 1H), 4.66 (t, 1H), 4.35 (m, 3H), 3.90 (m, 3H), 3.6 (m, 2H). ¹³C NMR (DMSO-d₆): δ 163.5, 152.0, 143.2, 135.0, 132.6, 131.9, 131.7, 129.3, 128.7, 128.5, 123.4, 86.3, 85.8, 81.3, 76.8, 69.0, 68.7, 61.3. LRMS (FAB+) m/z : 561 (M + H, 583 (M + Na⁺).

EXAMPLE 13

N⁶-Benzoyl-2'-O-(2-phthalimido-N-oxyethyl)-5'-O-(4,4'-dimethoxytrityl)adenosine (16, Figure 4)

To a solution of compound 15 (0.258 g, 0.46 mmol) in anhydrous pyridine (5 mL) was added 4,4'-dimethoxytrityl chloride (0.179 g, 0.53 mmol) and the solution was stirred for 12 hours at ambient temperature. Water was added and the mixture was extracted with EtOAc three times. The organic extracts were combined, evaporated in vacuo, and dried over MgSO₄. The resulting oil was purified by flash chromatography using hexanes-EtOAc, 90:10, to give the title compound (16) as an oil (0.249 g, 63%). ¹H NMR (CDCl₃): δ 9.16 (br s, 1H), 8.68 (s, 1H), 8.28 (s, 1H), 8.1-6.8 (m, 22H), 6.26 (d, J 1',2' = 4.0 Hz, 1H), 4.76 (m, 1H), 4.60 (m, 1H), 4.4-4.3 (m, 3H), 4.13-4.0 (m, 3H), 3.77 (s, 6H), 3.48 (m, 2H). ¹³C NMR (CDCl₃): δ 164.5, 163.6, 158.5, 152.6, 151.4, 149.5, 144.5, 141.9, 135.7, 134.7, 132.7, 130.1, 128.8, 128.2, 127.8, 126.9, 123.7, 113.2, 87.2, 84.1, 82.6, 69.9, 69.0, 63.0, 60.3, 55.2. HRMS (FAB+) m/z (M + Cs⁺) calcd for C₄₈H₄₂N₆O₁₀ 995.2017, found 995.2053 (M + Cs⁺).

- 59 -

EXAMPLE 14

N⁶-Benzoyl-2'-O-(2-phthalimido-N-oxyethyl)-5'-O-(4,4'-dimethoxytrityl)adenosine-3'-[(2-cyanoethyl)-N,N-diisopropylphosphoramidite] (17, Figure 4)

5 To a solution of compound **16** (0.300 g, 0.348 mmol) in CH₂Cl₂ (10 mL) was added diisopropylamine tetrazolide (0.030 g, 0.174 mmol) and 2-cyanoethyl-N,N,N',N'-tetraisopropylphosphorodiamidite (0.13 mL, 0.418 mmol). After stirring for 12 hours at ambient temperature

10 additional diisopropylamine tetrazolide (0.060 g, 0.348 mmol) and 2-cyanoethyl-N,N,N',N'-tetraisopropylphosphorodiamidite (0.26 mL, 0.832 mmol) were added in two portions over 24 hours. After 24 hours CH₂Cl₂-NET₃, 100:1, was added and the mixture was washed with

15 saturated aqueous NaHCO₃ and brine. The organic layer was separated, dried over MgSO₄, and the solvent was evaporated in vacuo. The resulting oil was purified by flash chromatography by pre-treating the silica with hexanes-EtOAc-NEt₃, (40:60:1), then using the same solvent system to

20 give the title compound (**17**) as an oil (203 g, 55%). ¹H NMR (CDCl₃): δ 6.27 (m, 1H). ³¹P NMR (CDCl₃): δ 151.0, 150.5. HRMS (FAB+) m/z (M + Cs⁺) calcd for C₅₇H₅₉N₈O₁₁P 1195.3095, found 1195.3046 (M + Cs⁺).

EXAMPLE 15

25 **2'-O-(2-aminoxyethyl)-3',5'-O-(1,1,3,3-tetraisopropyldisiloxane-1,3-diyl)adenosine (18, Figure 5)**

To a solution of compound **13** (0.228 g, 0.326 mmol) in CH₂Cl₂ (5 mL) at 5 °C was added methylhydrazine (0.017 mL, 0.326 mmol) with stirring for 2 hours. The mixture was

30 filtered to remove a precipitate and the filtrate was washed with water and brine. The organic layer was separated, dried over MgSO₄, and the evaporated in vacuo to give the title compound (**18**) as an oil (186 mg). The oil was of

- 60 -

sufficient purity for subsequent reactions. ^1H NMR (CDCl_3): δ 8.31 (s, 1H), 8.15 (s, 1H), 6.07 (s, 1H), 5.78 (br s, 2H), 4.70 (dd, $J_{1',2'} = 4.4$ Hz, $J_{2',3'} = 9.0$ Hz, 1H), 4.3-3.9 (m, 8H), 1.9 (br, 2H), 1.0 (m, 28H). LRMS (FAB+) m/z : 569 (M + H), 702 (M + Cs $^+$).

EXAMPLE 16

2'-O-(2-O-Formaldoximylethyl)-3',5'-O-(1,1,3,3-tetraisopropyldisiloxane-1,3-diyl)adenosine (19, Figure 5)

To a solution of compound **18** (0.186 g, 0.326 mmol) in EtOAc (2 mL) and MeOH (2 mL) was added formaldehyde (aqueous 37%, 0.028 mL, 0.342 mmol) with stirring at ambient temperature for 3 hours. The solvent was evaporated in vacuo to give the title compound (**19**) as an oil (189 mg). The oil was of sufficient purity for subsequent reactions.

^1H NMR (CDCl_3): δ 8.31 (s, 1H), 8.09 (s, 1H), 6.97 (d, $J = 8.3$ Hz, 1H), 6.38 (d, $J = 8.3$ Hz, 1H), 6.01 (s, 1H), 5.66 (br s, 2H), 4.77 (dd, $J_{1',2'} = 4.7$ Hz, $J_{2',3'} = 9.3$ Hz), 4.3-4.0 (m, 8H), 1.0 (m, 28H). LRMS (FAB+) m/z : 581 (M + H), 713 (M + Cs $^+$).

EXAMPLE 17

N^6 -Benzoyl-2'-O-(2-O-formaldoximylethyl)-3',5'-O-(1,1,3,3-tetraisopropyldisiloxane-1,3-diyl)adenosine (20, Figure 5)

To a solution of compound **19** (0.189 g, 0.326 mmol) in pyridine (5 mL) at 5 °C was added benzoyl chloride (0.19 mL, 1.63 mmol) and the resulting solution was stirred at ambient temperature for 3 hours. The solution was cooled to 5 °C and concentrated NH₄OH (1.5 mL) was added with stirring for 1 hour. The solvent was evaporated in vacuo to give an oil which was dissolved in CH₂Cl₂. The solution was washed with water and the organic layer was separated, dried with MgSO₄, and the solvent was evaporated to give the title compound (**20**) (223 mg) as an oil which was of sufficient

- 61 -

purity for subsequent reactions. ^1H NMR (CDCl_3): δ 9.30 (br, 1H), 8.79 (s, 1H), 8.31 (s, 1H), 8.1-7.2 (m, 5H), 7.00 (d, 1H), 6.39 (d, 1H), 6.09 (s, 1H), 4.77 (dd, 1H), 4.4-3.9 (m, 8H), 1.1 (m, 28H).

5 **EXAMPLE 18**

$\text{N}^6\text{-Benzoyl-2}'\text{-O-(2-O-formaldoximylethyl)adenosine (21, Figure 5)}$

To a solution of compound **20** (223 mg, 0.326 mmol) in THF (10 mL) in a polyethylene reaction vessel at 5 °C was added HF-pyridine (70%, 0.19 mL, 6.5 mmol) and the mixture was allowed to warm to ambient temperature. After stirring for 48 hours the solvents were evaporated in vacuo to give a residue which was dissolved in EtOAc and washed with water. The organic layer was separated, the aqueous layer was extracted with EtOAc, and the organic layers were combined, dried over MgSO_4 , and evaporated in vacuo. The resulting residue was purified by flash chromatography using EtOAc-MeOH, 95:5, to give the title compound (**21**) as a solid (24 mg, 17% from **13**). ^1H NMR (CDCl_3): δ 9.05 (br s, 1H), 8.77 (s, 1H), 8.13 (s, 1H), 7.9-7.2 (m), 6.26 (d, $J = 10.7$ Hz, 1H), 6.03 (d, $J_{1',2'} = 7.8$ Hz), 4.88 (dd, $J = 4.6$ Hz, $J = 7.9$ Hz, 1H), 4.6-3.7 (m, 10H). LRMS (FAB+) m/z : 443 (M + H). LRMS (FAB-) m/z : 441 (M - H).

EXAMPLE 19

$\text{N}^6\text{-Benzoyl-2}'\text{-O-(2-O-formaldoximylethyl)-5'-O-(4,4'-dimethoxytrityl)adenosine (21A, Figure 5)}$

To a solution of compound **21** (0.34 g, 0.768 mmol) in pyridine (7 mL) was added 4,4'-dimethoxytrityl chloride (0.312 g, 0.922 mmol) and the reaction mixture was stirred at ambient temperature for 5 hours. Additional amounts of 4,4'-dimethoxytrityl chloride (520 mg, 1.54 mmol and 340 mg, 0.768 mmol) were added over 24 hours. The

- 62 -

solvent was evaporated, the crude product was dissolved in EtOAc, and washed with water. The organic layer was separated, dried over MgSO₄, and the solvent was evaporated in vacuo. The crude material was purified by column chromatography using EtOAc-Hexanes-NEt₃, 80:20:0.5, v/v/v, followed by, EtOAc-NEt₃, 100:0.5, v/v, as solvent to give the title compound (**21A**) as an oil (0.269 g, 47%). ¹H NMR (CDCl₃): δ 8.99 (br s, 1H), 8.74 (s, 1H), 8.1-6.8 (m, 18H), 7.00 (d, 1H), 6.43 (d, 1H), 6.19 (d, 1H), 4.72 (m, 1H), 4.48 (m, 1H), 4.23 (m, 3H), 4.1 (m, 1H), 3.9 (m, 1H), 3.78 (s, 6H), 3.45 (m, 2H), 3.15 (d, 1H). HRMS (FAB+) m/z (M + Cs⁺) calcd for C₄₁H₄₀N₆O₈ 877.1962, found 877.1988 (M + Cs⁺).

EXAMPLE 20

2'-O-Allyl-5'-O-dimethoxytrityl-5-methyluridine

15 In a 100 mL stainless steel pressure reactor, allyl alcohol (20 mL) was slowly added to a solution of borane in tetrahydrofuran (1 M, 10 mL, 10 mmol) with stirring. Hydrogen gas rapidly evolved. Once the rate of bubbling subsided, 2,2'-anhydro-5-methyluridine (1.0 g, 0.42 mmol) and sodium bicarbonate (6 mg) were added and the reactor was sealed. The reactor was placed in an oil bath and heated to 170 °C internal temperature for 18 hours. The reactor was cooled to room temperature and opened. TLC revealed that all the starting material was gone (starting material and product Rf 0.25 and 0.60 respectively in 4:1 ethyl acetate/methanol on silica gel). The crude solution was concentrated, coevaporated with methanol (50 mL), boiling water (15 mL), absolute ethanol (2x25 mL) and then the residue was dried to 1.4 g of tan foam (1 mm Hg, 25 °C, 2 hours). A portion of the crude nucleoside (1.2 g) was used for the next reaction step without further purification. The residue was coevaporated with pyridine (30 mL) and redissolved in pyridine (30 mL). Dimethoxytrityl chloride (1.7 g, 5.0 mmol) was added in one

- 63 -

portion at room temperature. After 2 hours the reaction was quenched with methanol (5 mL), concentrated in vacuo and partitioned between a solution of saturated sodium bicarbonate and ethyl acetate (150 mL each). The organic 5 phase was separated, concentrated and the residue was subjected to column chromatography (45 g silica gel) using a solvent gradient of hexanes-ethyl acetate-triethylamine (50:49:1) to (60:39:1). The product containing fractions were combined, concentrated, coevaporated with acetonitrile 10 (30 mL) and dried (1 mm hg, 25 °C, 24 hours) to 840 mg (34% two-step yield) of white foam solid. The NMR was consistent with the unmethylated uridine analog reported in the literature.

EXAMPLE 21

15 **2'-O-(2-hydroxyethyl)-5'-O-dimethoxytrityl-5-methyluridine**
2'-O-Allyl-5'-O-dimethoxytrityl-5-methyluridine (1.0 g, 1.6 mmol), aqueous osmium tetroxide (0.15 M, 0.36 mL, 0.0056 mmol, 0.035 eq) and 4-methylmorpholine N-oxide (0.41 g, 3.5 mmol, 2.15 eq) were dissolved in dioxane (20 mL) and stirred at 25 °C for 4 hours. Tlc indicated 20 complete and clean reaction to the diol (Rf of starting to diol 0.40 to 0.15 in dichloromethane/methanol 97:3 on silica). Potassium periodate (0.81 g, 3.56 mmol, 2.2 eq) was dissolved in water (10 mL) and added to the reaction. 25 After 17 hours the tlc indicated a 90% complete reaction (aldehyde Rf 0.35 in system noted above). The reaction solution was filtered, quenched with 5% aqueous sodium bisulfite (200 mL) and the product aldehyde was extracted with ethyl acetate (2x200 mL). The organic layers were 30 combined, washed with brine (2x100 mL) and concentrated to an oil. The oil was dissolved in absolute ethanol (15 mL) and sodium borohydride (1 g) was added. After 2 hours at 25 °C the tlc indicated a complete reaction. Water (5 mL) was added to destroy the borohydride. After 2 hours the

- 64 -

reaction was stripped and the residue was partitioned between ethyl acetate and saturated sodium bicarbonate solution (50 mL each). The organic layer was concentrated in vacuo and the residue was columned (silica gel 30 g, 5 dichloromethane-methanol 97:3). The product containing fractions were combined and stripped and dried to 0.50 g (50 %) of white foam. The NMR was consistent with that of material prepared by the glycosylation route.

EXAMPLE 22

10 **2'-O-(2-hydroxyethyl)-5-methyluridine**

In a 100 mL stainless steel pressure reactor, ethylene glycol (20 mL) was slowly added to a solution of borane in tetrahydrofuran (1 M, 10 mL, 10 mmol) with stirring. Hydrogen gas rapidly evolved. Once the rate of 15 bubbling subsided, 2,2'-anhydro-5-methyluridine (1.0 g, 0.4.2 mmol) and sodium bicarbonate (3 mg) were added and the reactor was sealed. The reactor was placed in an oil bath and heated to 150 °C internal temperature for 72 hours. The bomb was cooled to room temperature and opened. TLC 20 revealed that 65% of the starting material was gone (starting material and product Rf 0.25 and 0.40 respectively in 4:1 ethyl acetate/methanol on silica gel). The reaction was worked up incomplete. The crude solution was concentrated (1 mm Hg at 100 °C, coevaporated with methanol 25 (50 mL), boiling water (15 mL) and absolute ethanol (2x25 mL) and the residue was dried to 1.3 g of off-white foam (1 mm Hg, 25 °C, 2 hours). NMR of the crude product was consistent with 65% desired product and 35% starting material. The TLC Rf matched (on cspot) the same product 30 generated by treating the DMT derivative above with dilute hydrochloric acid in methanol as well as the Rf of one of the spots generated by treating a sample of this product with dimethoxytrityl chloride matched the known DMT derivative (other spots were DMT on side chain and bis

- 65 -

substituted product).

EXAMPLE 23

N4-benzoyl-2'-O-(2-phthalimido-N-oxyethyl)-5'-O-(4,4'-dimethoxytrityl)cytidine-3'-[(2-cyanoethyl)-N,N-

5 diisopropylphosphoramidite] (25, Figure 6)

The 2'-O-aminoxyethyl cytidine and guanosine analogs may be prepared via similar chemistry in combination with reported literature procedures. Key to the synthetic routes is the selective 2'-O-alkylation of unprotected nucleosides. (Guinossos, C. J., Hoke, G. D., Frier, S., Martin, J. F., Ecker, D. J., Mirabelli, C. K., Crooke, S. T., Cook, P. D., *Nucleosides Nucleotides*, 1991, 10, 259; Manoharan, M., Guinossos, C. J., Cook, P. D., *Tetrahedron Lett.*, 1991, 32, 7171; Izatt, R. M., Hansen, L. D., Rytting, J. H., Christensen, J. J., *J. Am. Chem. Soc.*, 1965, 87, 2760. Christensen, L. F., Broom, A. D., *J. Org. Chem.*, 1972, 37, 3398. Yano, J., Kan, L. S., Ts'o, P.O.P., *Biochim. Biophys. Acta*, 1980, 629, 178; Takaku, H., Kamaike, K., *Chemistry Lett.* 1982, 189). Thus, cytidine may be selectively alkylated to afford the intermediate 2'-O-(2-ethylacetyl)cytidine **22**. The 3'-isomer of **22** is typically present in a minor amount and can be resolved by chromatography or crystallization. Compound **22** can be protected to give 2'-O-(2-ethylacetyl)-5'-O-(4,4'-dimethoxytrityl)cytidine (**23**). Reduction of the ester **23** should yield 2'-O-(2-hydroxyethyl)-5'-O-(4,4'-dimethoxytrityl)cytidine (**24**) which can be N-4-benzoylated, the primary hydroxyl group may be displaced by N-hydroxyphthalimide via a Mitsunobu reaction, and the protected nucleoside may be phosphitylated as usual to yield **N4-benzoyl-2'-O-(2-phthalimido-N-oxyethyl)-5'-O-(4,4'-dimethoxytrityl)cytidine-3'-[(2-cyanoethyl)-N,N-** diisopropylphosphoramidite] (**25**).

- 66 -

EXAMPLE 24

N2-isobutyryl-6-O-diphenylcarbamoyl-2'-O-(2-ethylacetyl)-5'-O-(4,4'-dimethoxytrityl)guanosine-3'-[(2-cyanoethyl)-N,N-diisopropylphosphoramidite] (31)

5 In a similar fashion the 2'-O-aminoxyethyl guanosine analog may be obtained by selective 2'-O-alkylation of diaminopurine riboside (multigram quantities of diaminopurine riboside may be purchased from Schering AG (Berlin) to provide 2'-O-(2-ethylacetyl) diaminopurine 10 riboside **26** along with a minor amount of the 3'-O-isomer. Compound **26** may be resolved and converted to 2'-O-(2-ethylacetyl)guanosine **27** by treatment with adenosine deaminase. (McGee, D. P. C., Cook, P. D., Guinoss, C. J., *PCT Int. Appl.*, 85 pp.; PIXXD2; WO 94/02501 A1 940203.)

15 Standard protection procedures should afford 2'-O-(2-ethylacetyl)-5'-O-(4,4'-dimethoxytrityl)guanosine **28** and 2N-isobutyryl-6-O-diphenylcarbamoyl-2'-O-(2-ethylacetyl)-5'-O-(4,4'-dimethoxytrityl)guanosine **29** which may be reduced to provide 2-N-isobutyryl-6-O-diphenylcarbamoyl-2'-O-(2-ethylacetyl)-5'-O-(4,4'-dimethoxytrityl)guanosine **30**. As 20 before the hydroxyl group may be displaced by N-hydroxypthalimide via a Mitsunobu reaction, and the protected nucleoside may be phosphorylated as usual to yield 2-N-isobutyryl-6-O-diphenylcarbamoyl-2'-O-(2-ethylacetyl)-5'-O-(4,4'-dimethoxytrityl)guanosine-3'-[(2-cyanoethyl)-N,N-diisopropylphosphoramidite] **31**.

25

EXAMPLE 25

-(1-hydroxypthalimido)-5-hexene (32, Figure 9)

To a stirred solution of 5-hexane-1-ol (20 g, 0.2 30 mol) in THF (500 mL) was added triphenylphosphine (80 g, 0.3 mol) and N-hydroxypthalimide (49 g, 0.3 mol). The mixture was cooled to 0 °C and diethylazido carboxylate (48 mL, 0.3

- 67 -

mol) was added slowly over a period of 1 hour. The reaction mixture was allowed to warm to room temperature and the yellow solution was stirred overnight. The solvent was then evaporated to give a yellow oil. The oil was dissolved in 5 CH_2Cl_2 and washed with water, saturated NaHCO_3 solution followed by a saturated NaCl solution. The organic layer was concentrated in vacuo and the resulting oil was dissolved in a solution of CH_2Cl_2 /ether to crystallize out $\text{Ph}_3\text{P}=\text{O}$ as much as possible. After three steps of 10 purification the title compound was isolated as a yellow waxy solid (yield 93%). ^{13}C NMR: δ 21.94, 24.83, 27.58, 33.26, 78.26, 114.91, 123.41, 128.40, 128.54, 128.63, 134.45 and 163.8 ppm.

EXAMPLE 26

15 **N-(1-hydroxyphthalimido-5,6-hexane-diol) (33, Figure 9)**

Compound 32 (2.59 g, 10 mmol), aqueous osmium tetroxide (0.15 M, 3.6 mL, 0.056 mmol) and N-methylmorpholine-N-oxide (2.46 g, 21 mmol) were dissolved in THF (100 mL). The reaction mixture was covered with 20 aluminum foil and stirred at 25 °C for 4 hours. Tlc indicated the diol was formed. The solvent was evaporated and the residue was partitioned between water and CH_2Cl_2 . The organic layer was washed with a saturated solution of NaCl and dried over anhydrous MgSO_4 . Concentration of the 25 organic layer resulted in a brownish oil that was characterized by ^{13}C NMR and used in the next step without further purification. ^{13}C NMR: δ 21.92, 28.08, 32.62, 66.76, 71.96, 78.33, 123.43, 128.47, 128.71, 131.93, 132.13, 134.49, 163.89.

30 **EXAMPLE 27**

N-1-hydroxy phthalimido-6-O-dimethyoxytrityl-5,6 hexane-diol (34, Figure 9)

- 68 -

The product from the previous step (3.0 g) was coevaporated with pyridine (2 x 20 mL) and dissolved in pyridine (100 mL). Dimethyoxytrityl chloride (3.5 g, 10 mmol) was dissolved in of pyridine (30 mL) and added to the 5 diol dropwise over a period of 30 minutes. After 4 hours, the reaction was quenched with methanol (10 mL). The solvent was evaporated and the residual product portioned between saturated sodium bicarbonate solution and CH_2Cl_2 (100 mL each). The organic phase was dried over anhydrous 10 MgSO_4 , concentrated and the residue was subjected to silica gel flash column chromatography using hexanes-ethyl acetate-triethyl amine (60:39:1). The product containing fractions were combined, concentrated in vacuo and dried to give a 15 yellow foamy solid. NMR analysis indicated the title compound as a pure homogenous dimethyoxytritylated solid (5.05 g, 83% yield).

EXAMPLE 28

(35, Figure 9)

Compound 34 was phosphitylated (1.5 g, 2.5 mmol) 20 in CH_2Cl_2 solvent (20 mL) by the addition of Diisopropylamine tetrazolide (214 mg, 1.25 mmol) and 2-cyanoethyl-N,N,N',N'-tetraisopropyl phosphorodiamidite (1.3 mL, 4.0 mmol). After stirring the solution overnight the solvent was evaporated and the residue was applied to silica 25 column and eluted with hexanes-ethyl acetate-triethylamine (50:49:1). Concentration of the appropriate fractions gave 1.61 g of the phosphitylated compound as a yellow foam (81%).

EXAMPLE 29

30 Attachment of O-N linker to CPG (36, Figure 10)

Succinylated and capped CPG was prepared according to method described by P. D. Cook et al. (U.S. Patent

- 69 -

5,541,307). Compound **34** (0.8 mmol), dimethylaminopyridine (0.2 mmol), 2.0 g of succinylated and capped CPG triethylamine (160 μ L) and DEC (4.0 mmol) were shaken together for 24 hours. Pentachlorophenyl (1.0 mmol) was 5 then added and the resulting mixture was shaken for 24 hours. The CPG beads were filtered off and washed thoroughly with pyridine (30 mL) dichloromethane (2 x 30 mL), CH_3OH (30 mL) in ether. The CPG solid support was dried over P_2O_5 and its loading was determined to be 28 $\mu\text{mols/g}$.

10 **EXAMPLE 30**

Synthesis of oligonucleotides using ON linker

The following oligonucleotides were synthesized using compound **35**, which is shown as an X at the 5' end of the oligonucleotide:

15 SEQ ID NO:1 5' XTTTTTTTTT 3'
SEQ ID NO:2 5' X TGC ATC CCC CAG GCC ACC ATT TTT T 3'
These oligonucleotides were synthesized as phosphorothioates. Compound 35 was used as a 0.1 M solution in CH_3CN . The coupling efficiency of ON-linker was >95% as 20 shown by trityl colors. The oligonucleotides were retained in the solid support for solid phase conjugation.

EXAMPLE 31

Conjugation of pyrene to oligonucleotides using ON-linker

Oligonucleotide SEQ ID NO:1 in CPG (1 μmol) was 25 taken in a glass funnel reactor and of 5% methylhydrazine (5 mL) in 9:1 $\text{CH}_2\text{Cl}_2/\text{CH}_3\text{OH}$ was added. The reactor was shaken for 30 minutes. The methyl hydrazine was drained, washed with CH_2Cl_2 and the methyl hydrazine reaction was repeated. The beads were washed with CH_2Cl_2 followed by ether and 30 dried. Pyrene butyric acid-N-hydroxy succinimide (110 mg) in DMF (5 mL) was added. After shaking for 2 hours, the pyrene butyrate solution was drained, the oligonucleotide

- 70 -

was deprotected in NH₄OH for 30 minutes at room temperature. The aqueous solution was then filtered and an HPLC analysis was run. The product peak had a retention time of 34.85 minutes and the diode-array spectrophotometer showed pyrene 5 absorption.

Example 32

Conjugation of pyrene butyraldehyde to oligonucleotide (SEQ ID NO:2)

Pyrene butyraldehyde is added to SEQ ID NO:2 after 10 MeNNH₂ treatment. NaCNBH₃ in MeOH was then added.

Deprotection of CPG followed by NH₄OH cleaving of CPG showed pyrene conjugation to oligonucleotide.

EXAMPLE 33

To a stirred solution of 1,6-hexane-diol N-15 hydroxyphthalimide (6.525 g, 0.039 mol) and triphenylphosphine (10.2 g, 0.039 mol) in anhydrous THF (100 mL) was added diethylazidocarboxylate (DEAD, 7.83 g, 0.045 mol) over a period of 1 hour at 5 °C under an atmosphere of argon. The reaction mixture was then stirred at room temperature 20 overnight. The bright yellow solution was concentrated under vacuum to remove the THF and portioned between CH₂Cl₂ and water. The organic layer was then washed with saturated NaHCO₃, followed by saturated NaCl. It was then dried over anhydrous MgSO₄ and applied to a silica column and eluted 25 with EtOAc/hexane 1:1 to give 9.8g. The material was contaminated with Ph₃P=O and was recrystallized with CH₂Cl₂/ether.

EXAMPLE 34

(Figures 11 and 12)

30 5-hexene-1-ol is silylated using imidazole/TBDPS-Cl in CH₂Cl₂ to give compound **37**. Compound **37** is then

- 71 -

dihydroxylated with OSO_4/NMMO as in (Example 25 for Compound 33) to give compound 38. Compound 38 is dimethoxytritylated at the primary alcohol function to give compound 39. It is then subjected to Mitsunobu reaction with N-hydroxy-5 phthalimide to give compound 40. Compound 40 is then disilylated with TBAF (tetrabutyl ammonium fluoride, 1M in THF) to give compound 41. Compound 41 is then derivatized to a phosphoramidite 42. Compound 41 was also separately connected to controlled pore glass beads (Compound 43).

10 **EXAMPLE 35**

2,6,9-(β -D-ribofuranosyl) purine (5.64 g, 20 mmol) was added to a suspension of 800 mg of 60% sodium hydride in oil previously washed with hexanes in 100 mL of DMF under argon. After 1 hour of stirring at room temperature allyl 15 bromide (2 mL, 1.1 equivalent) was added to the solution and stirred at room temperature overnight. The reaction mixture was evaporated and applied to a silica column and eluted with $\text{CH}_2\text{Cl}_2/\text{CH}_3\text{OH}$ (20:1) containing 1% triethylamine. The total yield of 2' and 3' O-allyl compounds was 5.02 g (77%). 20 The mixture of 2' and 3' isomers was then exocyclic amine protected by treatment of DMF DMA in MeOH in quantitative yield. This material was then 5'-O-dimethoxytritylated to give a mixture of 5'-O-dimethoxytrityl-N2-formamidine-2'-O-(2-hydroxy ethyl)-guanosine and 5'-O-dimethoxytrityl-N2-formamidine-3'-O-(2-hydroxyethyl) guanosine in 2:1 ratio. 25 The final compounds were purified by silica gel flash column chromatography.

EXAMPLE 36

2,6-diamino-9-(β -D-ribofuranosyl) purine (282 mg, 30 1 mmol) was added to a suspension of 40 mg of 60% sodium hydride in oil previously washed with hexanes in anhydrous DMF (5 mL). To this solution of 2-(bromoethoxy)-t-butyl-

- 72 -

dimethyl silane (220 mL) was added. The mixture was stirred at room temperature overnight. The reaction mixture was evaporated and the resulting oil was partitioned between water and ethyl acetate. The organic layer was dried over 5 Na_2SO_4 . The reaction mixture was purified to give the 2' and 3' isomers over the silica gel. The 2'-material was then amine protected with DMF DMA and 5'-dimethoxytrilated to give 5'-O-dimethoxytrityl-N2-formamidine-2'-O-(2-TBDMS-hydroxyethyl) guanine.

10 **EXAMPLE 37**

Oligonucleotide Synthesis

Unsubstituted and substituted oligonucleotides are synthesized on an automated DNA synthesizer (Applied Biosystems model 380B) using standard phosphoramidite 15 chemistry with oxidation by iodine. For phosphorothioate oligonucleotides, the standard oxidation bottle is replaced by 0.2 M solution of 3H-1,2-benzodithiole-3-one-1,1-dioxide in acetonitrile for the step wise thiation of the phosphite linkages. The thiation wait step is increased to 68 sec and 20 is followed by the capping step. After cleavage from the CPG column and deblocking in concentrated ammonium hydroxide at 55°C (18 hours), the oligonucleotides are purified by precipitating twice with 2.5 volumes of ethanol from a 0.5 M NaCl solution. Analytical gel electrophoresis is 25 accomplished in 20% acrylamide, 8 M urea, 454 mM Tris-borate buffer, pH=7.0. Oligonucleotides and phosphorothioates are judged, based on polyacrylamide gel electrophoresis, to be greater than 80% full-length material.

EXAMPLE 38

30 **General procedure for the attachment of 2'-deoxy-2'-substituted 5'-dimethoxytriphenylmethyl ribonucleosides to the 5'-hydroxyl of nucleosides bound to CPG support.**

- 73 -

The 2'-deoxy-2'-substituted nucleoside that will reside at the terminal 3'-position of the oligonucleotide is protected as a 5'-DMT group (the cytosine and adenine exocyclic amino groups are benzyloylated and the guanine amino 5 is isobutrylated) and treated with trifluoroacetic acid/bromoacetic acid mixed anhydride in pyridine and dimethylaminopyridine at 50°C for five hours. The solution is then evaporated under reduced pressure to a thin syrup which is dissolved in ethyl acetate and passed through a 10 column of silica gel. The homogenous fractions are collected and evaporated to dryness. A solution of 10 mL of acetonitrile, 10 μ M of the 3'-O-bromomethylester-modified nucleoside, and 1 mL of pyridine/dimethylaminopyridine (1:1) is syringed slowly (60 to 90 sec) through a 1 μ M column of 15 CPG thymidine (Applied Biosystems, Inc.) that had previously been treated with acid according to standard conditions to afford the free 5'-hydroxyl group. Other nucleoside-bound CPG columns may be employed. The eluent is collected and syringed again through the column. This process is repeated 20 three times. The CPG column is washed slowly with 10 mL of acetonitrile and then attached to an ABI 380B nucleic acid synthesizer. Oligonucleotide synthesis is now initiated. The standard conditions of concentrated ammonium hydroxide deprotection that cleaves the thymidine ester linkage from 25 the CPG support also cleaves the 3',5' ester linkage connecting the pyrimidine modified nucleoside to the thymidine that was initially bound to the CPG nucleoside. In this manner, any 2'-substituted nucleoside or generally any nucleoside with modifications in the heterocycle and/or 30 sugar can be attached at the 3' end of an oligonucleotide.

EXAMPLE 39

Modified oligonucleotide synthesis for incorporation of 2'-substituted nucleotides

- 74 -

A. ABI Synthesizer

Oligonucleotide sequences incorporating 1-[2'-O-(2-phthalimido-N-oxyethyl)-5'-O-(4,4'-dimethoxytrityl)- β -D-ribofuranosyl]thymine were synthesized on an ABI 380B

5 utilizing phosphoramidite chemistry with double-coupling and increased coupling times (5 and 10 min). The 2'-O-aminoxyethoxy phosphoramidite was used at a starting concentration of 0.08 M and 10% tert-butyl peroxide in acetonitrile was used as the oxidizer. Deoxy

10 phosphoramidites were used at 0.2 M. Final concentrations of 2'-O-aminoxyethoxy and deoxy amidites were 0.04 M and 0.1 M, respectively. The oligonucleotides were cleaved from the CPG support and the base protecting groups removed using concentrated ammonia at 55°C for 6 hours. The

15 oligonucleotides were purified by size exclusion chromatography over Sephadex G25 and analyzed by electrospray mass spectrometry and capillary gel electrophoresis. Deoxy phosphoramidites were purchased from Perseptive Biosystems GmbH.

20 (SEQ ID NO:5) CTC GTA CCT TTC CGG TCC. LRMS (ES-) *m/z* : calcd: 5453.2; found: 5453.5.

(SEQ ID NO:6) CTC GTA Ctt ttC CGG TCC. LRMS (ES-) *m/z* : calcd: 5693.2; found: 5692.9.

(SEQ ID NO:3) GCG ttt ttt ttt tGC G. LRMS (ES-) *m/z* : calcd: 25 5625.7; found: 5625.9.

B. Expedite Synthesizer

Oligonucleotides incorporating 1-[2'-O-(2-phthalimido-N-oxyethyl)-5'-O-dimethoxytrityl-B-D-ribofuranosyl)-6-N-benzoyl-thymine were synthesized in an

30 Expedite 8690 Synthesizer. 130 mg of the amidite was dissolved in dry CH₃CN (1.3 mL, app. 0.08M). 10% t-BuOOH in CH₃CN v/v was used as the oxidizing agent. An extended coupling and waiting times were used and a 10 min. oxidation was employed. The oligonucleotide synthesis revealed

- 75 -

excellent coupling yields (>98%). Oligonucleotides were purified and their mass spec and profiles determined.

Oligonucleo tide	Sequence	SEQ ID NO:
5 V	CTC GTA CCa TTC CGG TCC	7
VI	GGa CCG Gaa GGT aCG aG	8
VII	aCC GaG GaT CaT GTC GTa CGC	9

where a represents 1-[2'-O-(2-aminoxyethyl)-β-D-ribofuranosyl]adenosine.

10 **EXAMPLE 40**

**Oligonucleotide Having 2'-Substituted Oligonucleotides
Regions Flanking Central 2'-Deoxy Phosphorothioate
Oligonucleotide Region**

A 15mer RNA target of the sequence
15 5'GCGTTTTTTTTGCG 3' (SEQ ID NO:3) is prepared in the normal manner on the DNA sequencer using RNA protocols. A series of complementary phosphorothioate oligonucleotides having 2'-O-substituted nucleotides in regions that flank a 2'-deoxy region are prepared utilizing 2'-O-substituted
20 nucleotide precursors prepared as per known literature preparations, i.e. 2'-O-methyl, or as per the procedure of International Publication Number WO 92/03568, published March 5, 1992. The 2'-O-substituted nucleotides are added as their 5'-O-dimethoxytrityl-3'-phosphoramidites in the
25 normal manner on the DNA synthesizer. The complementary oligonucleotides have the sequence of 5' CGCAAAAAAAACGC 3' (SEQ ID NO:4). The 2'-O-substituent is located in CGC and CG regions of these oligonucleotides. The 2'-O-
substituents used are 2'-aminoxyethyl, 2'-O-
30 ethylaminoxyethyl and 2'-O-dimethylaminoxyethyl.

EXAMPLE 41**Hybridization Analysis.****A. Evaluation of the thermodynamics of hybridization of 2'-modified oligonucleotides.**

5 The ability of the 2'- modified oligonucleotides to hybridize to their complementary RNA or DNA sequences is determined by thermal melting analysis. The RNA complement is synthesized from T7 RNA polymerase and a template-promoter of DNA synthesized with an Applied
10 Biosystems, Inc. 380B RNA species is purified by ion exchange using FPLC (LKB Pharmacia, Inc.). Natural antisense oligonucleotides or those containing 2'-modifications at specific locations are added to either the RNA or DNA complement at stoichiometric concentrations
15 and the absorbance (260 nm) hyperchromicity upon duplex to random coil transition is monitored using a Gilford Response II spectrophotometer. These measurements are performed in a buffer of 10 mM Na-phosphate, pH 7.4, 0.1 mM EDTA, and NaCl to yield an ionic strength of 10 either 0.1 M or 1.0 M. Data
20 is analyzed by a graphic representation of $1/T_m$ vs $\ln(C_t)$, where (C_t) was the total oligonucleotide concentration. From this analysis the thermodynamic parameters are determined. Based upon the information gained concerning the stability of the duplex of heteroduplex formed, the
25 placement of modified pyrimidine into oligonucleotides are assessed for their effects on helix stability. Modifications that drastically alter the stability of the hybrid exhibit reductions in the free energy (ΔG) and decisions concerning their usefulness as antisense oligonucleotides
30 are made.

As is shown in the following table (Table 1), the incorporation of 2'-substituted nucleosides of the invention into oligonucleotides can result in significant increases in the duplex stability of the modified oligonucleotide strand

- 77 -

(the antisense strand) and its complementary RNA strand (the sense strand). The stability of the duplex increased as the number of 2'-substituted nucleosides in the antisense strand increased. As is evident from Table 1 the addition of a 2'-5 substituted nucleoside, irrespective of the individual nucleoside or the position of that nucleoside in the oligonucleotide sequence, resulted in an increase in the duplex stability.

In Table 1, the small case nucleosides represent 10 nucleosides that include substituents of the invention.

Effects of 2'-O-aminoxyethoxy modifications on DNA(antisense) - RNA(sense) duplex stability.

Table 1

	SEQ ID NO:	Sequence
15	5	CTC GTA CCT TTC CGG TCC
	5	CTC GTA CCT TTC CGG TCC
	6	CTC GTA CTT TTC CGG TCC
	6	CTC GTA Ctt ttC CGG TCC
	3	GCG TTT TTT TTT TGC G
20	3	GCG ttt ttt ttt tGC G
	3	GCG TTT TTT TTT TGC G*
	3	GCG ttt ttt ttt tGC G*
	7	CTC GTA CCa TTC CGG TCC
	8	GGa CCG Gaa GGT aCG aG
25	9	aCC GaG GaT CaT GTC GTa CGC

t = 1-[2'-O-(2-aminoxyethyl)- β -D-ribofuranosyl]thymine. a = 1-[2'-O-(2-aminoxyethyl)- β -D-ribofuranosyl]adenosine. * = was hybridized against DNA as sense strand.

30	SEQ ID NO: subs.	T_m °C	ΔT_m °C	ΔT_m °C/sub.
	5	0	65.2 ± 0.0	
	5	1	64.8 ± 0.1	-0.5 ± 0.1
	6	0	61.5 ± 0.0	
	6	4	65.6 ± 0.4	4.1 ± 0.4
				1.0 ± 0.1

- 78 -

3	0	48.2 \pm 0.6		
3	10	60.0 \pm 0.0	11.9 \pm 0.7	1.19 \pm 0.07
3*	0	53.5 \pm 0.1†		
3*	10	44.0 \pm 0.2†	-9.4 \pm 0.3†	-.94 \pm 0.03†

5 In Table 1, "subs." = Number of substitutions, as described above.

As is evident from Table 1, the duplexes formed between RNA and oligonucleotides containing 2'-substituents of the invention exhibited increased binding stability as measured by the hybridization thermodynamic stability.

10 While we do not wish to be bound by theory, it is presently believed that the presence of a 2'-substituent of the invention results in the sugar moiety of the 2'-substituted nucleoside assuming substantially a 3'-endo conformation and this results in the oligonucleotide-RNA complex assuming an 15 A-type helical conformation.

EXAMPLE 42

5'-O-tert-Butyldiphenylsilyl-O²-2'-anhydro-5-methyluridie

(101)

O²-2'-anhydro-5-methyluridine (Pro. Bio. Sint., 20 Varese, Italy, 100.0g, 0.416 mmol), dimethylaminopyridine (0.66g, 0.013eq, 0.0054mmol) were dissolved in dry pyridine (500 ML) at ambient temperature under an argon atmosphere and with mechanical stirring. tert-Butyldiphenylchlorosilane (125.8g, 119.0mL, 1.1eq, 25 0.458mmol) was added in one portion. The reaction was stirred for 16 h at ambient temperature. TLC (Rf 0.22, ethyl acetate) indicated a complete reaction. The solution was concentrated under reduced pressure to a thick oil. This was partitioned between dichloromethane (1 L) and 30 saturated sodium bicarbonate (2x1 L) and brine (1 L). The organic layer was dried over sodium sulfate and concentrated under reduced pressure to a thick oil. The oil was dissolved in a 1:1 mixture of ethyl acetate and ethyl ether

- 79 -

(600mL) and the solution was cooled to -10°C. The resulting crystalline product was collected by filtration, washed with ethyl ether (3x200 ML) and dried (40°C, 1mm Hg, 24 h) to 149g (74.8%) of white solid. TLC and NMR were consistent
5 with pure product.

EXAMPLE 43

5'-O-tert-Butyldiphenylsilyl-2'-O-(2-hydroxyethyl)-5-methyluridine (102)

In a 2 L stainless steel, unstirred pressure
10 reactor was added borane in tetrahydrofuran (1.0 M, 2.0 eq, 622 ML). In the fume hood and with manual stirring, ethylene glycol (350 ML, excess) was added cautiously at first until the evolution of hydrogen gas subsided. 5'-O-tert-Butyldiphenylsilyl-O²-2'-anhydro-5-methyluridine (149
15 g, 0.311 mol) and sodium bicarbonate (0.074 g, 0.003 eq) were added with manual stirring. The reactor was sealed and heated in an oil bath until an internal temperature of 160 °C was reached and then maintained for 16 h (pressure < 100 psig). The reaction vessel was cooled to ambient and
20 opened. TLC (R_f 0.67 for desired product and R_f 0.82 for ara-T side product, ethyl acetate) indicated about 70% conversion to the product. In order to avoid additional side product formation, the reaction was stopped, concentrated under reduced pressure (10 to 1mm Hg) in a warm
25 water bath (40-100°C) with the more extreme conditions used to remove the ethylene glycol. [Alternatively, once the low boiling solvent is gone, the remaining solution can be partitioned between ethyl acetate and water. The product will be in the organic phase.] The residue was purified by
30 column chromatography (2kg silica gel, ethyl acetate-hexanes gradient 1:1 to 4:1). The appropriate fractions were combined, stripped and dried to product as a white crisp foam (84g, 50%), contaminated starting material (17.4g) and pure reusable starting material 20g. The yield based on

- 80 -

starting material less pure recovered starting material was 58%. TLC and NMR were consistent with 99% pure product. NMR (DMSO-*d*6) δ 1.05 (s, 9H, t-butyl), 1.45 (s, 3 H, CH3), 3.5-4.1 (m, 8 H, CH2CH2, 3'-H, 4'-H, 5'-H, 5"-H), 4.25 (m, 1 H, 2'-H), 4.80 (t, 1 H, CH2O-H), 5.18 (d, 2H, 3'-OH), 5.95 (d, 1 H, 1'-H), 7.35-7.75 (m, 11 H, Ph and C6-H), 11.42 (s, 1 H, N-H).

EXAMPLE 44

10 **2'-O-([2-phthalimidooxy)ethyl]-5'-t-butyldiphenylsilyl-5-methyluridine (103)**

Nucleoside **102** (20g, 36.98mmol) was mixed with triphenylphosphine (11.63g, 44.36mmol) and N-hydroxyphthalimide (7.24g, 44.36mmol). It was then dried over P₂O₅ under high vacuum for two days at 40°C. The 15 reaction mixture was flushed with argon and dry THF (369.8mL, Aldrich, sure seal bottle) was added to get a clear solution. Diethyl-azodicarboxylate (6.98mL, 44.36mmol) was added dropwise to the reaction mixture. The rate of addition is maintained such that resulting deep red 20 coloration is just discharged before adding the next drop. After the addition was complete, the reaction was stirred for 4 hrs. By that time TLC showed the completion of the reaction (ethyl acetate:hexane, 60:40). The solvent was evaporated in vacuum. Residue obtained was placed on a 25 flash column and eluted with ethyl acetate:hexane (60:40), to get **103** as white foam (21.819, 86%). R_f 0.56 (ethyl acetate:hexane, 60:40). MS (FAB⁻)m/e 684 (M-H⁺)

EXAMPLE 45

30 **5'-O-tert-butyldiphenylsilyl-2'-O-[(2-formadoximinoxy)ethyl]-5-methyluridine (104)**

Compound **103** (3.1g, 4.5mmol) was dissolved in dry CH₂Cl₂ (4.5mL) and methylhydrazine (300mL, 4.64mmol) was

- 81 -

added dropwise at -10°C to 0°C. After 1 hr the mixture was filtered, the filtrate was washed with ice cold CH₂Cl₂, and the combined organic phase was washed with water, brine and dried over anhydrous Na₂SO₄. The solution concentrated to 5 get 2'-O-(aminoxyethyl) thymidine, which was then dissolved in MeOH (67.5mL). To this formaldehyde (20% aqueous solution, w/w, 1.1eq.) was added and the mixture for 1 hr. Solvent removed under vacuum; residue chromatographed to get compound **104** as white foam (1.95, 78%). Rf 0.32 (5% MeOH in 10 CH₂Cl₂). MS (Electrospray⁻) m/e 566 (M-H⁺)

EXAMPLE 46

5'-O-*tert*-Butyldiphenylsilyl-2'-O-[N,N-dimethylaminoxyethyl]-5-methyluridine (105)

Compound **104** (1.77g, 3.12mmol) was dissolved in a 15 solution of 1M pyridinium p-toluenesulfonate (PPTS) in dry MeOH (30.6mL). Sodiumcyanoborohydride (0.39g, 6.13mmol) was added to this solution at 10°C under inert atmosphere. The reaction mixture was stirred for 10 minutes at 10°C. After that the reaction vessel was removed from the ice bath and 20 stirred at room temperature for 2 hr, the reaction monitored by TLC (5% MeOH in CH₂Cl₂). Aqueous NaHCO₃ solution (5%, 10mL) was added and extracted with ethyl acetate (2x20mL). Ethyl acetate phase dried over anhydrous Na₂SO₄, evaporated to dryness. Residue dissolved in a solution of 1M PPTS in 25 MeOH (30.6mL). Formaldehyde (20% w/w, 30mL, 3.37mmol) was added and the reaction mixture was stirred at room temperature for 10 minutes. Reaction mixture cooled to 10°C in an ice bath, sodiumcyanoborohydride (0.39g, 6.13mmol) was added and reaction mixture stirred at 10°C for 10 minutes. 30 After 10 minutes, the reaction mixture was removed from the ice bath and stirred at room temperature for 2 hrs. To the reaction mixture 5% NaHCO₃ (25mL) solution was added and extracted with ethyl acetate (2x25mL). Ethyl acetate layer

- 82 -

was dried over anhydrous Na_2SO_4 ; and evaporated to dryness . The residue obtained was purified by flash column chromatography and eluted with 5% MeOH in CH_2Cl_2 to get **105** as a white foam (14.6g, 80%). R_f 0.35 (5% MeOH in CH_2Cl_2).

5 MS (FAB[®]) m/e 584 (M+H[®])

EXAMPLE 47

2'-O-(dimethylaminoxyethyl)-5-methyluridine (106)

Triethylamine trihydrofluoride (3.91mL, 24.0mmol) was dissolved in dry THF and triethylamine (1.67mL, 12mmol, 10 dry, kept over KOH). This mixture of triethylamine-2HF was then added to compound **105** (1.40g, 2.4mmol) and stirred at room temperature for 24 hrs. Reaction was monitored by TLC (5% MeOH in CH_2Cl_2). Solvent removed under vacuum and the residue placed on a flash column and eluted with 10% MeOH in CH_2Cl_2 to get **106** (766mg, 92.5%). R_f 0.27 (5% MeOH in CH_2Cl_2). MS (FAB[®]) m/e 346 (M+H[®])

EXAMPLE 48

5'-O-DMT-2'-O-(dimethylaminoxyethyl)-5-methyluridine (107)

Compound **106** (750mg, 2.17mmol) was dried over P_2O_5 20 under high vacuum overnight at 40°C. It was then co-evaporated with anhydrous pyridine (20mL). The residue obtained was dissolved in pyridine (11mL) under argon atmosphere. 4-dimethylaminopyridine (26.5mg, 2.60mmol), 4,4'-dimethoxytrityl chloride (880mg, 2.60mmol) was added to 25 the mixture and the reaction mixture was stirred at room temperature until all of the starting material disappeared. Pyridine was removed under vacuum and the residue chromatographed and eluted with 10% MeOH in CH_2Cl_2 (containing a few drops of pyridine) to get **107** (1.13g, 30 80%). R_f 0.44 ((10% MeOH in CH_2Cl_2)). MS (FAB[®]) m/e 648 (M+H[®])

- 83 -

EXAMPLE 49

5'-O-DMT-2'-O-(2-N,N-dimethylaminoxyethyl)-5-methyluridine-3'-(2-cyanoethyl)-N,N-diisopropylphosphoramidite (108)

Compound **107** (1.08g, 1.67mmol) was co-evaporated with toluene (20mL). To the residue N,N-diisopropylamine tetrazonide (0.29g, 1.67mmol) was added and dried over P₂O₅ under high vacuum overnight at 40°C. Then the reaction mixture was dissolved in anhydrous acetonitrile (8.4mL) and 2-cyanoethyl-N,N,N¹,N¹-tetraisopropylphosphoramidite (2.12mL, 6.08mmol) was added. The reaction mixture was stirred at ambient temperature for 4 hrs under inert atmosphere. The progress of the reaction was monitored by TLC (hexane:ethyl acetate 1:1). The solvent was evaporated, then the residue was dissolved in ethyl acetate (70mL) and washed with 5% aqueous NaHCO₃ (40mL). Ethyl acetate layer was dried over anhydrous Na₂SO₄ and concentrated. Residue obtained was chromatographed (ethyl acetate as eluent) to get **108** as a foam (1.04g, 74.9%). R_f 0.25 (ethyl acetate:hexane, 1:1). ³¹P NMR (CDCl₃) δ 150.8 ppm; MS (FAB⁺) m/e 848 (M+H⁺)

EXAMPLE 50

2'/3'-O-allyl adenosine (109)

Adenosine (20g, 74.84mmol) was dried over P₂O₅ under high vacuum at 40°C for two days. It was then suspended in DMF under inert atmosphere. Sodium hydride (2.5g, 74.84mmol, 60% dispersion in mineral oil), stirred at room temperature for 10 minutes. Then allyl bromide (7.14mL, 82.45mmol) was added dropwise and the reaction mixture was stirred at room temperature overnight. DMF was removed under vacuum and residue was washed with ethyl acetate (100mL). Ethyl acetate layer was decanted. Filtrate obtained contained product. It was then placed on a flash column and eluted with 10% MeOH in CH₂Cl₂ to get **109** (15.19g, 66%). R_f 0.4, 0.4a ((10% MeOH in CH₂Cl₂)

- 84 -

EXAMPLE 51

2'/3'-O-allyl-N⁶-benzoyl adenosine (110)

Compound **109** (15.19g, 51.1mmol) was dried over P₂O₅ under high vacuum overnight at 40°C. It was then dissolved in anhydrous pyridine (504.6mL) under inert atmosphere. Trimethylchlorosilane (32.02mL, 252.3mmol) was added at 0°C and the reaction mixture was stirred for 1 hr under inert atmosphere. Then benzoyl chloride (29.4mL, 252.3mmol) was added dropwise. Once the addition of benzoyl chloride was over, the reaction mixture was brought to room temperature and stirred for 4 hrs. Then the reaction mixture was brought to 0°C in an ice bath. Water (100.9mL) was added and the reaction mixture was stirred for 30 minutes. Then NH₄OH (100.0mL, 30% aqueous solution w/w) was added, keeping the reaction mixture at 0°C and stirring for an additional 1 hr. Solvent evaporated residue partitioned between water and ether. Product precipitates as an oil, which was then chromatographed (5%MeOH in CH₂Cl₂) to get **13** as a white foam (12.67g, 62%).

20 **EXAMPLE 52**

3'-O-allyl-5'-O-tert-butyldiphenylsilyl-N⁶-benzoyl-adenosine (111)

Compound **110** (11.17g, 27.84mmol) was dried over P₂O₅ under vacuum at 40°C, then dissolved in dry CH₂Cl₂ (56mL, sure seal from Aldrich). 4-dimethylaminopyridine (0.34g, 2.8mmol), triethylamine (23.82mL, 167mmol) and t-butyldiphenylsilyl chloride were added. The reaction mixture was stirred vigorously for 12 hr. Reaction was monitored by TLC (ethyl acetate:hexane 1:1). It was then diluted with CH₂Cl₂ (50mL) and washed with water (3x30mL). Dichloromethane layer was dried over anhydrous Na₂SO₄ and evaporated to dryness. Residue purified by flash chromatography (ethyl acetate:hexane 1:1 as eluent) to get

- 85 -

111 as a white foam (8.85g, 49%). Rf 0.35 (ethyl acetate:hexane, 1:1)

EXAMPLE 53

5'-O-tert-butyldiphenylsilyl-N⁶-benzoyl-2'-O-(2,3-dihydroxypropyl)-adenosine (112)

Compound **111** (5.5g, 8.46mmol), 4-methylmorpholine N-oxide (1.43g, 12.18mmol) were dissolved in dioxane (45.42mL). 4% aqueous solution of OSO₄ (1.99mL, 0.31mmol) was added. The reaction mixture was protected from light and stirred for 3 hrs. Reaction was monitored by TLC (5% MeOH in CH₂Cl₂). Ethyl acetate (100mL) was added and the resulting reaction mixture was washed with water (1x50mL). Ethyl acetate layer was dried over anhydrous Na₂SO₄ and evaporated to get **112** (5.9g) and used for next step without purification. Rf 0.17 (5% MeOH in CH₂Cl₂)

EXAMPLE 54

5'-O-tert-butyldiphenylsilyl-N⁶-benzoyl-2'-O-(formylmethyl)-adenosine (113)

Compound **112** (5.59g, 8.17mmol) was dissolved in dry CH₂Cl₂ (40.42mL). To this NaIO₄ adsorbed on silica gel (Ref. *J. Org. Chem.* 1997, 62, 2622-2624) (16.34g, 2g/mmol) was added and stirred at ambient temperature for 30 minutes. Reaction monitored by TLC (5% MeOH in CH₂Cl₂). Reaction mixture was filtered and the filtrate washed thoroughly with CH₂Cl₂. Dichloromethane layer evaporated to get the aldehyde **113** (5.60g) that was used in the next step without purification. Rf 0.3 (5% MeOH in CH₂Cl₂)

EXAMPLE 55

5'-O-tert-butyldiphenylsilyl-N⁶-2'-O-(2-hydroxyethyl)-adenosine (114)

Compound **113** (5.55g, 8.50mmol) was dissolved in a

- 86 -

solution of 1M pyridinium p-toluenesulfonate in anhydrous MeOH (85mL). Reaction mixture was protected from moisture. Sodiumcyanoborohydride (1.08g, 17.27mmol) was added and reaction mixture stirred at ambient temperature for 5 hrs.

5 The progress of the reaction was monitored by TLC (5% MeOH in CH_2Cl_2). The reaction mixture was diluted with ethyl acetate (150mL), then washed with 5% NaHCO_3 (75mL) and brine (75mL). Ethyl acetate layer was dried over anhydrous Na_2SO_4 and evaporated to dryness. Residue purified by flash

10 chromatography (5% MeOH in CH_2Cl_2) to get **114** (4.31g, 77.8%). Rf 0.21 (5% MeOH in CH_2Cl_2). MS (FAB $^{\oplus}$) m/e 655 ($\text{M}+\text{H}^{\oplus}$), 677 ($\text{M}+\text{Na}^{\oplus}$)

EXAMPLE 56

5'-*tert*-butyldiphenylsilyl-N⁶-benzoyl-2'-O-(2-phthalimidooxyethyl) adenosine (**115**)

15 Compound **114** (3.22g, 4.92mmol) was mixed with triphenylphosphine (1.55g, 5.90mmol) and N-hydroxypthalimide (0.96g, 5.90mmol). It was then dried over P_2O_5 under vacuum at 40°C for two days. Dissolved dried mixture in anhydrous THF (49.2mL) under inert atmosphere.

20 Diethyl azodicarboxylate (0.93mL, 5.90mmol) was added dropwise. The rate of addition was maintained such that resulting deep red coloration is just discharged before adding the next drop. After the addition was completed, the

25 reaction was stirred for 4 hrs, monitored by TLC (ethyl acetate:hexane 70:30). Solvent was removed under vacuum and the residue dissolved in ethyl acetate (75mL). The ethyl acetate layer was washed with water (75mL), then dried over Na_2SO_4 , concentrated and chromatographed (ethylacetate:hexane 70:30) to get **115** (3.60g, 91.5%). Rf 0.27 ethyl acetate:hexane, 7:3) MS (FAB $^{\oplus}$) m/e 799 ($\text{M}+\text{H}^{\oplus}$), 821 ($\text{M}+\text{Na}^{\oplus}$)

- 87 -

EXAMPLE 57

5'-O-*tert*-butyldiphenylsilyl-N⁶-benzoyl-2'-O-(2-formaldoximinoxyethyl) adenosine (116)

Compound **115** (3.5g, 4.28mmol) was dissolved in 5 CH_2Cl_2 (43.8mL). N-methylhydrazine (0.28mL, 5.27mmol) was added at -10°C and the reaction mixture was stirred for 1 hr at -10 to 0°C. Reaction monitored by TLC (5% MeOH in CH_2Cl_2). A white precipitate formed was filtered and filtrate washed with ice cold CH_2Cl_2 thoroughly.

10 Dichloromethane layer evaporated on a rotary evaporator keeping the water bath temperature at less than 25°C. Residue obtained was then dissolved in MeOH (65.7mL). Formaldehyde (710mL, 4.8 mmol, 20% solution in water) was added and the reaction mixture was stirred at ambient 15 temperature for 1 hr. Reaction monitored by ^1H NMR. Reaction mixture concentrated and chromatographed (5% MeOH in CH_2Cl_2) to get **116** as a white foam (2.47g, 83%). R_f 0.37 (5% MeOH in CH_2Cl_2). MS (FAB $^{\oplus}$) m/e 681 ($\text{M}+\text{H}^{\oplus}$)

EXAMPLE 58

20 **5'-*tert*-butyldiphenylsilyl-N⁶-benzoyl-2'-O-(2-N,N-dimethylaminoxyethyl) adenosine (117)**

Compound **116** (2.2g, 3.23mmol) was dissolved in a solution of 1M pyridinium p-toluenesulfonate (PPTS) in MeOH (32mL). Reaction protected from moisture. Sodium 25 cyanoborohydride (0.31g) was added at 10°C and reaction mixture was stirred for 10 minutes at 10°C. It was then brought to ambient temperature and stirred for 2 hrs, monitored by TLC (5% MeOH in CH_2Cl_2). 5% aqueous sodium bicarbonate (100mL) and extracted with ethyl acetate 30 (3x50mL). Ethyl acetate layer was dried over anhydrous Na_2SO_4 and evaporated to dryness. Residue was dissolved in a solution of 1M PPTS in MeOH (32mL). Formaldehyde (0.54mL, 3.55mmol, 20% aqueous solution) was added and stirred at

- 88 -

room temperature for 10 minutes. Sodium cyanoborohydride (0.31g) was added at 10°C and stirred for 10 minutes at 10°C. Then the reaction mixture was removed from ice bath and stirred at room temperature for an additional 2 hrs, 5 monitored by TLC (5% MeOH in CH₂Cl₂). Reaction mixture was diluted with 5% aqueous NaHCO₃ (100mL) and extracted with ethyl acetate (3x50mL). Ethyl acetate layer was dried, evaporated and chromatographed (5% MeOH in CH₂Cl₂) to get 117 (1.9g, 81.8%). R_f 0.29 (5% MeOH in CH₂Cl₂). MS (FAB^{*}) m/e 10 697 (M+H⁺), 719 (M+Na⁺)

EXAMPLE 59

N⁶-benzoyl-2'-O-(N,N-dimethylaminoxyethyl) adenosine (118)

To a solution of Et₃N-3HF (1.6g, 10mmol) in anhydrous THF (10mL) triethylamine (0.71mL, 5.12mmol) was 15 added. Then this mixture was added to compound 117 (0.72g, 1mmol) and stirred at room temperature under inert atmosphere for 24 hrs. Reaction monitored by TLC (10% MeOH in CH₂Cl₂). Solvent removed under vacuum and the residue chromatographed (10% MeOH in CH₂Cl₂) to get 118 (0.409g, 20 89%). R_f 0.40 (10% MeOH in CH₂Cl₂). MS (FAB^{*}) m/e 459 (M+H⁺)

EXAMPLE 60

5'-O-dimethoxytrityl-N⁶-benzoyl-2'-O-(2-N,N-dimethylaminoxyethyl) adenosine (119)

Compound 118 (0.4g, 0.87mmol) was dried over P₂O₅ 25 under vacuum overnight at 40°C. 4-dimethylaminopyridine (0.022g, 0.17mmol) was added. Then it was co-evaporated with anhydrous pyridine (9mL). Residue was dissolved in anhydrous pyridine (2mL) under inert atmosphere, and 4,4'-dimethoxytrityl chloride (0.58g, 1.72mmol) was added and 30 stirred at room temperature for 4 hrs. TLC (5% MeOH in CH₂Cl₂) showed the completion of the reaction. Pyridine was removed under vacuum, residue dissolved in CH₂Cl₂ (50mL) and

- 89 -

washed with aqueous 5% NaHCO₃ (30mL) solution followed by brine (30mL). CH₂Cl₂ layer dried over anhydrous Na₂SO₄ and evaporated. Residue chromatographed (5% MeOH in CH₂Cl₂ containing a few drops of pyridine) to get **119** (0.5g, 75%).

5 Rf 0.20 (5% MeOH in CH₂Cl₂). MS (Electrospray⁻) m/e 759 (M+H⁺)

EXAMPLE 61

N⁶-benzoyl-5'-O-DMT-2'-O-(N,N-dimethylaminoxyethyl)adenosine-3'-O-phosphoramidite (120)

10 Compound **119** (0.47g, 0.62mmol) was co-evaporated with toluene (5mL). Residue was mixed with N,N-diisopropylamine tetrazolate (0.106g, 0.62mmol) and dried over P₂O₅ under high vacuum overnight. Then it was dissolved in anhydrous CH₃CN (3.2mL) under inert atmosphere. 2-
15 cyanoethyl- tetraisopropyl phosphordiamidite (0.79mL, 2.48mmol) was added dropwise and the reaction mixture was stirred at room temperature under inert atmosphere for 6 hrs. Reaction was monitored by TLC (ethyl acetate containing a few drops of pyridine). Solvent was removed,
20 then residue was dissolved in ethyl acetate (50mL) and washed with 5% aqueous NaHCO₃ (2x25mL). Ethyl acetate layer was dried over anhydrous Na₂SO₄, evaporated, and residue chromatographed (ethyl acetate containing a few drops of pyridine) to get **120** (0.45g, 76%). MS (Electrospray⁻) m/e 959 (M+H⁺). ³¹P NMR (CDCl₃) δ 151.36, 150.77 ppm

EXAMPLE 62

2'/3'-O-allyl-2,6-diaminopurine riboside (121 and 122)

2,6-Diaminopurine riboside (30g, 106.4 mmol) was suspended in anhydrous DMF (540 ML). Reaction vessel was
30 flushed with argon. Sodium hydride (3.6g, 106.4mmol, 60% dispersion in mineral oil) was added and the reaction stirred for 10 min. Allyl bromide (14.14mL, 117.22mmol) was

- 90 -

added dropwise over 20 min. The resulting reaction mixture stirred at room temperature for 20 hr. TLC (10% MeOH in CH₂Cl₂) showed complete disappearance of starting material. DMF was removed under vacuum and the residue absorbed on 5 silica was placed on a flash column and eluted with 10% MeOH in CH₂Cl₂. Fractions containing mixture of 2' and 3' allylated product was pooled together and concentrated to dryness to yield a mixture of **121** and **122** (26.38g, 77%). Rf 0.26, 0.4 (10% MeOH in CH₂Cl₂)

10 **EXAMPLE 63**

2'-O-allyl-guanosine (123)

A mixture of **121** and **122** (20g, 62.12mmol) was suspended in 100 mm sodium phosphate buffer (pH 7.5) and adenosine deaminase (1g) was added. The resulting solution 15 was stirred very slowly for 60 hr, keeping the reaction vessel open to atmosphere. Reaction mixture was then cooled in ice bath for one hr and the precipitate obtained was filtered, dried over P₂O₅ under high vacuum to yield **123** as white powder (13.92 g, 69.6% yield). Rf 0.19 (20% MeOH in 20 CH₂Cl₂)

EXAMPLE 64

2'-O-allyl-3', 5'-bis(tert-butyl diphenylsilyl) guanosine (124)

2'-O-allyl-guanosine (6g, 18.69mmol) was mixed with 25 imidazole (10.18g, 14.952mmol) and was dried over P₂O₅ under high vacuum overnight. It was then flushed with argon. Anhydrous DMF (50mL) was added and stirred with the reaction mixture for 10 minutes. To this tert-butyldiphenylsilyl chloride (19.44mL, 74.76mmol) was added and the reaction 30 mixture stirred overnight under argon atmosphere. DMF was removed under vacuum and the residue was dissolved in ethyl acetate (100mL) and washed with water (2 x 75mL). Ethyl

- 91 -

acetate layer was dried over anhydrous Na_2SO_4 and evaporated to dryness. Residue placed on a flash column and eluted with 5% MeOH in CH_2Cl_2 . Fractions containing the product were pooled together and evaporated to yield **124** (10.84g, 5 72% yield) as a white foam. $R_f = ?$ MS (FAB*) m/e 800 ($\text{M}+\text{H}^+$), 822 ($\text{M}+\text{Na}^+$).

EXAMPLE 65

2'-O-(2-hydroxyethyl)-3',5'-bis(tert-butyldiphenylsilyl) guanosine (125)

10 Compound **124** (9g, 11.23mmol) was dissolved in CH_2Cl_2 (80mL). To the clear solution acetone (50 mL), 4-methyl morpholine-N-oxide (1.89g, 16.17mmol) was added. The reaction flask was protected from light. Thus 4% aqueous solution of osmium tetroxide was added and the reaction 15 mixture was stirred at room temperature for 6 hr. Reaction volume was concentrated to half and ethyl acetate (50mL) was added. It was then washed with water (30mL) and brine (30mL). Ethyl acetate layer was dried over anhydrous Na_2SO_4 and evaporated to dryness. Residue was then dissolved in 20 CH_2Cl_2 and NaIO_4 adsorbed on silica (21.17g, 2g/mmol) was added and stirred with the reaction mixture for 30 min. The reaction mixture was filtered and silica was washed thoroughly with CH_2Cl_2 . Combined CH_2Cl_2 layer was evaporated to dryness. Residue was then dissolved in 1M 25 pyridinium-p-toluene sulfonate (PPTS) in dry MeOH (99.5mL) under inert atmosphere. To the clear solution sodium cyanoborohydride (1.14g, 18.2mmol) was added and stirred at room temperature for 4 hr. 5% aqueous sodium bicarbonate (50mL) was added to the reaction mixture slowly and 30 extracted with ethyl acetate (2 x 50mL). Ethyl acetate layer was dried over anhydrous Na_2SO_4 and evaporated to dryness. Residue placed on a flash column and eluted with 10% MeOH in CH_2Cl_2 to yield **125** (6.46 g, 72% yield). MS

- 92 -

(Electrospray) m/e 802 (M-H⁺)

EXAMPLE 66

2'-O-[(2-phthalimidoxy)ethyl]-3',5'-bis (tert-butylidiphenylsilyl) guanosine (126)

5 Compound 125 (3.7g, 4.61mmol) was mixed with Ph₃P (1.40g, 5.35mmol), and hydroxy phthalimide (0.87g, 5.35mmol). It was then dried over P₂O₅ under high vacuum for two days at 40°C. These anhydrous THF (46.1mmol) was added to get a clear solution under inert atmosphere.

10 Diethylazidocarboxylate (0.73mL, 4.61mmol) was added dropwise in such a manner that red color disappears before addition of the next drop. Resulting solution was then stirred at room temperature for 4 hr. THF was removed under vacuum and the residue dissolved in ethyl acetate (75mL) and 15 washed with water (2 x 50mL). Ethyl acetate layer was dried over anhydrous Na₂SO₄ and concentrated to dryness. Residue was purified by column chromatography and eluted with 7% MeOH in ethyl acetate to yield 126 (2.62g, 60% yield). Rf 0.48 (10% MeOH in CH₂Cl₂). MS (FAB⁻) m/e 947 (M-H⁺).

20 **EXAMPLE 67**

2'-O-(2-phthalimido-N-oxyethyl)-3',5'-O-bis-tert-butylidiphenylsilyl-N2-isobutyrylguanosine (127)

2'-O-(2-phthalimido-N-oxyethyl)-3',5'-O-bis-tert-butylidiphenylsilyl guanosine (3.66 g, 3.86 mmol) was 25 dissolved in anhydrous pyridine (40 ML), the solution was cooled to 5° C, and isobutyryl chloride (0.808 ML, 7.72 mmol) was added dropwise. The reaction mixture was allowed to warm to 25° C, and after 2h additional isobutyryl chloride (0.40 ML, 3.35 mmol) was added at 25° C. After 1h 30 the solvent was evaporated in vaccuo (0.1 torr) at 30° C to give a foam which was dissolved in ethyl acetate (150 ML) to give a fine suspension. The suspension was washed with water

- 93 -

(2 x 15 ML) and brine (4 ML), and the organic layer was separated and dried over MgSO₄. The solvent was evaporated in vaccuo to give a foam, which was purified by column chromatography using CH₂Cl₂-MeOH, 94:6, v/v, to afford the title compound as a white foam (2.57 g, 65%). ¹H NMR(CDCl₃): d 11.97 (br s, 1H), 8.73 (s, 1H), 7.8-7.2 (m, 25H), 5.93 (d, 1H, J_{1',2'} = 3.3 Hz), 4.46 (m, 1H), 4.24 (m, 2H), 3.83 (m, 2H), 3.60 (m, 2H), 3.32 (m, 1H), 2.67 (m, 1H), 1.30 (d, 3H, J = 3.2 Hz), 1.26 (d, 3H, j = 3.1 Hz), 1.05 (s, 9H), 1.02 (s, 9H).

This compound was further derivatized into the corresponding phosphoramidite using the chemistries described above for A and T analogs to give compound **128**.

EXAMPLE 68

15 **3'-O-acetyl-2'-O-(2-N,N-dimethylaminoxyethyl)-5'-O-tert-butyldiphenylsilyl thymidine (129)**

Compound **105** (3.04g, 5.21mmol) was dissolved in chloroform (11.4mL). To this was added dimethylaminopyridine (0.99g, 8.10mmol) and the reaction mixture was stirred for 10 minutes. Acetic anhydride (0.701 g, 6.87 mmol) was added and the reaction mixture was stirred overnight. The reaction mixture was then diluted with CH₂Cl₂ (40mL) and washed with saturated NaHCO₃ (30 ML) and brine (30 ML). CH₂Cl₂ layer evaporated to dryness. Residue placed on a flash column and eluted with ethyl acetate: hexane (80:20) to yield **129**. R_f 0.43 (ethyl acetate:hexane, 80:20). MS (Electrospray⁻) m/e 624 (M-H⁺)

EXAMPLE 69

30 **2'-O-(2-N,N-dimethylaminoxyethyl)-5'-O-tert-butyldiphenylsilyl 5-methyl cytidine (130)**

A suspension of 1,2,4-triazole (5.86g, 84.83mmol) in anhydrous CH₃CN (49mL) was cooled in an ice bath for 5 to

- 94 -

10 min. under argon atmosphere. To this cold suspension POCl_3 (1.87mL, 20mmol) was added slowly over 10 min. and stirring continued for an additional 5 min. Triethylamine (13.91mL, 99.8mmol) was added slowly over 30 min., keeping 5 the bath temperature around 0-2°C. After the addition was complete the reaction mixture was stirred at this temperature for an additional 30 minutes when compound **35** (3.12g, 4.99mmol) was added in anhydrous acetonitrile (3mL) in one portion. The reaction mixture was stirred at 0-2°C 10 for 10 min. Then ice bath was removed and the reaction mixture was stirred at room temperature for 1.5 hr. The reaction mixture was cooled to °C and this was concentrated to smaller volume and dissolved in ethyl acetate (100mL), washed with water (2 x 30mL) and brine (30mL). Organic 15 layer was dried over anhydrous Na_2SO_4 and concentrated to dryness. Residue obtained was then dissolved in saturated solution of NH_3 in dioxane (25mL) and stirred at room temperature overnight. Solvent was removed under vacuum. The residue was purified by column chromatography and eluted 20 with 10% MeOH in CH_2Cl_2 to get **130**.

EXAMPLE 70

2'-O-(2,N,N-dimethylaminoxyethyl)-N⁴-benzoyl-5'-O-tert-butyldiphenylsilylcytidine (131)

Compound **130** (2.8g, 4.81mmol) was dissolved in 25 anhydrous DMF (12.33mL). Benzoic anhydride (1.4g, 6.17mmol) was added and the reaction mixture was stirred at room temperature overnight. Methanol was added (1mL) and solvent evaporated to dryness. Residue was dissolved in dichloromethane (50mL) and washed with saturated solution of 30 NaHCO_3 (2 x 30 mL) followed by brine (30mL). Dichloromethane layer was dried over anhydrous Na_2SO_4 and concentrated. The residue obtained was purified by column chromatography and eluted with 5% MeOH in CH_2Cl_2 to yield **131**

- 95 -

as a foam.

EXAMPLE 71

N⁴-Benzoyl-2'-O-(2-N,N-dimethylaminoxyethyl)-5-methyl cytidine (132)

5 Compound **131** (2.5g, 3.9mmol) was dried over P₂O₅ under high vacuum. In a 100mL round bottom flask, triethylamine trihydrofluoride (6.36mL, 39mmol) is dissolved in anhydrous THF (39mL). To this, triethylamine (2.72mL, 10.5mmol) was added and the mixture was quickly poured into 10 compound **131** and stirred at room temperature overnight. Solvent is removed under vacuum and the residue kept in a flash column and eluted with 10% MeOH in CH₂Cl₂ to yield **132**.

EXAMPLE 72

N⁴-Benzoyl-2'-O-(2-N,N-dimethylaminoxyethyl)-5-O'-dimethoxytrityl-5-methyl cytidine (133)

15 Compound **132** (1.3g, 2.98mmol) was dried over P₂O₅ under high vacuum overnight. It was then co-evaporated with anhydrous pyridine (10mL). Residue was dissolved in anhydrous pyridine (15mL), 4-dimethylamino pyridine (10.9mg, 0.3mmol) was added and the solution was stirred at room 20 temperature under argon atmosphere for 4 hr. Pyridine was removed under vacuum and the residue dissolved in ethyl acetate and washed with 5% NaHCO₃ (20mL) and brine (20mL). Ethyl acetate layer was dried over anhydrous Na₂SO₄ and 25 concentrated to dryness. Residue was placed on a flash column and eluted with 10% MeOH in CH₂Cl₂ containing a few drops of pyridine to yield compound **133**.

EXAMPLE 73

N⁴-Benzoyl-2'-O-(2-N,N-dimethylaminoxyethyl)-5-dimethoxytrityl-5-methyl cytidine-3'-O-phosphoramidite (134)

30 Compound **133** (1.54g, 2.09mmol) was co-evaporated

- 96 -

with toluene (10mL). It was then mixed with diisopropylamine tetrazolide (0.36g, 2.09mmol) and dried over P_2O_5 under high vacuum at 40°C overnight. Then it was dissolved in anhydrous acetonitrile (11mL) and 2-cyanoethyl-
5 tetraisopropylphosphoramidite (2.66mL, 8.36mmol) was added. The reaction mixture was stirred at room temperature under inert atmosphere for 4 hr. Solvent was removed under vacuum. Ethyl acetate (50mL) was added to the residue and washed with 5% $NaHCO_3$ (30mL) and brine (30mL). Organic
10 phase was dried over anhydrous Na_2SO_4 and concentrated to dryness. Residue placed on a flash column and eluted with ethylacetate:hexane (60:40) containing a few drops of pyridine to get **134**.

EXAMPLE 74

15 **2'-O-dimethylaminoxyethyl-2,6-diaminopurine riboside phosphoramidite (135)**

For the incorporation of 2'-O-dimethylaminoxyethyl-2,6-diaminopurine riboside into oligonucleotides, we elected to use the phosphoramidite of
20 protected 6-amino-2-fluoropurine riboside **135**. Post-oligo synthesis, concomitant with the deprotection of oligonucleotide protection groups, the 2-fluoro group is displaced with ammonia to give the 2,6-diaminopurine riboside analog. Thus, 2,6-diaminopurine riboside is
25 alkylated with dimethylaminoxyethylbromide **136** to afford a mixture of 2'-O- dimethylaminoxyethyl-2,6-diaminopurine riboside **137** and the 3'- isomer **138**. Typically after functionalizing the 5'-hydroxyl with DMT to provide 5'-O-(4,4'-dimethoxytrityl)-2'-O- dimethylaminoxyethyl-2,6-
30 diaminopurine riboside **139**, the 2'-isomer may be resolved chromatographically. Fluorination of **139** via the Schiemann reaction (Krolikiewicz, K.; Vorbruggen, H. *Nucleosides Nucleotides*, **1994**, 13, 673-678) provides 2'-O-

- 97 -

dimethylaminoxyethyl-6-amino-2-fluoro-purine riboside **140** and standard protection protocols affords 5'-O-(4,4'-dimethoxytrityl)-2'-O- dimethylaminoxyethyl-6-dimethyformamidine-2-fluoropurine riboside **140**.

5 Phosphitylation of **140** gives 5'-O-(4,4'-dimethoxytrityl)-2'-O- dimethylaminoxyethyl-6-dimethyformamidine-2-fluoropurine riboside-3'-[(2-cyanoethyl)-N,N-diisopropylphosphoramidite] **138**.

In the event that compound **139** cannot be resolved chromatographically from the 3'-isomer, the mixture of compounds **137** and **138** may be treated with adenosine deaminase, which is known to selectively deaminate 2'-O-substituted adenosine analogs in preference to the 3'-O-isomer, to afford 2'-O- dimethylaminoxyethylguanosine **142**.
15 5'-O-(4,4'-dimethoxytrityl)-2'-O- dimethylaminoxyethylguanosine **140** may be converted to the 2,6-diaminopurine riboside analog **139** by amination of the 6-oxo group (Gryaznov, S.; Schultz, R. G. *Tetrahedron Lett.* 1994, 2489-2492). This was then converted to the 20 corresponding amidite **144** by standard protection methods and protocols for phosphitylation.

EXAMPLE 75

2'/3'-O-[2-(*tert*-butyldimethylsilylhydroxy) ethyl]-2,6-diaminopurine riboside (**145** and **146**)

25 2,6-diaminopurine riboside (10g, 35.46mmol) was dried over P₂O₅ under high vacuum. It was suspended in anhydrous DMF (180mL) and NaH (1.2g, 35.46mmol, 60% dispersion in mineral oil) was added. The reaction mixture was stirred at ambient temperature at inert atmosphere for 30 30 minutes. To this (2-bromoethoxy)-*tert*-butyldimethylsilylane (12.73 g, 53.2mmol) was added dropwise and the resulting solution was stirred at room temperature overnight. DMF was removed under vacuum, residue was

- 98 -

dissolved in ethyl acetate (100mL) and washed with water (2 x70mL). Ethyl acetate layer was dried over anhydrous MgSO₄ and concentrated to dryness. Residue was placed on a flash column and eluted with 5% MeOH in CH₂Cl₂ to get a mixture of 5 products (6.0711g, 31% yield). Rf 0.49, 0.59, 0.68 (5% MeOH in CH₂Cl₂).

EXAMPLE 76

2'-O-aminoxyethyl analogs

Various other 2'-O-aminoxyethyl analogs of 10 nucleoside (for e.g., 2,6-diaminopurine riboside) may be prepared as compounds 154. Thus, alkylation of 2, 6-diamino purine with (2-bromoethoxy)-tert-butyldimethylsilyl gives 2'-O- tert-butyldimethylsilyloxyethyl-2,6-diaminopurine riboside 145 and the 3'-isomer 146. The desired 2'-O-isomer 15 may be resolved by preparation of 5'-O-(4,4'-dimethoxytrityl)-2'-O-tert-butyldimethylsilyloxyethyl-2,6-diaminopurine riboside 147 and subjecting the mixture to column chromatography. Deprotection of the silyl group provides 5'-O-(4,4'-dimethoxytrityl)-2'-O- hydroxyethyl-2,6- 20 diaminopurine riboside 148 which undergoes a Mitsunobu reaction to give 5'-O-(4,4'-dimethoxytrityl)- 2'-O-(2-phthalimido-N-oxyethyl)-2,6-diaminopurine riboside 149. Treatment of 149 under Schiemann conditions effects 25 fluorination and deprotection of the DMT group to yield 2'-O-(2-phthalimido-N-oxyethyl)-6-amino-2-fluoropurine riboside 150. Standard protection conditions provides 5'-O-(4,4'-dimethoxytrityl)- 2'-O-(2-phthalimido-N-oxyethyl)-6-dimethylformamidine-2-fluoropurine riboside 151 and deprotection of the phthalimido function affords 5'-O-(4,4'-dimethoxytrityl)- 2'-O-aminoxyethyl-6-dimethylformamidine-2- 30 fluoropurine riboside 152.

Reductive amination of 152 with aldehydes or dialdehydes results in cyclic or acyclic disubstituted 2'-O-

- 99 -

aminooxyethyl analogs **153**. Phosphitylation of **153** provides cyclic or acyclic disubstituted 2'-O-aminooxyethyl analogs **154** as the phosphoramidites.

EXAMPLE 77

5 **2'/3'-O (2-tert-butyldimethylsilylhydroxyethyl) adenosine**
(155 and 156)

Adenosine (10g, 37.42mmol) was dried over P_2O_5 under high vacuum. It was then suspended in anhydrous DMF (150 mL) and NaH (1.35 g, 56.13 mmol) was added. The reaction mixture was stirred at room temperature under inert atmosphere for 30 min. Then (2-bromo ethyl)-tert-butyldimethylsilane (9.68mL, 4.4.90mmol) was added dropwise and the reaction mixture stirred at room temperature overnight. DMF was removed under vacuum and to the residue 10 dichloromethane (100mL) was added and washed with water (2 x 80mL). Dichloromethane layer was dried over anhydrous Na_2SO_4 and evaporated to dryness. Residue purified by column to 15 and get a mixture of products (4.30 g). R_f 0.49, 0.57 (10% MeOH in CH_2Cl_2)

20 **EXAMPLE 78**

2'-O-(2-methyleneiminoxyethyl) thymidine (157)

Compound **104** (3.10g, 5.48mmol) was dried over P_2O_5 under high vacuum. In a 100mL round bottom flask, triethylamine- trihydrofavouride (8.93mL, 54.8mmol) was dissolved in anhydrous THF and triethylamine (3.82mL, 25 27.4mmol) was added. The resulting solution was immediately added to the compound **104** and the reaction mixture was stirred at room temperature overnight. Solvent was removed under vacuum. Residue obtained was placed on a flash column and eluted with 10% MeOH in CH_2Cl_2 to yield **157** as white foam 30 (1.35 g, 75% yield). R_f 0.45 (5% MeOH in CH_2Cl_2). MS (FAB $^{\bullet}$) m/e 330 ($M+H^{\bullet}$), 352 ($M+Na^{\bullet}$).

WO 00/08042

- 100 -

EXAMPLE 79**5'-O-dimethoxytrityl-2'-O-(2-methyleneiminoxyethyl) thymidine (158)**

Compound 157 (0.64g, 1.95mmol) was dried over P_2O_5 under high vacuum overnight. It was then co-evaporated with anhydrous pyridine (5mL). Residue dissolved in anhydrous pyridine (4.43mL) and dimethoxytrityl chloride (0.79g, 2.34mmol), and 4-dimethylaminopyridine (23.8mg, 0.2mmol) was added. Reaction mixture was stirred under inert atmosphere at ambient temperature for 4 hrs. Solvent was removed under vacuum, the residue purified by column and eluted with 5% MeOH in CH_2Cl_2 containing a few drops of pyridine to yield 158 as a foam (1.09 g, 88% yield). R_f 0.4 (5% MeOH in CH_2Cl_2). MS (Electrospray⁻) m/e 630 ($M-H^+$)

EXAMPLE 80**5'-O-dimethoxytrityl-2'-O-(2-methyleneiminoxyethyl) thymidine-3'-O-phosphoramidite (159)**

Compound 158 (0.87g, 1.34mmol) was co-evaporated with toluene (10mL). Residue was then mixed with diisopropylamine tetrazolide (0.23g, 1.34mmol) and dried over P_2O_5 under high vacuum overnight. It was then flushed with argon. Anhydrous acetonitrile (6.7mL) was added to get a clear solution. To this solution 2-cyanoethyl tetraisopropylphosphorodiamidites (1.7mL, 5.36mmol) was added and the reaction mixture was stirred at room temperature for 6 hr. under inert atmosphere. Solvent was removed under vacuum, the residue was diluted with ethyl acetate (40mL), and washed with 5% $NaHCO_3$ (20mL) and brine (20mL). Ethyl acetate layer was dried over anhydrous Na_2SO_4 (20mL). Ethyl acetate layer was dried over anhydrous Na_2SO_4 and concentrated to dryness. Residue placed on a flash column and eluted with ethyl acetate: hexane (60:40) to yield 159 (1.92 g, 80% yield). R_f 0.34 (ethyl acetate:hexane, 60:40). ^{31}P NMR ($CDCl_3$) δ 150.76 ppm, MS

- 101 -

(Electrospray⁻) m/e 830 (M-H⁺).

EXAMPLE 81

Attachment of nucleoside to solid support general procedure

Compound **107** (200mg, 0.31mmol) was mixed with DMAP (19mg, 16mmol), succinic anhydride (47mg, 0.47mmol), triethylamine (86mL, 0.62mmol) and dichloromethane (0.8mL) and stirred for 4 hr. The mixture was diluted with CH₂Cl₂ (50mL) and the CH₂Cl₂ layer was washed first with ice cold 10% aqueous citric acid and then with water. The organic phase was concentrated to dryness to yield **161**. Residue (**161**) was dissolved in anhydrous acetonitrile (23mL). To this DMAP (37mg, 0.3mmol), and 2',2'-dithiobis(5-nitropyridine) (103mg, 0.33mmol) were added. The solution was stirred for 5 min. To this was added triphenylphosphine (78.69mg, 0.3mmol) in anhydrous acetonitrile (3mL). The solution was stirred for 10 min. and then CPG was added to it. The slurry was then shaken for 2 hr. It was then filtered, washed with acetonitrile and CH₂Cl₂. The functionalized CPG was dried and capped with capping solution to yield **161**. Loading capacity was determined (58.3μmol/g).

EXAMPLE 82

Synthesis of aminoxy derivatives: Alternative procedure

The diol **162** is converted to its tosylate derivative **163** by treatment with 1 equivalent of p-toluenesulfonyl chloride-pyridine followed by standard work-up. The tosylate is subsequently treated with several amino-hydroxy compounds to act as nucleophiles in displacing tosylate to yield a series of oxy-amino compounds. The reaction is facilitated by performing the anion from the amino alcohol or hydroxylamine derivative by the use of sodium hydride under anhydrous conditions.

- 102 -

EXAMPLE 83

**General procedure for the preparation of DMAOE
oligonucleotides and gapped oligonucleotides**

A 0.1 m solution of each 2'-O-DMAOE amidite was
5 prepared as a solution in anhydrous acetonitrile and loaded
onto an Expedite Nucleic Acid synthesis system (Millipore)
to synthesize oligonucleotides. All other amidites (A, T, C
and G, PerSeptive Biosystem) used in synthesis also made as
0.1 M solution in anhydrous acetonitrile. All syntheses
10 were carried out in the DMT on mode. For the coupling of
the 2'-O-DMAOE amidites coupling time was extended to 10
minutes and this step was carried out twice. All other
steps in the protocol supplied by Millipore were used except
the extended oxidation time (240 seconds). 0.5 m solution
15 of (S)-(+)-10-camphorsulfonyl)oxaziridine in anhydrous
acetonitrile was used as oxidizer. Beaucage reagent was
used for phosphorothioate synthesis. The overall coupling
efficiencies were more than 90%. The oligonucleotides were
cleaved from the controlled pore glass (CPG) supports and
20 deprotected under standard conditions using concentrated
aqueous NH₄OH (30%) at 55°C. 5'-O-DMT containing oligomers
were then purified by reverse phase liquid chromatography
(C-4, Waters, 7-8 x 300 mm, A=50 mM triethylammonium acetate
pH 1, B=100%CH₃CN, 5 to 60% B in 60 minutes). Detritylation
25 with aqueous 80% acetic acid (1 ML, 30 min., room
temperature), evaporations, followed by desalting by using
sephadese G-25 column gave oligonucleotides as pure foams.
All oligomers were then analyzed by CGE, HPLC and mass
spectrometry.

- 103 -

DMAOE GAPMERS

SEQ ID NO:	Sequence 5'-3'	Mass		HPLC Retention	Target
		Exp. g/mol	Obs. g/mol		
5	20 T*T*C*T* C*GCCCG CTC* T*C*C*T*C*C*	7440.68	7439.55	23.62	c-raf
	19 T*T*C*T* C*GCTGGT GAGT* T*T*C*A*	7018.43	7017.69	23.90	pkc- α
	20 T*T*C*T*C*GCCCGC TCC*T*C*C*T*C*C*	7600.68	7601.12	25.60	c-raf
	19 T*T*C*T*C*G CTGGT GAGT*T*T*C*A*	7146.44	7146.44	25.91	pkc- α
	20 T*T*C*T*C*GCCCGC TCC*T*C*C*T*C*C*	7543.30	7541.90	24.83	c-raf
10	19 T*T*C*T*C*GCTGGT GAGT*T*T*C*A*	7189.71	7188.41	25.28	pkc- α
	20 T*T*C*T*C*GCCCGCT CC*T*C*C*T*C*C*	7383.30	7379.64	22.60	c-raf
	19 T*T*C*T*C*GCTGGTG AGT*T*T*C*A*	7061.71	7059.70	23.02	pkc- α

* = modified positions-SEQ ID Nos., 18, 19, and 20

are modified as 2'-O-MOE and SEQ ID Nos., 19 and 20 are
15 modified as 2'-O-DMAOE; underlined nucleotides are joined by
phosphorothioate linkages and all other internucleotide
linkages are phosphodiester; all C's are 5-methyl C; and
separations were performed using the following HPLC
conditions: C-4 column, Waters 3.9 x 300 m.m, A=50 mM TEAAC,
20 B=CH₃CN, 5 to 60% in 60 min. Flow 1.5 ML/min., t=260 nm.

For the synthesis of the foregoing
oligonucleotides, especially the MOE gapmers, as controls
the following modified amidites were used: 2'-O-
methoxyethyl-thymidine (RIC, Inc. lot # E1050-P-10), 2'-O-
25 methoxyethyl-5-methylcytidine (lot # S1941 /RS), 2'-O-
methoxyethyl-adenosine, and 5-methylcytidine (lot # 311094).

The required amounts of the amidites were placed in

- 104 -

dried vials, dissolved in acetonitrile (unmodified nucleosides were made into 1M solutions and modified nucleosides were 100mg / ML), and connected to the appropriate ports on a Millipore Expedite™ Nucleic Acid Synthesis System (ISIS 4). 30mg of solid support resin was used in each column for 1 umole scale synthesis. The synthesis was run using the IBP-PS(1umole)dblcoupling protocol for phosphorothioate backbones and CSO-8 for phosphodiesters. The trityl reports indicated normal coupling results.

After synthesis the oligonucleotides were deprotected with conc. ammonium hydroxide(aq) at 55°C for approximately 16 hrs. Then they were evaporated, using a Savant AS160 Automatic SpeedVac, (to remove ammonia) and filtered to remove the CPG-resin.

The crude samples were analyzed by MS, HPLC, and CE. Then they were purified on a Waters 600E HPLC system with a 991 detector using a Waters C4 Prep. scale column (Alice C4 Prep. 10-16-96) and the following solvents: A: 50 mM TEA-Ac, pH 7.0 and B: acetonitrile utilizing the "MPREP2" method.

After purification the oligos were evaporated to dryness and then detritylated with 80% acetic acid at room temp. for approximately 30 min. Then they were evaporated.

The oligos were then dissolved in conc. ammonium hydroxide and run through a column containing Sephadex G-25 using water as the solvent and a Pharmacia LKB SuperFrac fraction collector. The resulting purified oligos were evaporated and analyzed by MS, CE, and HPLC.

- 105 -

EXAMPLE 83

**General procedure for the preparation of DMAOE
oligonucleotides and gapped oligonucleotides**

A 0.1 m solution of each 2'-O-DMAOE amidite was
5 prepared as a solution in anhydrous acetonitrile and loaded
onto an Expedite Nucleic Acid synthesis system (Millipore)
to synthesize oligonucleotides. All other amidites (A, T, C
and G, PerSeptive Biosystem) used in synthesis also made as
0.1 M solution in anhydrous acetonitrile. All syntheses
10 were carried out in the DMT on mode. For the coupling of
the 2'-O-DMAOE amidites coupling time was extended to 10
minutes and this step was carried out twice. All other
steps in the protocol supplied by Millipore were used except
the extended oxidation time (240 seconds). 0.5 m solution
15 of (S)-(+)-10-camphorsulfonyl)oxaziridine in anhydrous
acetonitrile was used as oxidizer. Beaucage reagent was
used for phosphorothioate synthesis. The overall coupling
efficiencies were more than 90%. The oligonucleotides were
cleaved from the controlled pore glass (CPG) supports and
20 deprotected under standard conditions using concentrated
aqueous NH₄OH (30%) at 55°C. 5'-O-DMT containing oligomers
were then purified by reverse phase liquid chromatography
(C-4, Waters, 7-8 x 300 mm, A=50 mM triethylammonium acetate
pH 1, B=100%CH₃CN, 5 to 60% B in 60 minutes). Detritylation
25 with aqueous 80% acetic acid (1 ML, 30 min., room
temperature), evaporation, followed by desalting by using
sephadese G-25 column gave oligonucleotides as pure foams.
All oligomers were then analyzed by CGE, HPLC and mass
spectrometry.

- 106 -

DMAOE GAPMERS

SEQ ID NO:	Sequence 5'-3'	Mass		HPLC Retention	Target	
		Exp. g/mol	Obs. g/mol			
5	20a	T*T*C* T*C*G CCC GCT* CCT* C*C*T* C*C*	7440.68	7439.55	23.62	c-raf
	19a	T*T*C* T*C*G CTG GTG AGT* T*T*C* A*	7018.43	7017.69	23.90	pkc- α
	20a	T _s *T _s *C _s * T _s *C _s *G CCC GCT CC*T _s * C _s *C _s *T _s * C _s *C*	7600.68	7601.12	25.60	c-raf
	19a	T _s *T _s *C _s * T _s *C _s *G CTG GTG AGT* T*T*C* A*	7146.44	7146.44	25.91	pkc- α
	20b	T _s *T _s *C _s * T _s *C _s *G CCC GCT CC*T _s * C _s *C _s *T _s * C _s *C*	7543.30	7541.90	24.83	c-raf
10	19b	T _s *T _s *C _s * T _s *C _s *G CTG GTG AGT _s * T _s *T _s *C _s * A _s *	7189.71	7188.41	25.28	pkc- α
	20b	T*T*C* T*C*G CCC GCT CC*T* C*C*T* C*C*	7383.30	7379.64	22.60	c-raf
	19b	T*T*C* T*C*G CTG GTG AGT* T*T*C* A*	7061.71	7059.70	23.02	pkc- α

* = modified positions-SEQ ID Nos., 19a and 20a are

modified as 2'-O-MOE and 19b and 20b are modified as 2'-O-

15 DMAOE; subscript s indicates a phosphorothioate internucleoside linkage and all other internucleotide linkages are phosphodiester; all C's are 5-methyl C; and separations were performed using the following HPLC conditions: C-4 column, Waters 3.9 x 300 m.m, A=50 mM TEAAc,

- 107 -

B=CH₃CN, 5 to 60% in 60 min. Flow 1.5 ML/min., t=260 nm.

For the synthesis of the foregoing oligonucleotides, especially the MOE gapmers, as controls the following modified amidites were used: 2'-O-
5 methoxyethyl-thymidine (RIC, Inc. lot # E1050-P-10), 2'-O-
methoxyethyl-5-methylcytidine (lot # S1941 /RS), 2'-O-
methoxyethyl-adenosine, and 5-methylcytidine (lot # 311094).

The required amounts of the amidites were placed in dried vials, dissolved in acetonitrile (unmodified 10 nucleosides were made into 1M solutions and modified nucleosides were 100mg / ML), and connected to the appropriate ports on a Millipore Expedite™ Nucleic Acid Synthesis System (ISIS 4). 30mg of solid support resin was used in each column for 1 umole scale synthesis. The 15 synthesis was run using the IBP-PS(lumole)dblcoupling protocol for phosphorothioate backbones and CSO-8 for phosphodiesters. The trityl reports indicated normal coupling results.

After synthesis the oligonucleotides were 20 deprotected with conc. ammonium hydroxide(aq) at 55°C for approximately 16 hrs. Then they were evaporated, using a Savant AS160 Automatic SpeedVac, (to remove ammonia) and filtered to remove the CPG-resin.

The crude samples were analyzed by MS, HPLC, and 25 CE. Then they were purified on a Waters 600E HPLC system with a 991 detector using a Waters C4 Prep. scale column (Alice C4 Prep. 10-16-96) and the following solvents: A: 50 mM TEA-Ac, pH 7.0 and B: acetonitrile utilizing the "MPREP2" method.

30 After purification the oligos were evaporated to dryness and then detritylated with 80% acetic acid at room temp. for approximately 30 min. Then they were evaporated.

The oligos were then dissolved in conc. ammonium hydroxide and run through a column containing Sephadex G-25 35 using water as the solvent and a Pharmacia LKB SuperFrac

- 108 -

fraction collector. The resulting purified oligos were evaporated and analyzed by MS, CE, and HPLC. These oligomers are the 2'-DMAOE thioate and diester analogs of SEQ ID NOS. 19 and 20.

5	SEQ ID	Mass (g/mol)	Mass (g/mol)	
	NO:	Observed	Observed	
	20	7240.929	7239.91	(P=S wings)
10	19	6887.341	6882.51	(P=S wings)
	20	7080.929	7076.04	(P=O wings)
	19	6759.341	6756.51	(P=O wings).

15 **EXAMPLE 84**

General procedure for the preparation of uniformly modified DMAOE oligonucleotides

20-0-DMAOE amidites of A (225 mg, 0.23 mmol), ^{5me}C (150 mg, 0.16 mmol), G (300 mg, 0.31 mmol) and T (169.4 mg, 0.2 mmol) were dissolved in anhydrous acetonitrile to get 0.1 M solutions. These solutions were loaded onto a Expedite Nucleic Acid Synthesis system (Millipore) to synthesize the oligonucleotides. The coupling efficiencies were more than 90 %. For the coupling of the amidite 1 coupling time was extended to 10 minutes and this step was carried out twice. All other steps in the protocol supplied by Millipore were used except the extended coupling time. Because reagent (0.1 M in acetonitrile) was used as a sulferizing agent. 25 For diester synthesis, CSO was used as the oxidizing agent. 30 The oligomers were cleaved from the controlled pore

- 109 -

glass(CPG) supports and deprotected under standard conditions using concentrated aqueous NH₄OH (30%) at 55 °C. 5'-O-DMT containing oligomers were then purified by reverse phase high performance liquid chromatography (C-4, Waters, 5 7.8 x 300 mm, A = 50 mM triethylammonium acetate, pH -7, B = acetonitrile, 5-60% of B in 60 min., flow 1.5 ML/min.). Detritylation with aqueous 80% acetic acid and evaporation, followed by desalting in a Sephadex G-25 column gave oligonucleotides 28059, 28060 and 22786. Oligonucleotides 10 were analyzed by HPLC, CGE and Mass spectrometry.

SEQ ID #	Sequence	Target	Mass expected/ retention	HPLC Observed time
15 /min.				
18	5-T*sC*sT*sG*sA*sG*s T*sA*sG*sC*sA*sG*sA*s G*sG*sA*sG*sC*sT*sC*-3'	ICAM	8602.67/ 31.96 8607.74	
18	5'-T*C*T*G*A*G*T*A*G*C* A*G*A*G*G*A*G*C*T*C*-3'	ICAM	8298.66/ 28.44 8301.38	
	5'-GCGTAT*ACG-3'		3131.35/ 21.87@ 3130.25	

HPLC Conditions C-18, Waters 3.9 x 300 mm, A = 50 mM triethylammonium acetate, pH 7; B = Acetonitrile; 5 to 60% B in 55 min.; flow 1 ML/min., @ 5 to 18% B in 30 min.; flow 1.5 ML/min., T* = 2'-O-DMAOE T, A* = 2'-O-DMAOE A, C* = 2'-O-DMAOE ^{5me}C, G* = 2'-O-DMAOE G.

EXAMPLE 85

O²,2'-anhydro[1-(β-D-arabinofuranosyl)-5-methyluridine]
30 5-Methyluridine (ribosylthymine, commercially

- 110 -

available through Yamasa, Choshi, Japan) (72.0 g, 0.279 mol), diphenylcarbonate (90.0 g, 0.420 mol) and sodium bicarbonate (2.0 g, 0.024 mol) were added to dimethylformamide (300 ML). The mixture was heated to 5 reflux with stirring allowing the resulting carbon dioxide gas to evolve in a controlled manner. After 1 hour, the slightly darkened solution was concentrated under reduced pressure. The resulting syrup was poured into stirred diethyl ether (2.5 L). The product formed a gum. The ether 10 was decanted and the residue was dissolved in a minimum amount of methanol (ca 400 ML). The solution was poured into fresh ether as above (2.5 L) to give a stiff gum. The ether was decanted and the gum was dried in a vacuum oven (60 °C at 1 mm Hg for 24 h) to give a solid which was 15 crushed to a light tan powder (57 g, 85% crude yield). NMR was consistent with structure and contamination with phenol and its sodium salt (ca 5%). The material was used as is for ring opening. It can be purified further by column chromatography using a gradient of methanol in ethyl acetate 20 (10-25%) to give a white solid, mp 222-4 °C.

Example 86

5'-O-*tert*-Butyldiphenylsilyl-*O*²-2'-anhydro-5-methyl uridine

(1a)

*O*²,2'-Anhydro-5-methyluridine (100.0 g, 0.416 mmol) and 25 dimethylaminopyridine (0.66 g, 0.013 eq, 0.0054 mmol) were dissolved in dry pyridine (500 ML) at ambient temperature under an argon atmosphere and with mechanical stirring. *tert*-butyldiphenylchlorosilane (125.8 g, 119.0 ML, 1.1 eq, 0.458 mmol) was added in one portion and the reaction was 30 stirred for 16 h at ambient temperature. TLC (Rf 0.22, ethyl acetate) indicated a complete reaction. The solution was concentrated under reduced pressure to a thick oil which was partitioned between dichloromethane (1 L) and saturated

- 111 -

sodium bicarbonate (2x1 L) and brine (1 L). The organic layer was dried over sodium sulfate and concentrated under reduced pressure to a thick oil. The oil was dissolved in a 1:1 mixture of ethyl acetate and ethyl ether (600 ML) and 5 the solution was cooled to -10°C. The resulting crystalline product was collected by filtration, washed with ethyl ether (3x200 ML) and dried (40°C, 1mm Hg, 24 h) to give 149g (74.8%) of the title compound as a white solid. TLC and NMR were consistent with pure product.

10 **Example 87**

5'-O-tert-Butyldiphenylsilyl-2'-O-(2-hydroxyethyl)-5-methyl uridine (2a)

Borane in THF (1.0M, 2.0 eq, 622 ML) was added to a 2 L stainless steel, unstirred pressure reactor. In the fume 15 hood and with manual stirring, ethylene glycol (350 ML, excess) was added cautiously at first until the evolution of hydrogen gas subsided. 5'-O-Tert-butyldiphenylsilyl-O'-2'- anhydro-5-methyluridine (149g, 0.311 mol) and sodium bicarbonate (0.074g, 0.003 eq) were added with manual 20 stirring. The reactor was sealed and heated in an oil bath until an internal temperature of 160°C was reached and then maintained for 16 h (pressure < 100 psig). The reaction vessel was cooled to ambient temperature and opened. TLC (R_f 0.67 for desired product and R_f 0.82 for ara-T side 25 product, ethyl acetate) indicated about 70% conversion to the product. In order to avoid additional side product formation, the reaction was stopped, concentrated under reduced pressure (10 to 1mm Hg) in a warm water bath (40- 30 100°C) with the more extreme conditions used to remove the ethylene glycol. [Alternatively, once the low boiling solvent is gone, the remaining solution can be partitioned between ethyl acetate and water with the product in the organic phase.] The residue was purified by column chromatography (2kg silica gel, ethyl acetate:hexanes

- 112 -

gradient from 1:1 to 4:1). The appropriate fractions were combined, concentrated and dried to give 84g (50%) of the title compound as a white crisp foam. Also collected from the column was contaminated starting material (17.4g) and 5 pure reusable starting material (20g). The yield, based on starting material less pure recovered starting material, was 58%. TLC and NMR were consistent with the title compound at a purity of 99%.

10 ^1H NMR (DMSO-d₆) δ 1.05 (s, 9H), 1.45 (s, 3 H), 3.5-4.1 (m, 8 H), 4.25 (m, 1 H), 4.80 (t, 1 H), 5.18 (d, 2H), 5.95 (d, 1 H), 7.35-7.75 (m, 11 H), 11.42 (s, 1 H).

Example 88

2'-O-[2-(phthalimidooxy)ethyl]-5'-tert-butyldiphenylsilyl-5-methyl uridine (3a)

15 Nucleoside 2a (20g, 36.98mmol) was mixed with triphenylphosphine (11.63g, 44.36 mmol) and *N*-hydroxyphthalimide (7.24g, 44.36 mmol). It was then dried over P₂O₅ in *vacuo* for two days at 40°C. The reaction mixture was flushed with argon and dry THF (369.8 ML) was 20 added to give a clear solution. Diethyl azodicarboxylate (6.98 ML, 44.36 mmol) was added dropwise to the reaction mixture. The rate of addition was maintained such that the resulting deep red coloration is just discharged before adding the next drop. After the addition was complete, the 25 reaction was stirred for 4 hrs. The TLC showed the completion of the reaction (ethyl acetate:hexane, 60:40). The solvent was evaporated in *vacuo* and the resulting residue was purified by flash column chromatography using ethyl acetate:hexane (60:40) as the eluent to give 21.81g 30 (86%) of the title compound as a white foam. TLC R_f 0.56 (ethyl acetate:hexane, 60:40). MS (FAB⁺) *m/z* 684 (M-H⁺)

Example 89**5'-O-tert-butyldiphenylsilyl-2'-O-[2-(formaldoximinoxy)ethyl]-5-methyl uridine (4a)**

Methylhydrazine (300 ML, 4.64 mmol) was added dropwise
5 at from -10°C to 0°C to Compound 3a (3.1g, 4.5 mmol)
dissolved in dry CH₂Cl₂ (4.5 ML). After 1 hour the mixture
was filtered, the filtrate was washed with ice cold CH₂Cl₂
and the combined organic phase was washed with water, brine
and dried over anhydrous Na₂SO₄. The solution was
10 concentrated to give 2'-O-(aminoxyethyl) thymidine, which
was dissolved in MeOH (67.5 ML). Formaldehyde (20% aqueous
solution, w/w, 1.1 eg.) was added and the mixture was
stirred at room temperature for 1 h. The solvent was
removed *in vacuo* and the residue purified by column
15 chromatography to give 1.95g (78%) of the title compound as
a white foam.

R_f 0.32 (5% MeOH in CH₂Cl₂). ¹H NMR (200 MHZ, DMSO-d₆) δ
1.03 (s, 9H), 1.45 (s, 3H) 3.66-4.03 (m, 9H), 5.20 (d, 1H, J
= 5.92 Hz), 5.91 (d, 1H, J = 5.42 Hz), 6.54 (d, 1H, J = 7.7
20 Hz), 6.99 (d, 1H, 7.64 Hz), 7.39-7.48 (m, 6H), 7.61-7.67 (m,
4H), 11.39 (s, 1H); ¹³C NMR (50 MHZ, CDCl₃) 11.84, 19.41,
62.96, 68.57, 70.02, 72.61, 82.67, 84.33, 87.14, 111.12,
127.90, 129.98, 132.37, 133.10, 134.93, 135.18, 135.44,
137.96, 150.50, 164.02; MS (Electrospray) m/z 566 (M-H).

25 Example 90**5'-O-tert-Butyldiphenylsilyl-2'-O-[2-(N-methyl)aminoxyethyl]-5-methyl uridine (5a)**

Compound 4a (2.3 g, 4.17 mmol) was dissolved in 1M
pyridinium-p-toluenesulfonate in MeOH (41.7 ML). The
30 reaction mixture was cooled to 10 °C on an ice bath and
NaBH₃CN (0.52 g, 8.35) was added with continued cooling and
stirring for 15 minutes. The mixture was allowed to warm to

- 114 -

room temperature and stirred for 4 hours. The progress of the reaction was complete as indicated by TLC (5 % MeOH in CH₂Cl₂). The mixture was concentrated to a syrup and diluted with ethyl acetate (50 ML) and washed with water (30 ML), 5 5% aqueous NaHCO₃ (30 ML) and brine (30 ML). The ethyl acetate layer was dried over anhydrous Na₂SO₄ and evaporated to dryness to give 2.32 g of the title compound as a foam. The foam was used for the next step without further purification. R_f (0.34, 5% MeOH in CH₂Cl₂).

10

Example 91

5'-O-tert-Butyldiphenylsilyl-2'-O-[2-[(N-methyl)-N-(2-phthalimido)ethyl]aminoxy ethyl]-5-methyl uridine (6a)

Compound 5a (2 g, 3.44 mmol) was dissolved in 1M 15 pyridinium p-toulene sulfonate in MeOH (34 ML). α -Phthalimidoacetaldehyde (0.72 g, 3.78 mmol) was added and the mixture was stirred at ambient temperature for 10 minutes. The reaction mixture was cooled to 10°C in an ice bath and NaBH₃CN (0.43 g, 0.89 mmol) was added with stirring 20 at 10 °C for 15 minutes. The reaction mixture was allowed to warm to room temperature, stirred for 4 hours, concentrated to an oil and diluted with ethyl acetate (50 ML). The ethyl acetate layer was washed with water (40 ML), 5% NaHCO₃ (40 ML) and brine (25 ML). The organic 25 phase was dried over anhydrous Na₂SO₄ and evaporated to dryness. The residue was purified by flash column chromatography and eluted with ethyl acetate: hexane 60:40 to give 1.54 g (60 %) of the title compound.

R_f = 0.68 (Ethyl acetate). ¹H NMR (200 MHZ, DMSO-d₆) δ 30 1.04 (s, 9H), 1.41 (s, 3H), 2.46 (s, 3H), 2.79 (t, 2H, J = 6.34 Hz), 3.69-4.08 (m, 10H), 4.27 (m, 1H), 5.22 (d, 1H, J = 5.7 MHZ), 5.95 (d, 1H, J = 5.86 Hz), 7.39-7.7 (m, 11H), 7.84 (s, 4H), 11.38 (s, 1H); HRMS (MALDI) Calcd for C₃₉H₄₆O₉N₄SiNa⁺ 765.2932, Found: 765.2922.

Example 92**2'-O-{2-[*N*-methyl)-*N*-(2-phthalimido)ethyl]aminoxyethyl}-5-methyl uridine (7a)**

A solution of triethylamine trihydrogen fluoride (2.64 5 ML, 16.2 mmol) and triethylamine (1.13 ML, 8.1 mol) in THF was added to compound 6a (1.2g, 1.62 mmol) with stirring at room temperature for 18 hours. TLC indicated that the reaction was completed at this time (10% MeOH in CH₂Cl₂). The solvent was removed *in vacuo*, the residue dissolved in 10 ethyl acetate (30 ML), the organic layer washed with water (30 ML), brine (30 ML) and dried over anhydrous Na₂SO₄. The organic phase was evaporated and the residue purified by flash chromatography using 5% MeOH in CH₂Cl₂ as eluent to give 0.42 g (52%) of the title compound as a solid.

15 (R_f = 0.34, 10% MeOH in CH₂Cl₂). ¹H NMR (200 MHZ, DMSO-d₆) δ 1.70 (s, 3H), 2.46 (s, 3H), 2.78 (t, 2H, J = 6.35 Hz), 3.54-3.74 (m, 8H), 3.8 (d, 1H, J = 3.52 Hz), 3.97 (t, 1H, J = 5.26 Hz), 4.10 (q, 1H, J = 4.98 Hz), 5.05 (d, 1H, J = 5.58 Hz), 5.12 (t, 1H, J = 5.14 Hz), 5.86 (d, 1H, J = 5.64 Hz), 20 7.75 (s, 1H), 7.84 (s, 4H), 11.29 (s, 1H); ¹³C (50 MHZ, CDCl₃) 12.37, 35.55, 45.62, 58.05, 61.58, 69.06, 69.97, 70.98, 81.39, 85.2, 90.7, 110.67, 123.17, 132.01, 133.94, 138.05, 150.49, 164.24, 168.47; HRMS (FAB) Calcd for C₂₃H₂₉O₉N₄ ^A 505.1927; Found: 505.1927.

25 **Example 93**

5'-O-DMT-2'-O-{2-[*N*-methyl)-*N*-(2-phthalimido)ethyl]aminoxyethyl}-5-methyl uridine (8a)

Compound 7a (0.4 g, 0.79 mmol), dried over P₂O₅ at 40 °C in *vacuo* overnight, was mixed with DMAP (0.019g, 0.16 mmol) 30 and co-evaporated with pyridine (3 ML). The residue was dissolved in anhydrous pyridine (1.9 ML) and DMTC1 (0.29g, 0.87 mmol) was added. The reaction mixture was stirred at room temperature under inert atmosphere for 8 hours with

- 116 -

monitoring by TLC (5% MeOH in CH_2Cl_2). Additional DMTCI (0.15mg) was added with stirring continued until disappearance of the starting material. Pyridine was removed *in vacuo* and the residue was purified by flash 5 column chromatography using ethyl acetate:hexane 60:40 as the eluent to give 0.47g (73%) of the title compound.

(R_f = 0.35, 5% MeOH in CH_2Cl_2). ^1H NMR (200 MHZ, DMSO- d_6) δ 1.36 (s, 3H), 2.48 (s, 3H), 2.79 (t, 2H, J = 6.34 Hz), 3.21 (m, 2H), 3.73 (brs, 12H), 3.97 (m, 1H), 4.07 (m 1H), 10 4.22 (m, 1H), 5.16 (d, 1H, J = 6.12 Hz), 5.87 (d, 1H, J = 4.94 Hz), 6.89 (d, 3H, J = 4H), 7.34-7.43 (m, 9H), 7.48 (s, 1H), 7.83 (s, 4H), 11.36 (s, 1H); ^{13}C (50 MHZ, CDCl_3), 11.59, 35.36, 45.5, 54.83, 57.94, 61.96, 68.85, 69.86, 82.6, 83.15, 86.47, 87.34, 110.53, 112.99, 122.56, 125.80, 127.72, 15 127.96, 129.8, 131.82, 133.63, 135.27, 135.83, 144.18, 150.44, 158.33, 164.28, 168.21; HRMS (FAB) Calcd for $\text{C}_{44}\text{H}_{46}\text{O}_{11}\text{N}_4\text{Na}^+$ 829.3061, Found 829.3066.

Example 94

5'-O-DMT-2'-O-{2-[N-(methyl)-N-(2-phthalimido)ethyl]amino-
20 oxyethyl}-5-methyl-uridine-3'-O-[(2-cyanoethyl)-N,N-diisopropyl]-phosphoramidite (9a)

N,N-Diisopropylamine tetrazolide (0.055 g, 0.32 mmol, dried over P_2O_5 *in vacuo* at 40 °C overnight) was added to Compound 8a (0.26g, 0.32 mmol, co-evaporated with 25 toulene) followed by anhydrous acetonitrile (1.6 ML) with stirring at room temperature for 18 hours under an inert atmosphere. Analysis by TLC (ethylacetate:hexane 60:40) showed the reaction was completed at this time. The solvent was remove *in vacuo* and the residue was purified by flash 30 column chromatography using ethyl acetate containing 0.5% of pyridine as the eluent to give 0.28 g (85%) of the title compound.

(R_f = 0.28, ethylacetate:hexane, 60:40). ^{31}P NMR (80

- 117 -

MHZ, CDCl₃) δ 150.82, 150.61; MS (FAB) *m/z* 1029 [M+Na]⁺.

Example 95

5'-O-DMT-2'-O-{2-[N-(methyl)-N-(2-phthalimido)ethyl]aminoxyethyl}-3'-O-[(2-succinyl-5-methyluridine (10a)

Compound 8a (0.16 g, 0.2 mmol) was mixed with DMAP (0.013 g, 0.10 mmol) and succinic anhydride (0.03 g, 0.3 mmol) and dried over P₂O₅ *in vacuo* at 40 °C overnight. CH₂Cl₂ (0.5 ML) and triethylamine (0.06 ML, 0.4 mmol) was added with stirring at room temperature for 4 hours under an inert atmosphere. The mixture was diluted with CH₂Cl₂ (30 ML) and washed with 10% aqueous citric acid (30 ML) and water (2x15 ML). The organic layer was dried over anhydrous Na₂SO₄ and concentrated to give 0.162 g (90%) of the title compound as a foam.

(R_f = 0.43, 10% MeOH in CH₂Cl₂). ¹H NMR (200 MHZ, DMSO-d₆) δ 1.4 (s, 3H), 2.42 (s, 3H), 2.56 (m, 4H, overlap with DMSO peak), 2.75 (t, 2H, J = 6.29 Hz), 3.24 (m, 2H, overlapping with H₂O peak), 3.53-3.8 (m, 6H), 3.72 (s, 6H), 4.13 (brs, 1H), 4.37 (t, 1H, J = 5.86 Hz), 5.29 (t, 1H, J = 4.4 Hz), 5.87 (d, 1H, J = 6.36 Hz), 6.89 (d, 4H, J = 8.72 Hz), 7.21-7.39 (m, 9H), 7.49 (s, 1H), 7.82 (s, 4H), 11.42 (s, 1H), 12.24 (brs, 1H); MS (FAB) *m/z* 929 [M+Na]⁺.

Example 96

25 5'-O-DMT-2'-O-{2-[N-(methyl)-N-(2-phthalimido)ethyl]aminoxyethyl}-5-methyl-uridine-3'-O-succinyl CPG (11a)

Compound 10a (0.15 g, 0.17 mmol) and DMAP (0.021 g, 0.17 mmol) was dissolved in anhydrous acetonitrile. To protect the reaction mixture from moisture 2,2'-dithiobis(5-nitropyridine) (0.068 g, 0.19 mg) was added. The solution was stirred for 5 minutes at room temperature. To this solution triphenyl phosphine (0.045 g, 0.17 mmol) in

- 118 -

anhydrous acetonitrile (1.12 ML) was added. The solution was stirred for 10 minutes at ambient temperature. Activated CPG (Controlled Pore Glass, 1.12 g, 115.2 mmol/g, particle size 120/200, mean pore diameter 520 Å) was added 5 and allowed to shake on a shaker for 2 hours. An aliquot was withdrawn and loading capacity was determined by following standard procedure (61.52 mmol/g). The functionalized CPG (11a) was filtered and washed thoroughly with CH₃CN, CH₂Cl₂ and Et₂O. It was then dried *in vacuo* over 10 night. Any unreacted sites on the CPG was capped by using capping reagents [CapA (2 ML), acetic anhydride/leuticle/THF; Cap B (2 ML), 1-methylimidazole/THF, PerSeptive Biosystems Inc.] and allowed to shake on a shaker for 2 h. The functionalized CPG was filtered, washed 15 thoroughly with CH₃CN, CH₂Cl₂ and Et₂O. It was then dried and the final loading capacity was determined (60.74 mmol/g).

Example 97

5'-O-DMT-2'-O-{2-[*N,N*-bis-2-phthalimidoethyl]aminoxyethyl}-5-methyl uridine-3'-O-[(2-cyanoethyl)*N,N*-diisopropyl] phosphoramidite (12a)

Compound 4a is treated with methylhydrazine to give the aminoxy compound followed by treatment with phthalimido-acetaldehyde to give the corresponding oxime. The oxime is reduced under acid catalyzed reductive amination conditions 25 to give the 2-phthalimidoethyl derivative which on treatment with another equivalent of phthalimidoacetaldehyde under reductive amination conditions will give the bis(2-phthalimidoethyl)aminoxyethyl derivative. The bis(2-phthalimidoethyl)aminoxyethyl derivative is desilylated, 30 tritylated at 5'- position and then 3'- phosphitylated to give the title compound.

- 119 -

Example 98

5'-O-DMT-2'-O-{2-[*N,N*-bis-2-phthalimidoethyl]aminoxyethyl}-5-methyl uridine-3'-O-succinyl CPG (13a)

5 Compound 14a is synthesized according to the procedure described for compounds 11a and 12a starting from 5'-O-DMT-2'-O-{2-[*N,N*-bis-(2-phthalimido)ethylaminoxyethyl]-5-methyl uridine.

Example 99

10 **Synthesis of oligonucleotides containing 2'-O-{2-[*N*-(2-amino)ethyl-*N*-(methyl)]aminoxyethyl} modification**

15 Phosphoramidite 9a was dissolved in anhydrous acetonitrile (0.1 M solution) and loaded on to a Expedite Nucleic Acid Synthesis system (Millipore 8909) for use in oligonucleotide synthesis. The coupling efficiencies were determined to be greater than 98%. For the coupling of the modified phosphoramidite 9a coupling time was extended to 10 minutes and this step was carried out twice. All other steps in the protocol supplied by Millipore were used 20 without modification. After completion of the synthesis CPG was suspended in aqueous ammonia solution (30 wt %) containing 10 % methyl amine (40 wt % solution) and heated at 55°C for 6 h. The resulting oligonucleotides were purified by HPLC (Waters, C-4 , 7.8 X 300 mm, A = 50 mM 25 triethylammonium acetate, pH = 7, B = acetonitrile, 5 to 60 % B in 55 Min, Flow 2.5 ML/min., λ = 260 nm). Detritylation with aqueous 80% acetic acid and evaporation followed by desalting by HPLC on Waters C-4 column gave 2'-modified oligonucleotides (Table I). Oligonucleotides were analyzed 30 by HPLC, CGE and mass spectrometry.

- 120 -

Table I Oligonucleotides containing 2'-O-{2-[N-(2-amino)ethyl-N-(methyl)aminoxyethyl} modification

SEQ ID No./ ISIS #	Sequence	Mass Calcd	Mass Found	HPLC Retention Time (min. ^a)
6/ 30443	5' CTC GTA CT*T* T*T*C CGG TCC 3'	5919.21	5919.79	23.79 ^a
14/ 26267	5' TTT TTT TTT TTT TTT T*T*T* T* 3'	6246.45	6243.04	25.56 ^b

10 T* = 2'-O-{2-[N-(2-amino)ethyl-(N-methyl)aminoxy]ethyl} ^{5MeU}

^aWaters C-4, 7.8x300 mm, solvent A=50 mM TEAAC, pH 7; Solvent B = CH₃CN; gradient 5-60 % B in 50 min; flow rate 2.5 mL/min, l = 260 nm, ^bWaters C-4, 3.9x300 mm, solvent A=50 mM TEAAC, pH 7; Solvent B = CH₃CN; gradient 5-40 % B in 55 min; flow rate 1.5 mL/min, l = 260 nm.

Table II. T_m values of 2'-O-{2-[N-(2-amino)ethyl-(N-methyl)aminoxy]ethyl} modifications

SEQ ID No./ ISIS #	Sequence 5'-3'	T _m °C T a r g e t RNA	ΔT _m °C	ΔT _m /mod °C
6/ 2896	CTC GTA CTT TTC CGG TCC	61.8		
6/ 32350	CTC GTA CT*T* T*T*C CGG TCC	63.60	1.8	0.38

T* = 2'-O-{2-[N-(2-amino)ethyl-N-(methyl)aminoxy]ethyl} ^{5MeU}

Example 100

5'-O-DMT-2'-O-{2-[N-2-(N,N-dimethylamino)ethyl-N-(methyl)-aminoxy]ethyl}-5-methyluridine-3'-O-[(2-cyanoethyl)N,N-diisopropyl] phosphoramidite (14a)

Compound 14a is synthesized from compound 3a. The phthalimido compound 3a is deprotected with methylhydrazine to form the aminoxy compound. The reactive aminoxy

- 121 -

compound is treated with α -(*N,N*-dimethylamino)acetaldehyde diethyl acetal to give the corresponding oxime. The oxime is reduced under acid catalyzed reductive amination conditions to give the 2-{[2-*N,N*-
5 (dimethyl)amino]ethylaminoxy}ethyl derivative which on treatment with formaldehyde under reductive amination condition gives the 2-{[*N*-2-(*N,N*-dimethyl)amino]ethyl-*N*-
(methyl)aminoxy}ethyl derivative. Desilylation, tritylation and phosphitylation as illustrated in previous
10 examples gives the title phosphoramidite.

Compound 101

5'-O-DMT-2'-O-{2-[*N*-2-(*N,N*-dimethylamino)ethyl-*N*-(methyl)- aminoxy}ethyl}-5-methyl uridine-3'-O-succinyl CPG (15a)

Compound 15a is synthesized according to the procedure described for compounds 11a and 12a starting from 5'-O-DMT-
15 2'-O-{2-[*N*-(2-*N,N*-dimethylamino)ethyl-*N*-
(methyl)aminoxy}ethyl}-5-methyl uridine.

PROCEDURE 1

Nuclease Resistance

20 **A. Evaluation of the resistance of modified
oligonucleotides to serum and cytoplasmic
nucleases.**

Oligonucleotides including the modified oligonucleotides of the invention can be assessed for their
25 resistance to serum nucleases by incubation of the oligonucleotides in media containing various concentrations of fetal calf serum or adult human serum. Labeled oligonucleotides are incubated for various times, treated with protease K and then analyzed by gel electrophoresis on
30 20% polyacrylamide-urea denaturing gels and subsequent autoradiography. Autoradiograms are quantitated by laser densitometry. Based upon the location of the modifications

- 122 -

and the known length of the oligonucleotide it is possible to determine the effect on nuclease degradation by the particular modification. For the cytoplasmic nucleases, a HL60 cell line is used. A post-mitochondrial supernatant is prepared by differential centrifugation and the labeled oligonucleotides are incubated in this supernatant for various times. Following the incubation, oligonucleotides are assessed for degradation as outlined above for serum nucleolytic degradation. Autoradiography results are quantitated for comparison of the unmodified and modified oligonucleotides. As a control, unsubstituted phosphodiester oligonucleotide have been found to be 50% degraded within 1 hour, and 100% degraded within 20 hours.

15 B. Evaluation of the resistance of modified
oligonucleotides to specific endo- and
exonucleases.

Evaluation of the resistance of natural and modified oligonucleotides to specific nucleases (i.e., endonucleases, 3',5'-exo-, and 5',3'-exonucleases) is done to determine the exact effect of the modifications on degradation. Modified oligonucleotides are incubated in defined reaction buffers specific for various selected nucleases. Following treatment of the products with protease K, urea is added and analysis on 20% polyacrylamide gels containing urea is done. Gel products were visualized by staining using Stains All (Sigma Chemical Co.). Laser densitometry is used to quantitate the extend of degradation. The effects of the modifications are determined for specific nucleases and compared with the results obtained from the serum and cytoplasmic systems.

- 123 -

Nuclease resistance of oligonucleotides containing novel 2'-
modifications

SEQ. ID NO: 14	Series I
5' TTT TTT TTT TTT TTT*T*T*T*T* T 3'	

5	SEQ ID NO 14	where T* = 5 methyl, 2'-aminoxyethoxy	2' AOE
	SEQ ID NO 14	where T* = 5 methyl, 2'-dimethylaminoxyethoxy	2' DMAOE

Along with T19 diester and thioate controls, the
10 gel purified oligos were 5' end labeled with ³²P, and run
through the standard nuclease assay protocol.

PAGE/Phosphorimaging generated images that were quantified
for % Intact and % (Intact + (N-1)). The percentages were
plotted to generate half-lives, which are listed in a table
15 below. Included is the half life of the 2'-O-methoxyethyl
(MOE) analog in the table. This result showed that 2'-
dimethylaminoxyethyl (DMAOE) is a highly nuclease resistant
modification (Fig. 14 and 15).

2' -Modification			
	AOE	DMAOE	MOE
T1/2 of N (min)	18	60	100
T1/2 of N+(N-1) (min)	200	85% remaining at 24 hr.	300

Initial assays of the nuclease resistance of
oligonucleotides capped with 2'-DMAOE modifications
25 showed better resistance than modification 2'-O-
methoxyethyl in an inter-assay comparison (Figure
13). These studies are intra-assay comparisons
among several modifications in two motifs. The
first motif is a full phosphodiester backbone, with
30 a cap of 4 modified nucleotides beginning at the 3'-

- 124 -

most nucleotide. The second motif is similar, but contains a single phosphorothioate at the 3'-most inter nucleotide linkage.

	SEQ. ID NO: 14 Series II
5	5' TTT TTT TTT TTT TTT T*T*T*T* T* 3'
	SEQ ID NO 14 where T* = 2'-O-dimethylaminoxyethyl
	SEQ ID NO 14 where T* = 2'-O-methoxyethyl
10	SEQ ID NO 14 where T* = 2'-O-propyl
	SEQ. ID NO: 14 Series III
	5' TTT TTT TTT TTT TTT TTT*T 3'
15	SEQ ID NO 14 where T* = 5 methyl, 2'-dimethylaminoxyethyl
	SEQ ID NO 14 where T* = 5 methyl, 2'-O-methoxyethyl

Along with a T19 phosphorothioate control, the oligos were gel purified and run through the standard nuclease protocol. From these assays SEQ ID NO: 14 where T* = 2'-O-dimethylaminoxyethyl proved to be the next most resistant oligonucleotide. SEQ ID NO: 14 where T* = 2'-O-methoxyethyl was degraded more readily and SEQ ID NO: 14 where T* = 2'-O-propyl is degraded rather quickly. The gel shows some reaction products at the bottom of the gel, but little n-2 and n-3 of the resistant oligonucleotides. These products appear to be the result of endonucleolytic cleavage by SVPD. This type of activity is always present at a basal rate, but is not usually seen due to the overwhelming predominance of 3' exonuclease activity on most oligonucleotides. However, these oligonucleotides are so extraordinarily resistant to 3' exonucleases that the endonuclease activity is

- 125 -

responsible for a majority of the cleavage events on the full-length oligo. 2'-deoxy phosphodiester products of the endonuclease reactions are then rapidly cleaved to monomers. Two sets of 5 quantitation are done for these reactions. One counts only 3'-exonuclease products, and the other counts products for all reactions. In either case, the half-life of SEQ ID NO: 14 where T* = 2'-O-dimethylaminoxyethyl was longer than 24 hours. For 10 SEQ ID NO: 14 where T* = 2'-O-methoxyethyl the half life upon treatment with exonuclease is over 24 hours while the other type of quantitation gives a half-life of about 100 min. The oligonucleotides of the motif containing a single phosphorothioate 15 linkage are substrates for the endonuclease activity described above, but no products of 3' exonuclease activity are detected in the time course of this assay.

20 **Table 2**
Oligonucleotides synthesized with
2'-dimethylaminoxyethyl thymidine
(T-2'-DMAOE)

SEQ ID NO:	Sequence	Mass	
		Exp.	Obs.
25 5	5' - CTCGTACCT*TTCCGGTCC-3'	5784.20	5784.09
15	5' - T*CCAGGT*GT*CCGCAT*C-3'	5548.74	5549.05
3	5' - GCGT*T*T*T*T*T*T*T*T*GCG-3'	6208.74	6210.52
14	5' - TTTTTTTTTTTTT*T*T*T*T-3'	6433.45	6433.79
N/A	5' - T*T*T*T*-3'	1869.96	1869.5
30 14	5' - TTTTTTTTTTTTT*T*T*T*-3'	6449.45	6449.15
14	TTTTTTTTTTTT*T*T*T*-3'	6433.51	6433.19
N/A	5' - T*T*-3'	648.49	648.4

- 126 -

Table 3
 Oligonucleotides synthesized with
 2'-dimethylaminoxyethyl adenosine
 (A-2'-DMAOE)

5	SEQ ID NO:	Sequence		Mass	
				Exp.	Obs.
1	7	5'- CTCGTACCA*TTCCGGTCC-3'		5490.21	5490.86
2	8	5'-GGA*CCGGA*A*GGTA*CGA*G-3'		5824.96	5826.61
3	16	5'-A*CCGA*GGA*GGA*TCA*TGTCGTA*CGC-3'		6947.9	6947.28

10 Table 4
 Oligonucleotides synthesized with
 2'-O-methyleneiminoxyethyl adenosine

	SEQ ID NO:	Sequence		Mass	
				Exp.	Obs.
	7	5'-CTCGTACCA*TTCCGGTCC-3'		5470.20	5472.50
	17	5'-A*CCGA*GGA*TCA*TGTCGTA*CGC-3'		6866.42	6865.88
	8	5'-GGA*CCGGA*A*GGTA*CGA*G-3'		5743.12	5743.82

15 Table 5
 Oligonucleotides synthesized with
 2'-O-methyleneiminoxyethyl thymidine

	SEQ ID NO:	Sequence		Mass	
				Exp.	Obs.
15	5	5'-CTCGTACCT*TTCCGGTCC-3'		5466.21	5462.25
	15	5'-T*CCAGGT*GT*CCGCAT*C-3'		5179.44	5178.96
	14	5'-TTTTTTTTTTTTT*T*T*T*T-3'		6369.45	6367.79

20 Table 6
 Tm advantage of 2'-DMAOE modification over 2'-deoxy phosphodiesters
 and phosphorothioates

SEQ. ID NO:	SEQUENCE	Tm	ΔTm/mod against RNA compared to unmodified DNA	ΔTm/mod against RNA compared to unmodified deoxy-phosphorothioate
5	5'-CTCGTAC-CT*T-TCCGGTCC-3'	65.44	0.24	1.04
15	5'-T*CCAGGT*GT*C-CCGCAT*C-3'	67.90	1.12	2.20
3	5'-GCGT*T*T*T*T*T*T-3'	62.90	1.46	2.36

- 127 -

ΔT_m is based on reported literature values for DNA and phosphorothioate oligonucleotides.

PROCEDURE 2

Ras-Luciferase Reporter Gene Assembly

5 The ras-luciferase reporter genes described in this study are assembled using PCR technology. Oligonucleotide primers are synthesized for use as primers for PCR cloning of the 5'-regions of exon 1 of both the mutant (codon 12) and non-mutant (wild-type) human H-ras 10 genes. H-ras gene templates are purchased from the American Type Culture Collection (ATCC numbers 41000 and 41001) in Bethesda, MD. The oligonucleotide PCR primers 5'-ACA-TTA-TGC-TAG-CTT-TTT-GAG-TAA-ACT-TGT-GGG-GCA-GGA- 15 GAC-CCT-GT-3' (sense) (SEQ ID NO:10), and 5'-GAG-ATC-TGA- AGC-TTC-TGG-ATG-GTC-AGC-GC-3' (antisense) (SEQ ID NO:11), 20 are used in standard PCR reactions using mutant and non-mutant H-ras genes as templates. These primers are expected to produce a DNA product of 145 base pairs corresponding to sequences -53 to +65 (relative to the 25 translational initiation site) of normal and mutant H-ras, flanked by NheI and HindIII restriction endonuclease sites. The PCR product is gel purified, precipitated, washed and resuspended in water using standard procedures.

PCR primers for the cloning of the *P. pyralis* 25 (firefly) luciferase gene were designed such that the PCR product would code for the full-length luciferase protein with the exception of the amino-terminal methionine residue, which would be replaced with two amino acids, an amino-terminal lysine residue followed by a leucine 30 residue. The oligonucleotide PCR primers used for the cloning of the luciferase gene are 5'-GAG-ATC-TGA-AGC-TTG- AAG-ACG-CCA-AAA-ACA-TAA-AG-3' (sense) (SEQ ID NO:12), and

- 128 -

5'-ACG-CAT-CTG-GCG-CGC-CGA-TAC-CGT-CGA-CCT-CGA-3'
(antisense) (SEQ ID NO:13), are used in standard PCR
reactions using a commercially available plasmid
(pT3/T7-Luc) (Clontech), containing the luciferase
5 reporter gene, as a template. These primers are expected
to yield a product of approximately 1.9 kb corresponding
to the luciferase gene, flanked by HindIII and BssHII
restriction endonuclease sites. This fragment is gel
purified, precipitated, washed and resuspended in water
10 using standard procedures.

To complete the assembly of the ras-luciferase
fusion reporter gene, the ras and luciferase PCR products
are digested with the appropriate restriction
endonucleases and cloned by three-part ligation into an
15 expression vector containing the steroid-inducible mouse
mammary tumor virus promotor MMTV using the restriction
endonucleases NheI, HindIII and BssHII. The resulting
clone results in the insertion of H-ras 5' sequences (-53
to +65) fused in frame with the firefly luciferase gene.
20 The resulting expression vector encodes a ras-luciferase
fusion product which is expressed under control of the
steroid-inducible MMTV promoter.

PROCEDURE 3

Transfection of Cells with Plasmid DNA

25 Transfections are performed as described by
Greenberg in *Current Protocols in Molecular Biology*,
Ausubel et al., Eds., John Wiley and Sons, New York, with
the following modifications: HeLa cells are plated on 60
mm dishes at 5×10^5 cells/dish. A total of 10 μ g of DNA
30 is added to each dish, of which 9 μ g is ras-luciferase
reporter plasmid and 1 μ g is a vector expressing the rat
glucocorticoid receptor under control of the constitutive
Rous sarcoma virus (RSV) promoter. Calcium phosphate-DNA
coprecipitates are removed after 16-20 hours by washing

- 129 -

with Tris-buffered saline (50 mM Tris-Cl (pH 7.5), 150 mM NaCl) containing 3 mM EGTA. Fresh medium supplemented with 10% fetal bovine serum is then added to the cells. At this time, cells are pre-treated with antisense 5 oligonucleotides prior to activation of reporter gene expression by dexamethasone.

PROCEDURE 4

Oligonucleotide Treatment of Cells

Immediately following plasmid transfection, cells 10 are thrice washed with OptiMEM (GIBCO), and prewarmed to 37°C. 2 ML of OptiMEM containing 10 µg/ML N-[1-(2,3-diolethoxy)propyl]-N,N,N,-trimethylammonium chloride (DOTMA) (Bethesda Research Labs, Gaithersburg, MD) is added to each dish and oligonucleotides are added directly 15 and incubated for 4 hours at 37°C. OptiMEM is then removed and replaced with the appropriate cell growth medium containing oligonucleotide. At this time, reporter gene expression is activated by treatment of cells with dexamethasone to a final concentration of 0.2 µM. Cells 20 are harvested 12-16 hours following steroid treatment.

PROCEDURE 5

Luciferase Assays

Luciferase is extracted from cells by lysis with the detergent Triton X-100, as described by Greenberg in 25 *Current Protocols in Molecular Biology*, Ausubel et al., Eds., John Wiley and Sons, New York. A Dynatech ML1000 luminometer is used to measure peak luminescence upon addition of luciferin (Sigma) to 625 µM. For each extract, luciferase assays are performed multiple times, 30 using differing amounts of extract to ensure that the data are gathered in the linear range of the assay.

- 130 -

PROCEDURE 6

Antisense Oligonucleotide Inhibition of ras-Luciferase Gene Expression

A series of antisense phosphorothioate oligonucleotide analogs targeted to the codon-12 point mutation of activated H-ras are tested using the ras-luciferase reporter gene system described in the foregoing examples. This series comprised a basic sequence and analogs of that basic sequence. The basic sequence is of known activity as reported in International Publication Number WO 92/22651 identified above. In both the basic sequence and its analogs, each of the nucleotide subunits incorporated phosphorothioate linkages to provide nuclease resistance. Each of the analogs incorporated nucleotide subunits that contained 2'-O-substitutions and 2'-deoxy-erythro-pentofuranosyl sugars. In the analogs, a subsequence of the 2'-deoxy-erythro-pentofuranosyl sugar-containing subunits is flanked on both ends by subsequences of 2'-O-substituted subunits. The analogs differed from one another with respect to the length of the subsequence of the 2'-deoxy-erythro-pentofuranosyl sugar containing nucleotides. The length of these subsequences are varied by 2 nucleotides between 1 and 9 total nucleotides. The 2'-deoxy-erythro-pentofuranosyl nucleotide sub-sequences are centered at the point mutation of the codon-12 point mutation of the activated ras.

PROCEDURE 7

Diagnostic Assay for the Detection of mRNA overexpression

Oligonucleotides are radiolabeled after synthesis by ³²P labeling at the 5' end with polynucleotide kinase. Sambrook et al. ("Molecular Cloning. A Laboratory Manual," Cold Spring Harbor Laboratory Press, 1989, Volume 2, pg.

- 131 -

11.31-11.32). Radiolabeled oligonucleotide is contacted with tissue or cell samples suspected of mRNA overexpression, such as a sample from a patient, under conditions in which specific hybridization can occur, and 5 the sample is washed to remove unbound oligonucleotide. A similar control is maintained wherein the radiolabeled oligonucleotide is contacted with normal cell or tissue sample under conditions that allow specific hybridization, and the sample is washed to remove unbound 10 oligonucleotide. Radioactivity remaining in the sample indicates bound oligonucleotide and is quantitated using a scintillation counter or other routine means. Comparison of the radioactivity remaining in the samples from normal and diseased cells indicates overexpression of the mRNA of 15 interest.

Radiolabeled oligonucleotides of the invention are also useful in autoradiography. Tissue sections are treated with radiolabeled oligonucleotide and washed as described above, then exposed to photographic emulsion 20 according to standard autoradiography procedures. A control with normal cell or tissue sample is also maintained. The emulsion, when developed, yields an image of silver grains over the regions overexpressing the mRNA, which is quantitated. The extent of mRNA overexpression 25 is determined by comparison of the silver grains observed with normal and diseased cells.

Analogous assays for fluorescent detection of mRNA expression use oligonucleotides of the invention which are labeled with fluorescein or other fluorescent tags. Labeled DNA oligonucleotides are synthesized on an 30 automated DNA synthesizer (Applied Biosystems model 380B) using standard phosphoramidite chemistry with oxidation by iodine. β -cyanoethylisopropyl phosphoramidites are purchased from Applied Biosystems (Foster City, CA). 35 Fluorescein-labeled amidites are purchased from Glen

- 132 -

Research (Sterling, VA). Incubation of oligonucleotide and biological sample is carried out as described for radiolabeled oligonucleotides except that instead of a scintillation counter, a fluorescence microscope is used to detect the fluorescence. Comparison of the fluorescence observed in samples from normal and diseased cells enables detection of mRNA overexpression.

PROCEDURE 8

Detection of Abnormal mRNA Expression

10 Tissue or cell samples suspected of expressing abnormal mRNA are incubated with a first ^{32}P or fluorescein-labeled oligonucleotide which is targeted to the wild-type (normal) mRNA. An identical sample of cells or tissues is incubated with a second labeled oligonucleotide which is targeted to the abnormal mRNA, 15 under conditions in which specific hybridization can occur, and the sample is washed to remove unbound oligonucleotide. Label remaining in the sample indicates a bound oligonucleotide and can be quantitated using a scintillation counter, fluorimeter, or other routine means. The presence of abnormal mRNA is indicated if 20 binding is observed in the case of the second but not the first sample.

Double labeling can also be used with the 25 oligonucleotides and methods of the invention to specifically detect expression of abnormal mRNA. A single tissue sample is incubated with a first ^{32}P -labeled oligonucleotide which is targeted to wild-type mRNA, and a second fluorescein-labeled oligonucleotide which is 30 targeted to the abnormal mRNA, under conditions in which specific hybridization can occur. The sample is washed to remove unbound oligonucleotide and the labels are detected by scintillation counting and fluorimetry. The presence of abnormal mRNA is indicated if the sample does not bind

- 133 -

the ^{32}P -labeled oligonucleotide (i.e., is not radioactive) but does retain the fluorescent label (i.e., is fluorescent).

PROCEDURE 9

5 **Binding Affinity of DMAOE Vs. 2'-deoxyphosphorothioate**

The binding affinities of oligonucleotides having either 4 or 10 DMAOE modifications (SEQ ID NO's: 15 and 2) versus each of 3 complementary sequences was determined. The complementary sequences were a) MOE phosphodiesters with each MOE oligonucleotide substituted at the same positions as the DMAOE oligonucleotides; b) a uniform 2'-deoxy phosphodiester; and c) a uniform 2'-deoxyphosphorothioate. The DMAOE modified oligonucleotides show nearly 2.5°C increase in Tm for each modification compared to the uniform 2'-deoxy phosphorothioate. Compared to the unmodified uniform 2'-deoxy phosphodiester the DMAOE oligonucleotides showed about a 1.6°C increase in Tm. This will translate into 2.5°C/modification compared to the P=S uniform 2'-deoxyphosphorothioate DNA. More importantly, this increase is even higher than the 2'-MOE by 0.4°C/modification, which is surprising in view of the larger size of DMAOE compared to MOE oligonucleotides.

Table 7

25 **Binding Affinity Advantage of 2'-DMAOE over 2'-MOE (P=O), 2'-deoxyphosphodiester and 2'-deoxyphosphorothioate**

SEQ ID NO:	Tm vs. MOE, °C	Tm vs. 2'-H (P=O), °C	Tm vs. 2'-H (P=S), °C	number of mods
15	0.4	1.6	2.4	4
2	0.4	1.7	2.5	10

- 134 -

PROCEDURE 10

Procedure A

ICAM-1 Expression

Oligonucleotide Treatment of HUVECs Cells were
5 washed three times with Opti-MEM (Life Technologies, Inc.)
prewarmed to 37°C. Oligonucleotides were premixed with
10 μ g/ML Lipofectin (Life Technologies, Inc.) in Opti-MEM,
serially diluted to the desired concentrations, and
applied to washed cells. Basal and untreated (no oligo-
10 nucleotide) control cells were also treated with
Lipofectin. Cells were incubated for 4 h at 37°C, at
which time the medium was removed and replaced with
standard growth medium with or without 5 mg/ML TNF- α (R&D
Systems). Incubation at 37°C was continued until the
15 indicated times.

**Quantitation of ICAM-1 Protein Expression by
Fluorescence-activated Cell Sorter** Cells were removed
from plate surface by brief trypsinization with 0.25%
trypsin in PBS. Trypsin activity was quenched with a
20 solution of 2% bovine serum albumin and 0.2% sodium azide
in PBS (+Mg/Ca). Cells were pelleted by centrifugation
(1000 rpm, Beckman GPR centrifuge), resuspended in PBS,
and stained with 3 μ L/10 5 cells of the ICAM-1 specific
antibody, CD54-PE (Pharmingen). Antibodies were incubated
25 with the cells for 30 min at 4°C in the dark, under gentle
agitation. Cells were washed by centrifugation procedures
and then resuspended in 0.3 ML of FacsFlow buffer (Becton
Dickinson) with 0.5% formaldehyde (Polysciences).
Expression of cell surface ICAM-1 was then determined by
30 flow cytometry using a Becton Dickinson FACScan.
Percentage of the control ICAM-1 expression was calculated
as follows: [(oligonucleotide-treated ICAM-1 value) -
(basal ICAM-1 value)/(non-treated ICAM-1 value) - (basal
ICAM-1 value)]]. In one study, 2'-O-(2-methoxy)ethyl-

- 135 -

modified anti-intercellular adhesion molecule 1 (ICAM-1) oligonucleotides were shown to selectively increase the ICAM-1 mRNA level and inhibit formation of the ICAM-1 translation initiation complex in human umbilical vein 5 endothelial cells (Baker, et al., *The Journal of Biological Chemistry*, 1997, 272, 11994-12000).

ICAM-1 expression data reveal that the DMAOE oligomers SEQ ID NO: 21 (uniform DMAOE, P=S) and SEQ ID NO: 18 (uniform DMAOE, P=O) are efficacious in HUVEC cells 10 in controlling ICAM-1 expression. The oligomers are presumably working by a direct binding RNase H independent mechanism. The MOE oligomers having SEQ ID NO: 21 (P=S) and SEQ ID NO: 21 (P=O) stand as controls. They have the same sequence composition as SEQ ID NO: 21 and SEQ ID NO: 15 18.

Both compounds SEQ ID NO: 21 and SEQ ID NO: 18 display dose response in inhibiting ICAM-1 expression between 3 and 100 nM range.

Procedure B

20 PKC- α mRNA Expression in A549 Cells

This assay was carried out according to a reported procedure (Dean, N. et al., *Journal of Biology and Chemistry*, 269, 16416-16424, 1994). Human A549 lung 25 carcinoma cells were obtained from the American Type Tissue Collection. These were grown in Dulbecco's modified Eagle's medium containing 1 g of glucose/liter (DMEM) and 10% FCS and routinely passaged when 90-95% confluent.

Assay for Oligonucleotide Inhibition of PKC- α

30 **Protein Synthesis** A549 cells were plated in 6-well plates (Falcon Labware, Lincoln Park, NJ) and 24-48 h later (when 80-90% confluent) treated with 1 μ M phorbol 12,13-dibutyrate (PDBu) for 18 h. This procedure removes

- 136 -

greater than 75% of immunoreactive PKC- α protein from the cells (see "Results"). Cells were then washed three times with 3 ML of DMEM (to remove PDBu), and 1 ML of DMEM containing 20 μ g/ML DOTMA/DOPE solution (Lipofectin³) 5 (Bethesda Research Laboratories) was added. Oligonucleotide was then added to the required concentration (for our initial screen, 1 μ M) from a 10 μ M stock solution, and the two solutions were mixed by swirling of the dish. The cells were incubated at 37°C 10 for 4 h, washed once with DMEM +10% FCS to remove the DOTMA/DOPE solution, and then an additional 3 ML of DMEM + 10% FCS was added and the cells were allowed to recover for another 10 h. More prolonged incubation times with 15 DOTMA/DOPE solution resulted in increased cellular toxicity. At this time, cells were washed once in PBS and then extracted in 200 μ L of lysis buffer consisting of 20 mM Tris (pH 7.4), 1% Triton X100, 5 mM EGTA, 2 mM dithiothreitol, 50 mM sodium fluoride, 10 mM sodium phosphate, leupeptin (2 μ g/ML), and aprotinin (1 μ g/ML) 20 (at 4°C). PKC- α protein levels were determined by immunoblotting with a PKC- α specific monoclonal antibody. Results: DMAOE oligonucleotide gapmers SEQ ID NO: 19 (P=S/P=S/P=S gapmer) and SEQ ID NO: 19 (P=O/P=S/P=O 25 gapmers) inhibit PKC- α mRNA expression in A549 cells in a dose dependent manner between 50-400 nM range. The uniform P=S gapmer is more efficacious than the mixed backbone gapmer. In this experiment the corresponding MOE oligomers were used as the control compounds. The DMAOE oligomers and the MOE oligomers exhibit similar activity 30 in reducing PKC- α mRNA levels.

It is intended that each of the patents, applications, printed publications, and other published documents mentioned or referred to in this specification be herein incorporated by reference in their entirety.

35 Those skilled in the art will appreciate that

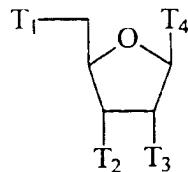
- 137 -

numerous changes and modifications may be made to the preferred embodiments of the invention and that such changes and modifications may be made without departing from the spirit of the invention. It is therefore 5 intended that the appended claims cover all such equivalent variations as fall within the true spirit and scope of the invention.

- 138 -

WHAT IS CLAIMED IS:

1. A compound of the structure:



5 wherein:

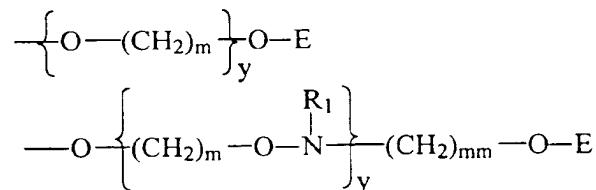
T_4 is Bx or $Bx-L$ where Bx is a heterocyclic base moiety;

one of T_1 , T_2 and T_3 is L , hydrogen, hydroxyl, a protected hydroxyl or a sugar substituent group;

10 another one of T_1 , T_2 and T_3 is L , hydroxyl, a protected hydroxyl, a connection to a solid support or an activated phosphorus group;

the remaining one of T_1 , T_2 and T_3 is L , hydrogen, hydroxyl or a sugar substituent group provided 15 that at least one of T_1 , T_2 , T_3 and T_4 is L or $Bx-L$;

said group L having one of the formulas;



wherein:

each m and mm is, independently, from 1 to 10;
 20 y is from 1 to 10;
 E is $N(R_1)(R_2)$ or $N=C(R_1)(R_2)$;
 each R_1 and R_2 is, independently, H , a nitrogen

- 139 -

protecting group, substituted or unsubstituted C₁-C₁₀ alkyl, substituted or unsubstituted C₂-C₁₀ alkenyl, substituted or unsubstituted C₂-C₁₀ alkynyl, wherein said substitution is OR₃, SR₃, NH₃', N(R₃)(R₄), guanidino or acyl 5 where said acyl is an acid, amide or an ester;

or R₁ and R₂, together, are a nitrogen protecting group or are joined in a ring structure that optionally includes an additional heteroatom selected from N and O; and

10 each R₃ and R₄ is, independently, H, C₁-C₁₀ alkyl, a nitrogen protecting group, or R₃ and R₄, together, are a nitrogen protecting group;

or R₃ and R₄ are joined in a ring structure that optionally includes an additional heteroatom selected from 15 N and O.

2. The compound of claim 1 wherein one of T₁, T₂ or T₃ is L.

3. The compound of claim 2 wherein T₃ is L.

4. The compound of claim 1 wherein T₄ is Bx-L.

20 5. The compound of claim 1 wherein L is -O-(CH₂)₂-O-N(R₁)(R₂).

6. The compound of claim 2 wherein R₁ is H or C₁-C₁₀ alkyl or C₁-C₁₀ substituted alkyl and R₂ is C₁-C₁₀ substituted alkyl.

25 7. The compound of claim 6 wherein R₁ is C₁-C₁₀ alkyl.

8. The compound of claim 6 wherein R₂ is NH₃' or N(R₃)(R₄) C₁-C₁₀ substituted alkyl.

- 140 -

9. The compound of claim 6 wherein R₁ and R₂ are both C₁-C₁₀ substituted alkyl.

10. The compound of claim 9 wherein the substituents on the C₁-C₁₀ substituted alkyls are, 5 independently, NH₃⁺ or N(R₃)(R₄).

11. The compound of claim 1 wherein B_x is adenine, guanine, hypoxanthine, uracil, thymine, cytosine, 2-aminoadenine or 5-methylcytosine.

12. The compound of claim 1 wherein R₁ and R₂ 10 are joined in a ring structure that can include at least one heteroatom selected from N and O.

13. The compound of claim 12 wherein said ring structure is imidazole, piperidine, morpholine or a substituted piperazine.

14. The compound of claim 13 wherein said 15 substituted piperazine is substituted with a C₁-C₁₂ alkyl.

15. The compound of claim 1 wherein T₁ is a protected hydroxyl.

16. The compound of claim 1 wherein T₂ is an 20 activated phosphorus group or a connection to a solid support.

17. The compound of claim 16 wherein said solid support material is microparticles.

18. The compound of claim 16 wherein said solid 25 support material is CPG.

- 141 -

19. The compound of claim 4 wherein L is bound to an exocyclic amino functionality of Bx.

20. The compound of claim 4 wherein L is bound to a cyclic carbon atom of Bx.

5 21. The compound of claim 4 wherein Bx is adenine, 2-aminoadenine or guanine.

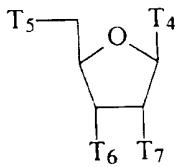
22. The compound of claim 4 wherein Bx is a pyrimidine heterocyclic base and L is covalently bound to C5 of Bx.

10 23. The compound of claim 4 wherein Bx is a pyrimidine heterocyclic base and L is covalently bound to C4 of Bx.

15 24. The compound of claim 4 wherein Bx is a purine heterocyclic base and L is covalently bound to N2 of Bx.

25. The compound of claim 4 wherein Bx is a purine heterocyclic base and L is covalently bound to N6 of Bx.

26. An oligomeric compound comprising a
20 plurality of nucleoside units of the structure:



wherein:

T₆ of each nucleoside unit is, independently, Bx or Bx-L where Bx is a heterocyclic base moiety;

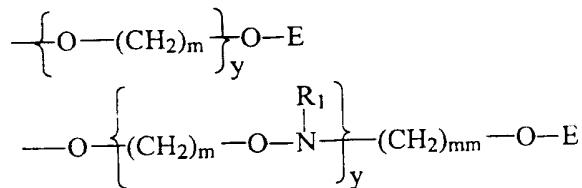
- 142 -

one of T₅, T₆ and T₇ of each nucleoside unit is, independently, L, hydroxyl, a protected hydroxyl, a sugar substituent group, an activated phosphorus group, a connection to a solid support, a nucleoside, a nucleotide, 5 an oligonucleoside or an oligonucleotide;

another of T₅, T₆ and T₇ of each nucleoside unit is, independently, a nucleoside, a nucleotide, an oligonucleoside or an oligonucleotide;

the remaining one of T₅, T₆ and T₇ of each 10 nucleoside unit is, independently, is L, hydrogen, hydroxyl, a protected hydroxyl, or a sugar substituent group;

provided that on at least one of said nucleoside units T₄ is Bx-L or at least one of T₅, T₆ and T₇ is L; 15 said group L having one of the formulas;



wherein:

each m and mm is, independently, from 1 to 10;

y is from 1 to 10;

20 E is N(R₁)(R₂) or N=C(R₁)(R₂);

each R₁ and R₂ is, independently, H, a nitrogen protecting group, substituted or unsubstituted C₁-C₁₀ alkyl, substituted or unsubstituted C₂-C₁₀ alkenyl, substituted or unsubstituted C₂-C₁₀ alkynyl, wherein said 25 substitution is OR₃, SR₃, NH₃⁺, N(R₃)(R₄), guanidino or acyl where said acyl is acid, amide or ester,

or R₁ and R₂, together, are a nitrogen protecting group or are joined in a ring structure that optionally includes an additional heteroatom selected from N and O;

30 and

- 143 -

each R₃ and R₄ is, independently, H, C₁-C₁₀ alkyl, a nitrogen protecting group, or R₃ and R₄, together, are a nitrogen protecting group or wherein R₃ and R₄ are joined in a ring structure that optionally includes an additional 5 heteroatom selected from N and O.

27. The oligomeric compound of claim 26 wherein at least one of T₅, T₆ and T₇ is L.

28. The oligomeric compound of claim 26 wherein at least one T₃ is L.

10 29. The oligomeric compound of claim 26 wherein at least one T₄ is Bx-L.

30. The oligomeric compound of claim 26 wherein L of one of said nucleoside units is -O-(CH₂)₂-O-N(R₁)(R₂).

15 31. The oligomeric compound of claim 26 wherein R₁ is H, C₁-C₁₀ alkyl or C₁-C₁₀ substituted alkyl and R₂ is C₁-C₁₀ substituted alkyl.

32. The oligomeric compound of claim 31 wherein R₁ is C₁-C₁₀ alkyl.

20 33. The oligomeric compound of claim 31 wherein R₂ is NH₃⁺ or N(R₃)(R₄) C₁-C₁₀ substituted alkyl.

34. The oligomeric compound of claim 31 wherein R₁ and R₂ are both C₁-C₁₀ substituted alkyl.

25 35. The oligomeric compound of claim 34 wherein the substituents on the C₁-C₁₀ substituted alkyls are, independently, NH₃⁺ or N(R₃)(R₄).

- 144 -

36. The oligomeric compound of claim 26 wherein B_x is adenine, guanine, hypoxanthine, uracil, thymine, cytosine, 2-aminoadenine or 5-methylcytosine.

37. The oligomeric compound of claim 26 wherein 5 R₁ and R₂ are joined in a ring structure that can include at least one heteroatom selected from N and O.

38. The oligomeric compound of claim 37 wherein said ring structure is imidazole, piperidine, morpholine or a substituted piperazine.

10 39. The oligomeric compound of claim 38 wherein said substituted piperazine is substituted with a C₁-C₁₂ alkyl.

40. The oligomeric compound of claim 26 wherein T_i is a protected hydroxyl.

15 41. The oligomeric compound of claim 26 wherein T₂ is an activated phosphorus group or a connection to a solid support.

42. The oligomeric compound of claim 41 wherein said solid support material is microparticles.

20 43. The oligomeric compound of claim 41 wherein said solid support material is CPG.

44. The oligomeric compound of claim 29 wherein L is bound to an exocyclic amino functionality of B_x.

45. The oligomeric compound of claim 29 wherein 25 L is bound to a cyclic carbon atom of B_x.

- 145 -

46. The oligomeric compound of claim 29 wherein Bx is adenine, 2-aminoadenine or guanine.

47. The oligomeric compound of claim 29 wherein Bx is a pyrimidine heterocyclic base and L is covalently 5 bound to C5 of Bx.

48. The oligomeric compound of claim 29 wherein Bx is a pyrimidine heterocyclic base and L is covalently bound to C4 of Bx.

49. The oligomeric compound of claim 29 wherein 10 Bx is a purine heterocyclic base and L is covalently bound to N2 of Bx.

50. The oligomeric compound of claim 29 wherein Bx is a purine heterocyclic base and L is covalently bound to N6 of Bx.

15 51. The oligomeric compound of claim 26 having 5 to 50 nucleoside units.

52. The oligomeric compound of claim 26 having 8 to 30 nucleoside units.

20 53. The oligomeric compound of claim 26 having 15 to 25 nucleoside units.

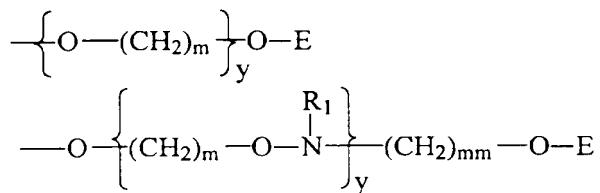
54. An oligomeric compound specifically hybridizable with DNA or RNA comprising a sequence of linked nucleoside units, wherein:

25 said sequence is divided into a first region having linked nucleoside units and a second region being composed of linked nucleoside units having 2'-deoxy sugar moieties;

- 146 -

said linked nucleoside units of at least one of said first or second regions are connected by phosphorothioate linkages;

5 at least one of said linked nucleoside units of said first region bearing a group L that is covalently attached to the heterocyclic base or the 2', 3' or 5' position of the sugar moiety; said group L having one of the formulas:



10 wherein:

each m and mm is, independently, from 1 to 10;

y is from 1 to 10;

E is N(R₁)(R₂) or N=C(R₁)(R₂);

15 each R₁ and R₂ is, independently, H, a nitrogen protecting group, substituted or unsubstituted C₁-C₁₀ alkyl, substituted or unsubstituted C₂-C₁₀ alkenyl, substituted or unsubstituted C₂-C₁₀ alkynyl, wherein said substitution is OR₃, SR₃, NH₃⁺, N(R₃)(R₄), guanidino or acyl where said acyl is an acid, amide or an ester;

20 or R₁ and R₂, together, are a nitrogen protecting group or are joined in a ring structure that optionally includes an additional heteroatom selected from N and O; and

25 each R₃ and R₄ is, independently, H, C₁-C₁₀ alkyl, a nitrogen protecting group, or R₃ and R₄, together, are a nitrogen protecting group; and

or R₃ and R₄ are joined in a ring structure that optionally includes an additional heteroatom selected from N and O.

- 147 -

55. The oligomeric compound of claim 54 wherein said nucleoside units of said first and second regions are connected by phosphorothioate internucleoside linkages.

56. The oligomeric compound of claim 54 wherein
5 said nucleoside units of said first region are connected by phosphodiester internucleoside linkages and said nucleoside units of said second region are connected by phosphorothioate internucleoside linkages.

57. The oligomeric compound of claim 54 wherein
10 said nucleoside units of said first region are connected by phosphorothioate internucleoside linkages and said nucleoside units of said second region are connected by phosphodiester internucleoside linkages.

58. The oligomeric compound of claim 54 wherein
15 said second region has at least three nucleoside units.

59. The oligomeric compound of claim 54 wherein said second region has at least five nucleoside units.

60. The oligomeric compound of claim 54 having
5 to 50 nucleoside units.

20 61. The oligomeric compound of claim 54 having
8 to 30 nucleoside units.

62. The oligomeric compound of claim 54 having
15 to 25 nucleoside units.

63. The oligomeric compound of claim 54 wherein
25 at least one of said linked nucleosides of said first region having said group L covalently attached to the heterocyclic base.

- 148 -

64. The oligomeric compound of claim 54 wherein at least one of said linked nucleosides of said first region having said group L covalently attached to the 2', 3' or 5'-position of the sugar moiety.

5 65. The oligomeric compound of claim 64 wherein said group L is covalently attached to the 2'-position of the sugar moiety.

66. The oligomeric compound of claim 54 wherein L is $-O-(CH_2)_2-O-N(R_1)(R_2)$.

10 67. The oligomeric compound of claim 54 wherein R₁ is H, C₁-C₁₀ alkyl or C₁-C₁₀ substituted alkyl and R₂ is C₁-C₁₀ substituted alkyl.

68. The oligomeric compound of claim 67 wherein R₁ is C₁-C₁₀ alkyl.

15 69. The oligomeric compound of claim 67 wherein R₂ is NH₃⁺ or N(R₃)(R₄) C₁-C₁₀ substituted alkyl.

70. The oligomeric compound of claim 67 wherein R₁ and R₂ are both C₁-C₁₀ substituted alkyl.

20 71. The oligomeric compound of claim 70 wherein the substituents on the C₁-C₁₀ substituted alkyls are, independently, NH₃⁺ or N(R₃)(R₄).

72. The oligomeric compound of claim 54 wherein B_x is adenine, guanine, hypoxanthine, uracil, thymine, cytosine, 2-aminoadenine or 5-methylcytosine.

25 73. The oligomeric compound of claim 54 wherein R₁ and R₂ are joined in a ring structure that can include

- 149 -

at least one heteroatom selected from N and O.

74. The oligomeric compound of claim 73 wherein said ring structure is imidazole, piperidine, morpholine or a substituted piperazine.

5 75. The oligomeric compound of claim 74 wherein said substituted piperazine is substituted with a C₁-C₁₂ alkyl.

10 76. The oligomeric compound of claim 63 wherein L is bound to an exocyclic amino functionality of the heterocyclic base.

77. The oligomeric compound of claim 63 wherein L is bound to a cyclic carbon atom of the heterocyclic base.

15 78. The oligomeric compound of claim 63 wherein the heterocyclic base is adenine, 2-aminoadenine or guanine.

79. The oligomeric compound of claim 63 wherein the heterocyclic base is a pyrimidine and L is covalently bound to C5 of said pyrimidine.

20 80. The oligomeric compound of claim 63 wherein the heterocyclic base is a pyrimidine and L is covalently bound to C4 of said pyrimidine.

25 81. The oligomeric compound of claim 63 wherein the heterocyclic base is a purine and L is covalently bound to N2 of said purine.

82. The oligomeric compound of claim 63 wherein

- 150 -

the heterocyclic base is a purine and L is covalently bound to N6 of said purine.

83. The oligomeric compound of claim 54 having a third region, said third region having 2'-O-alkyl 5 nucleoside units, said alkyl groups being substituted, wherein said second region is positioned between said first and third regions.

84. The oligomeric compound of claim 83 wherein said nucleoside units of said first, second and third 10 regions are connected by phosphorothioate linkages.

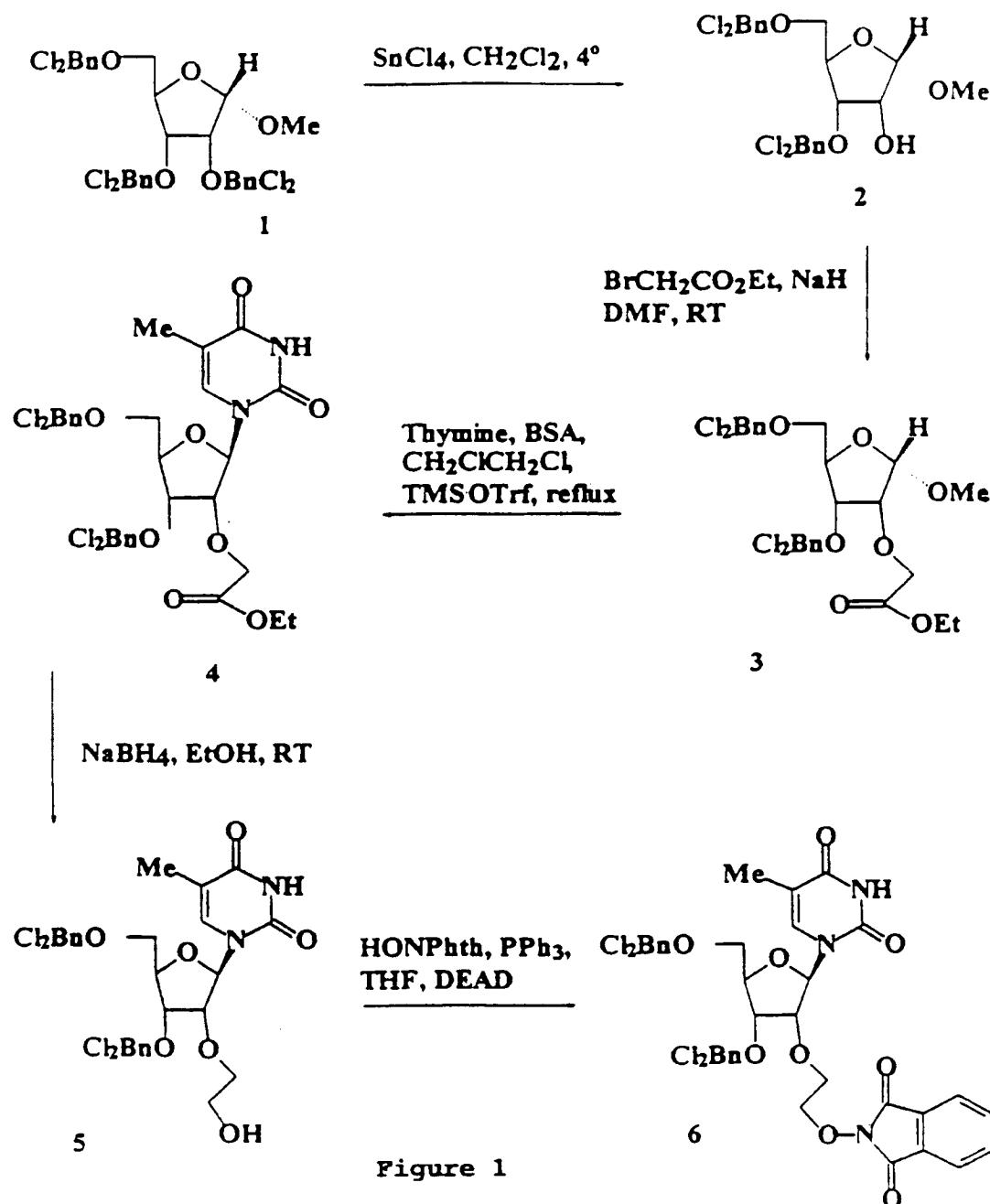
85. The oligomeric compound of claim 83 wherein said nucleoside units of said first and third regions are connected by phosphodiester linkages and said nucleoside units of said second region are connected by 15 phosphorothioate linkages.

86. The oligomeric compound of claim 83 wherein said nucleoside units of said first and third regions are connected by phosphorothioate linkages and said nucleoside units of said second region are connected by 20 phosphodiester linkages.

87. The oligomeric compound of claim 83 wherein said second region has at least three nucleoside units.

88. The oligomeric compound of claim 83 wherein said second region has at least five nucleoside units.

25 89. The oligomeric compound of claim 83 wherein at least one of said 2'-O-alkyl nucleoside units of said third region bears a 2'-aminoxy group having one of said formulas.



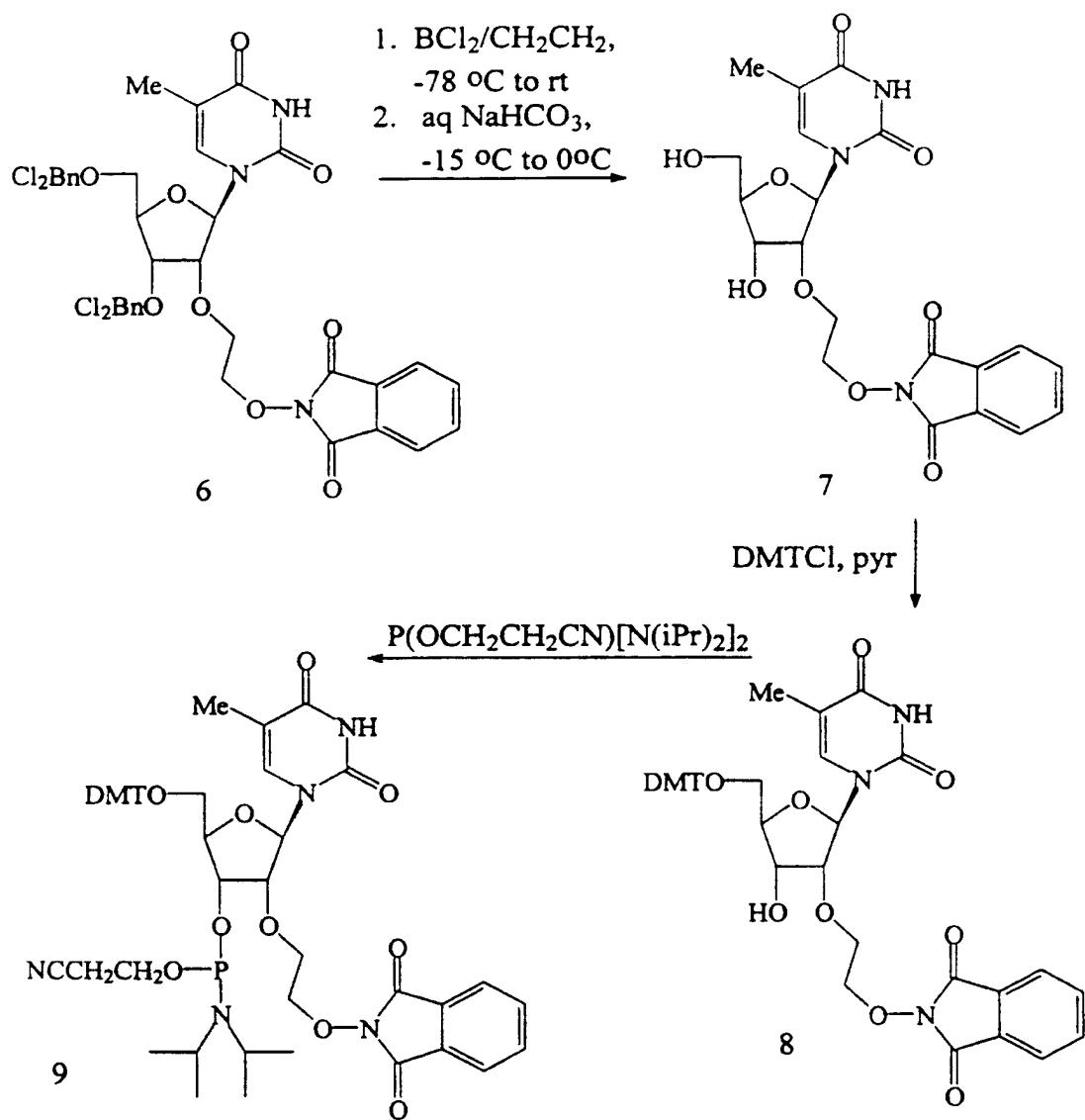


Figure 2

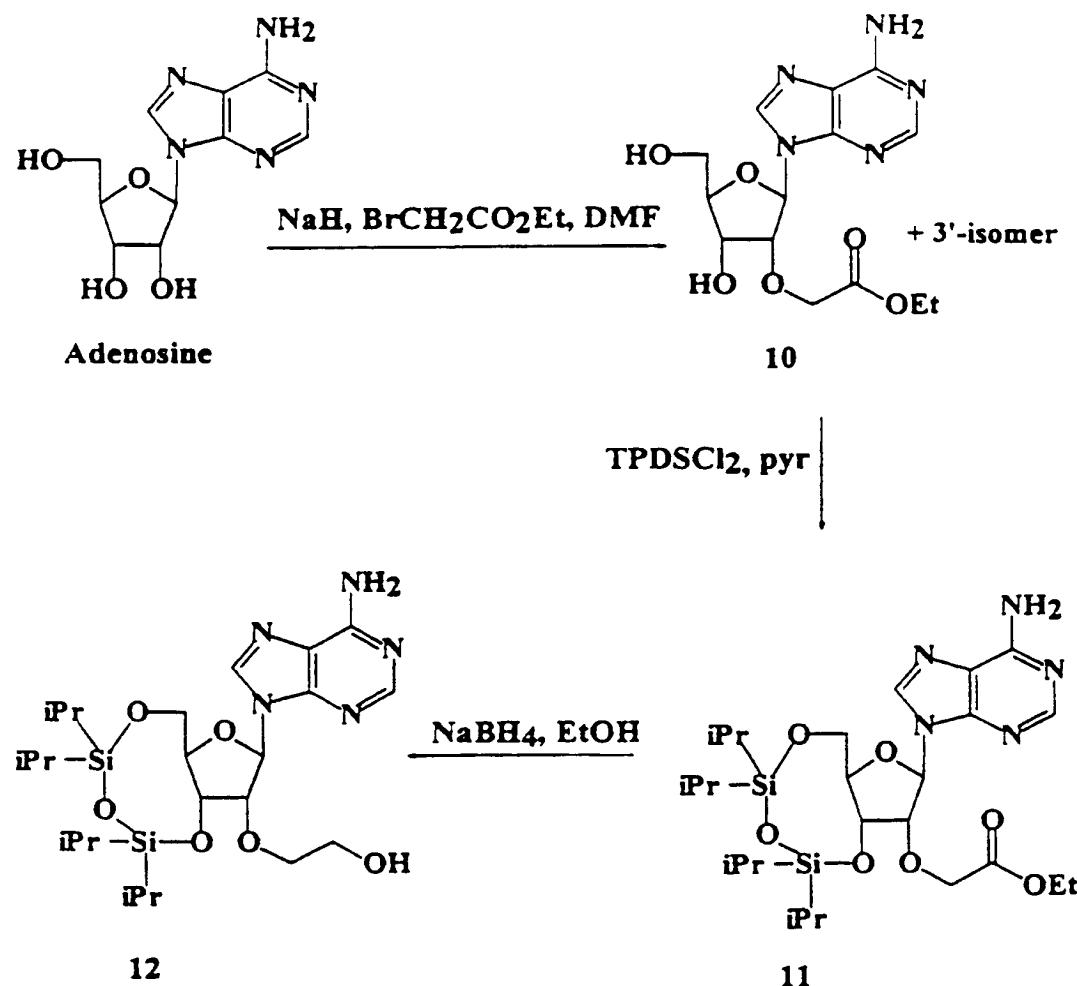


Figure 3

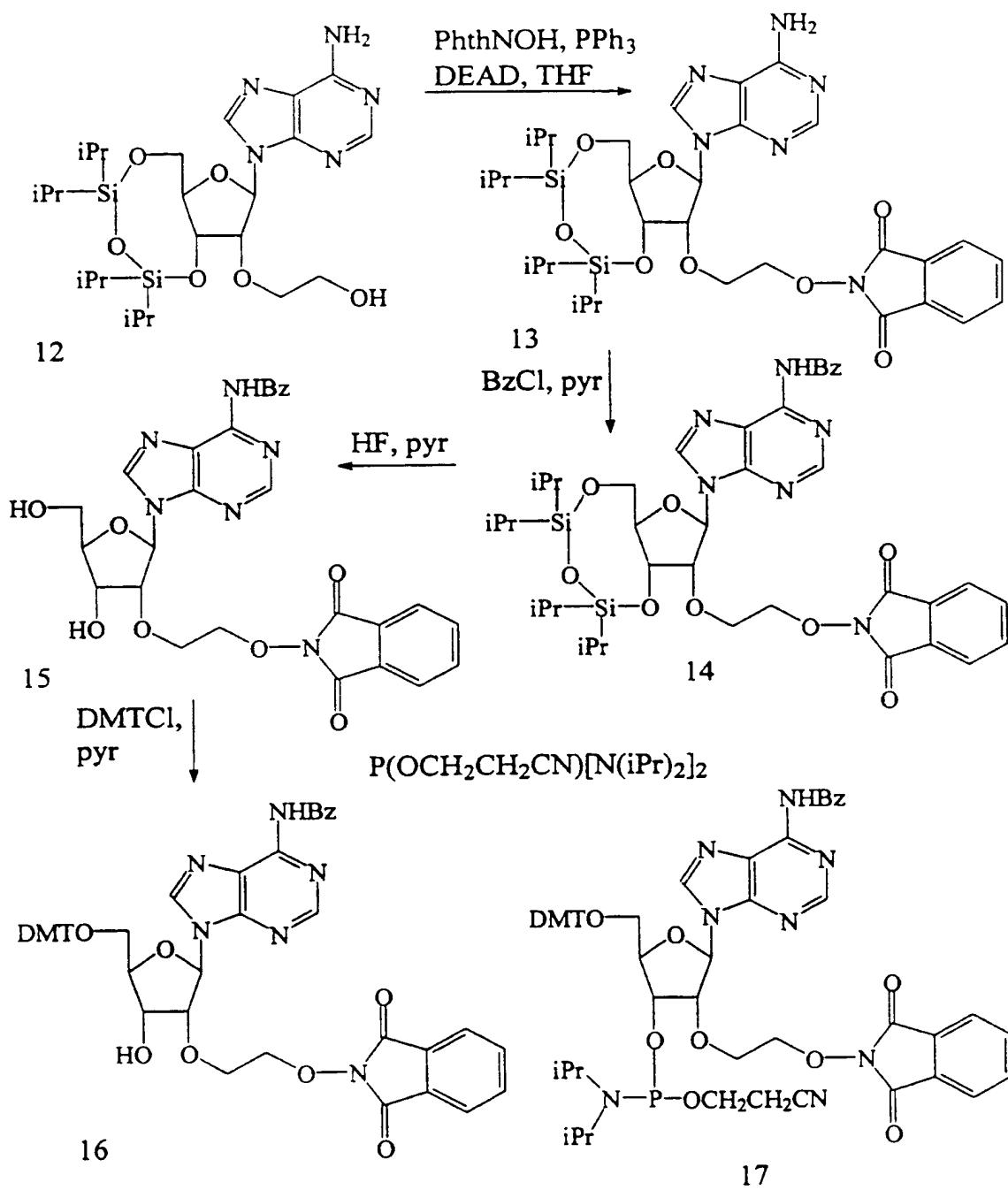


Figure 4

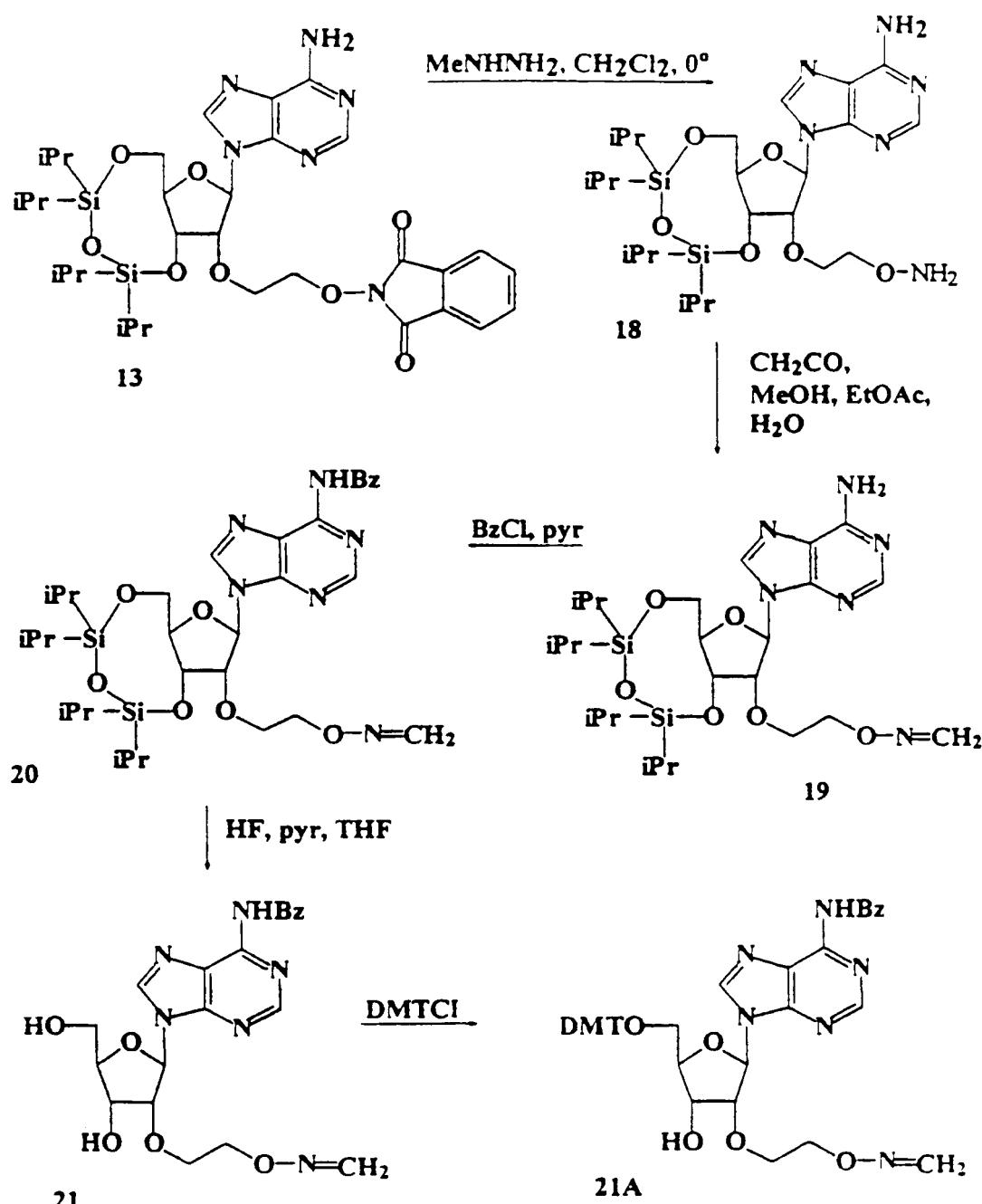


Figure 5

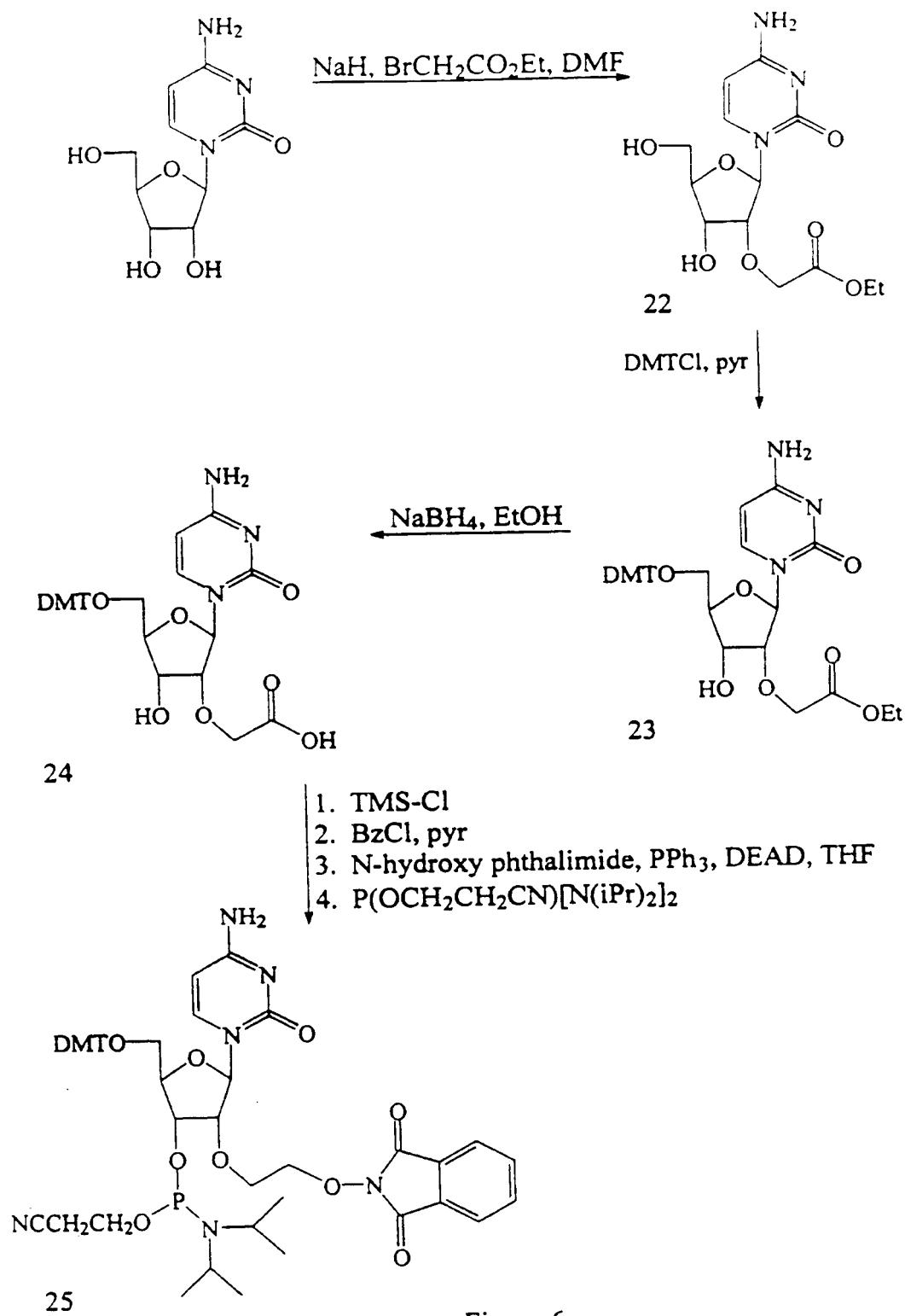


Figure 6

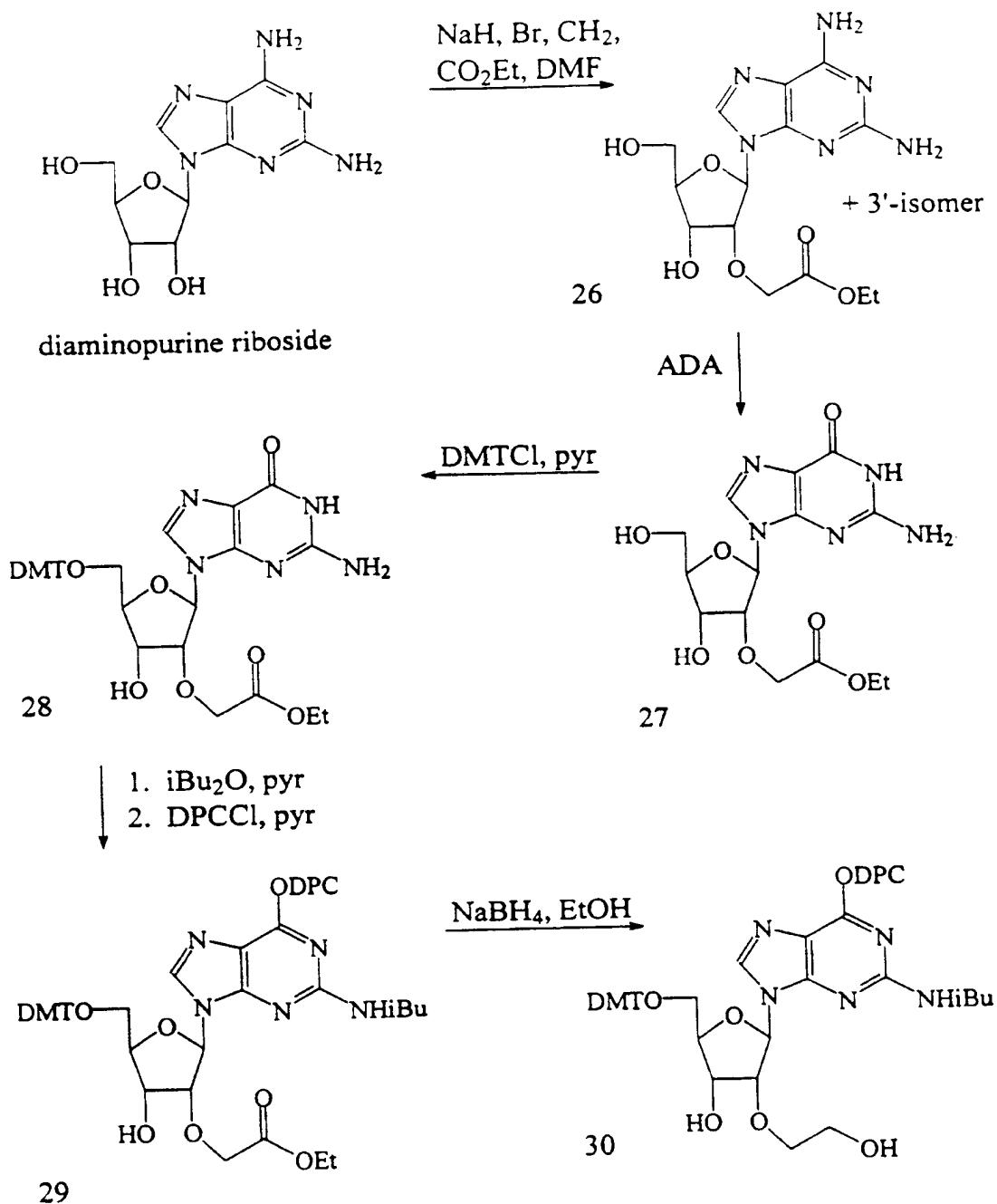


Figure 7

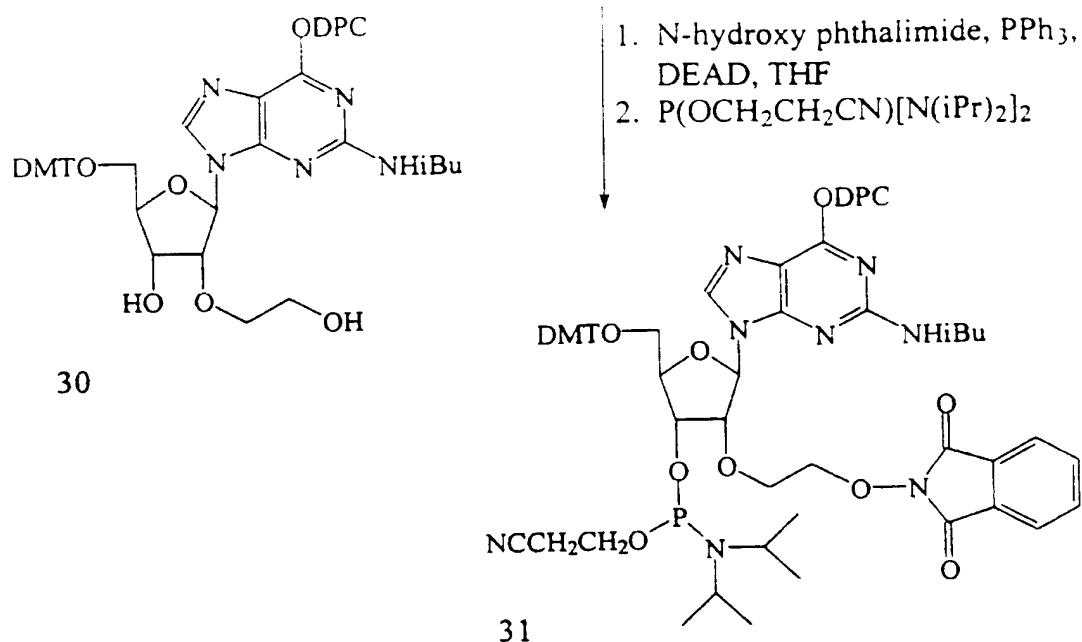
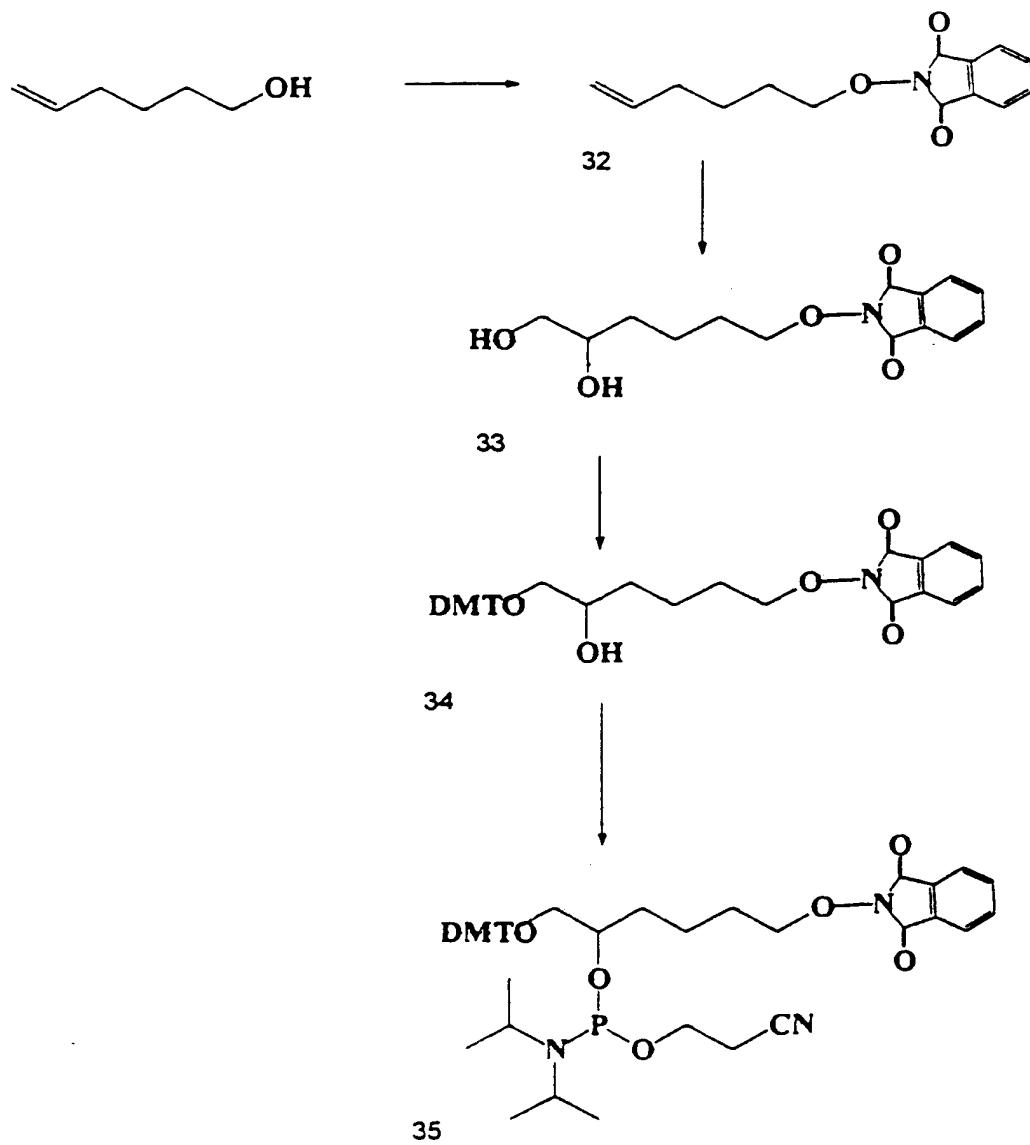


Figure 8

9 / 35

**Figure 9**

10 / 35

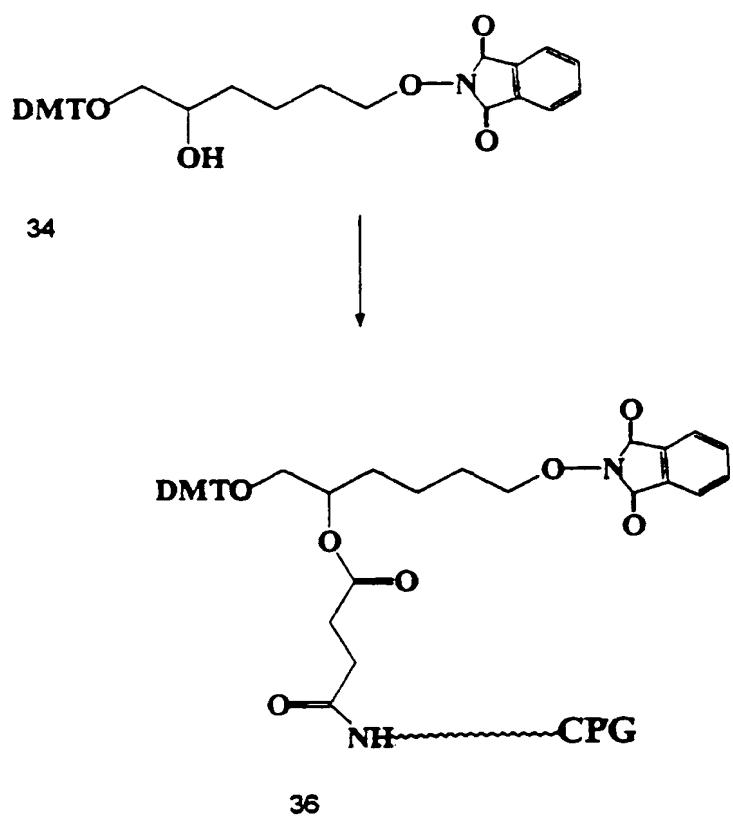


Figure 10

11/35

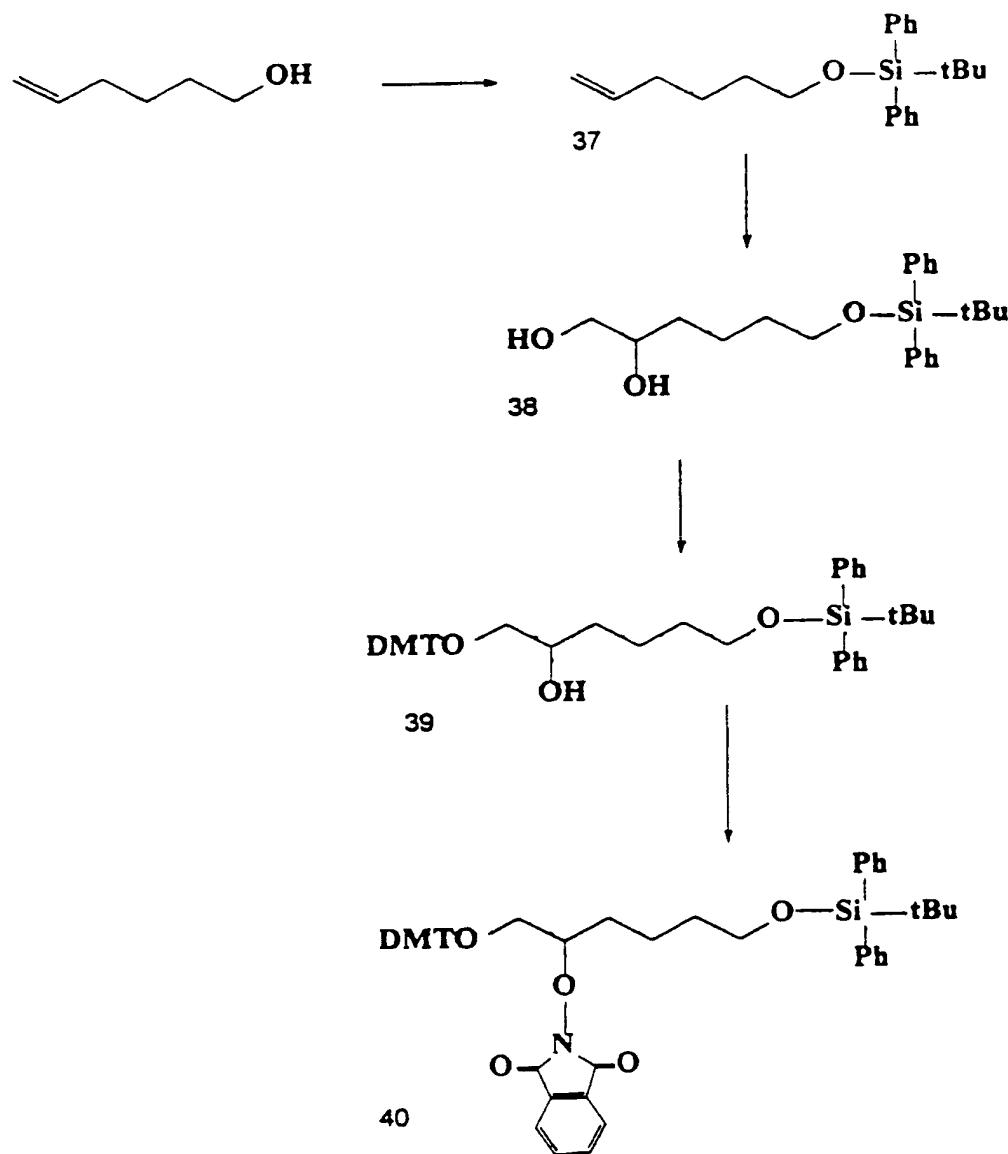


Figure 11

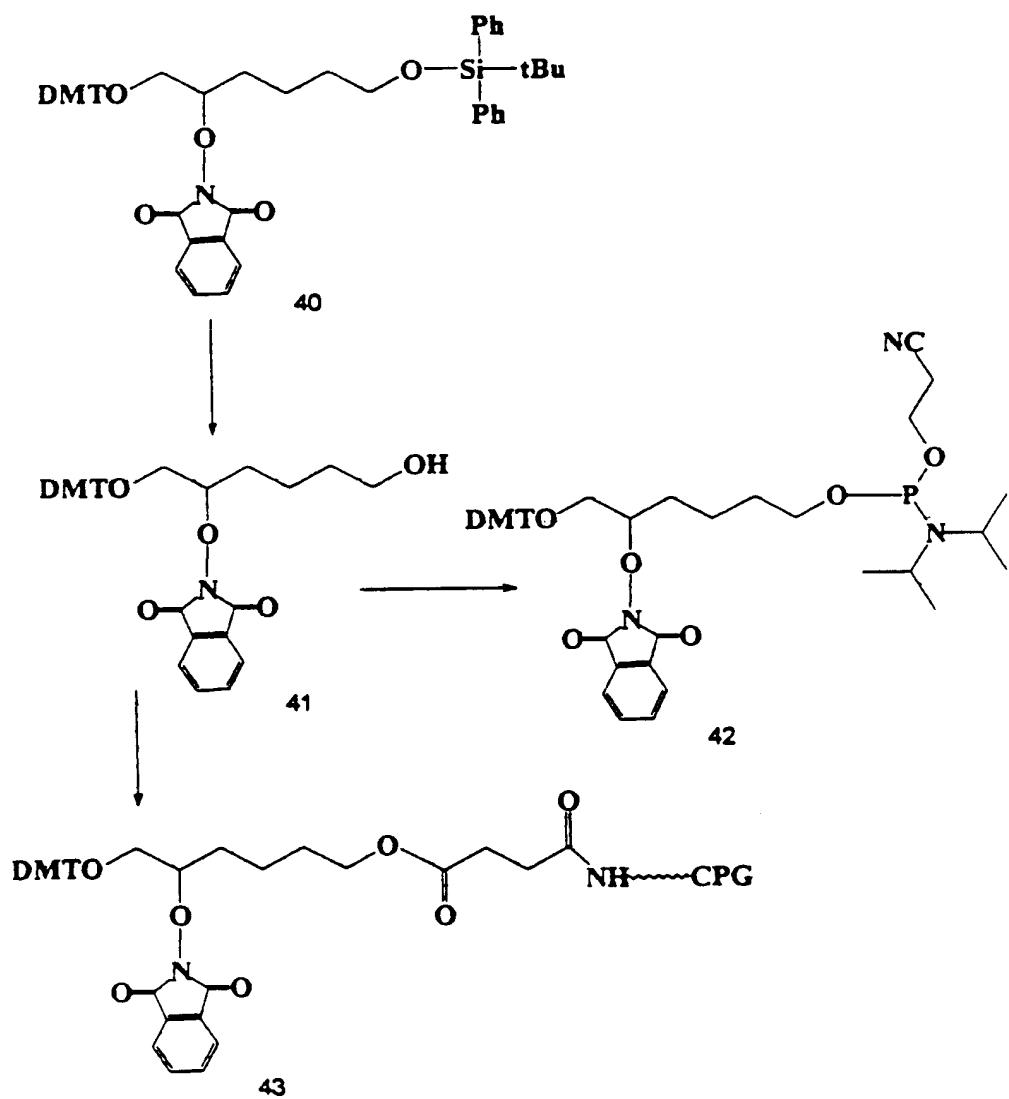


Figure 12

13/35

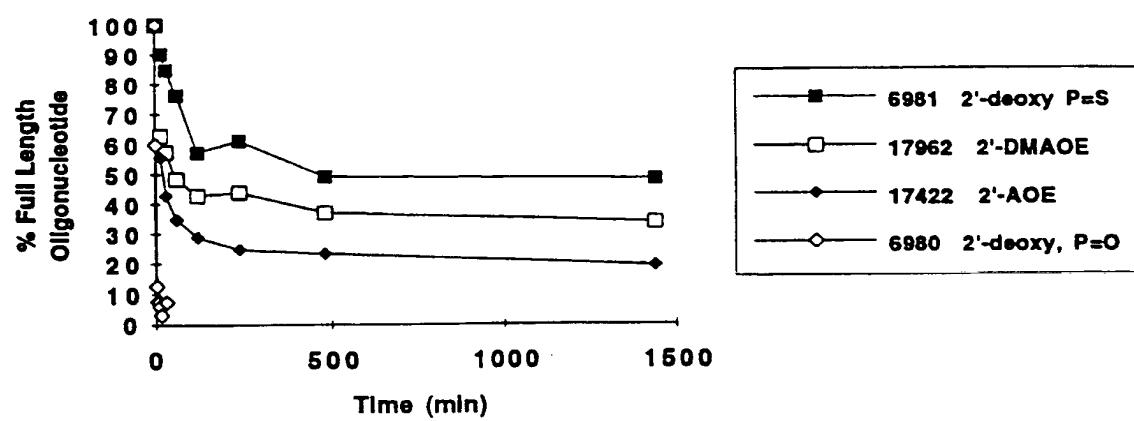
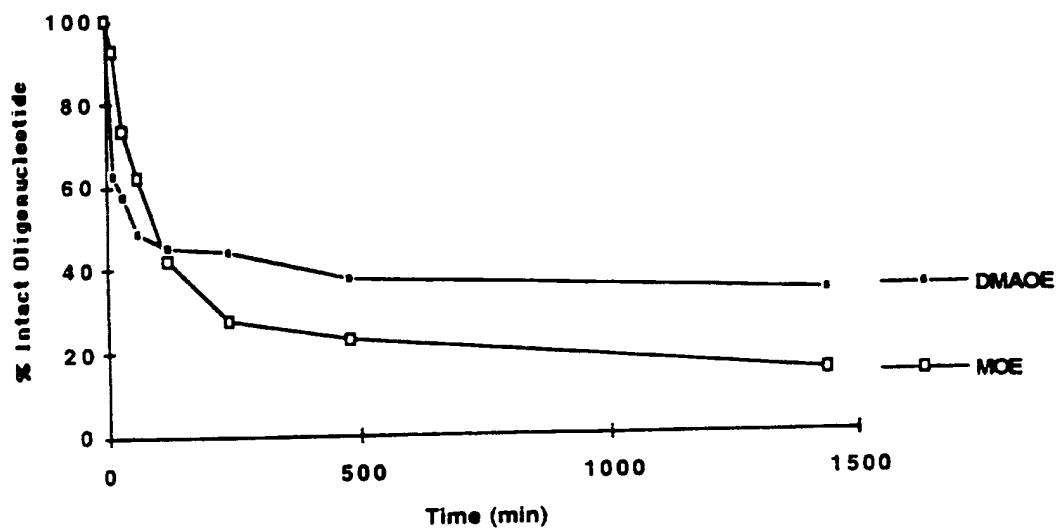


Figure 13

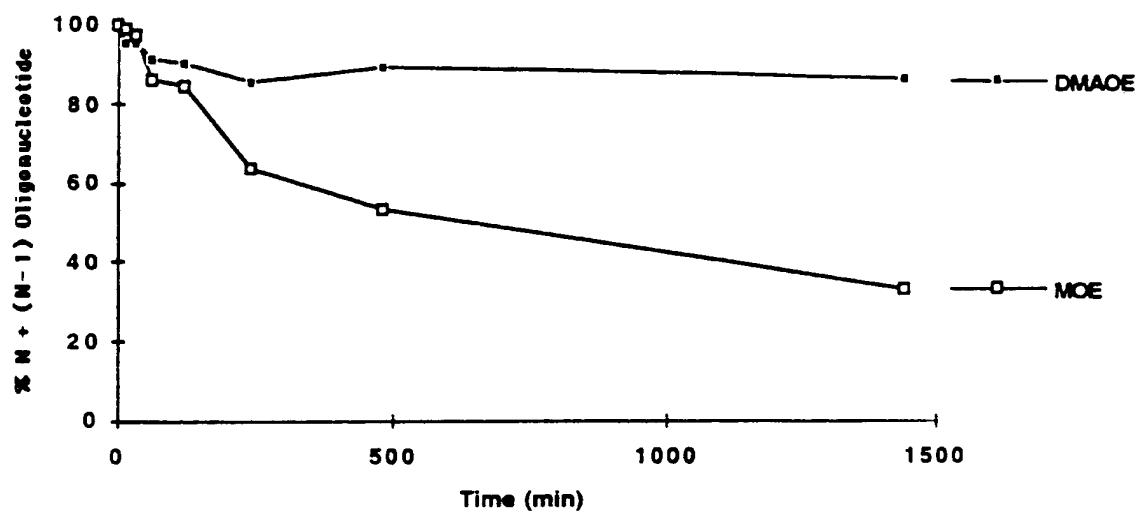


5' T T T T T T T T T T T T T* T* T* T* T 3'

Where T* is 2'-modified nucleotide (DMAOE or MOE)

Figure 14

15/35



5' T T T T T T T T T T T T T* T* T* T* T 3'

Where T* is 2'-modified nucleotide (DMAOE or MOE)

Figure 15

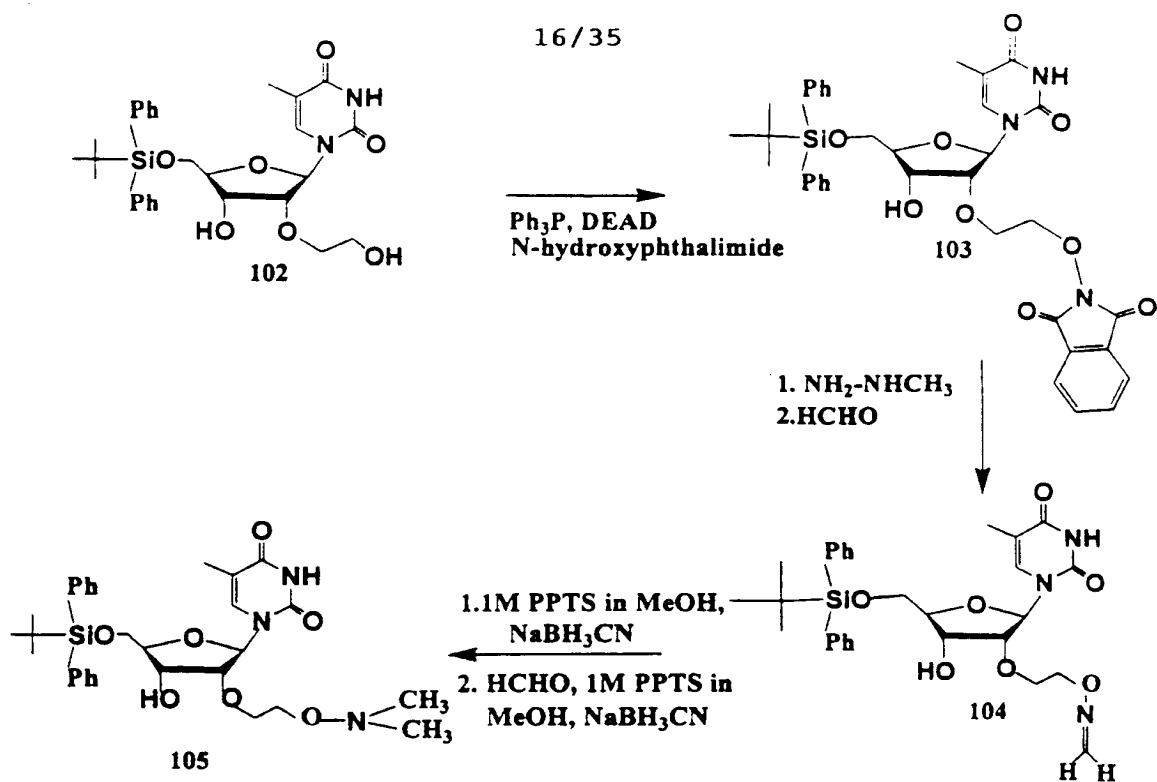


Figure 16

17 / 35

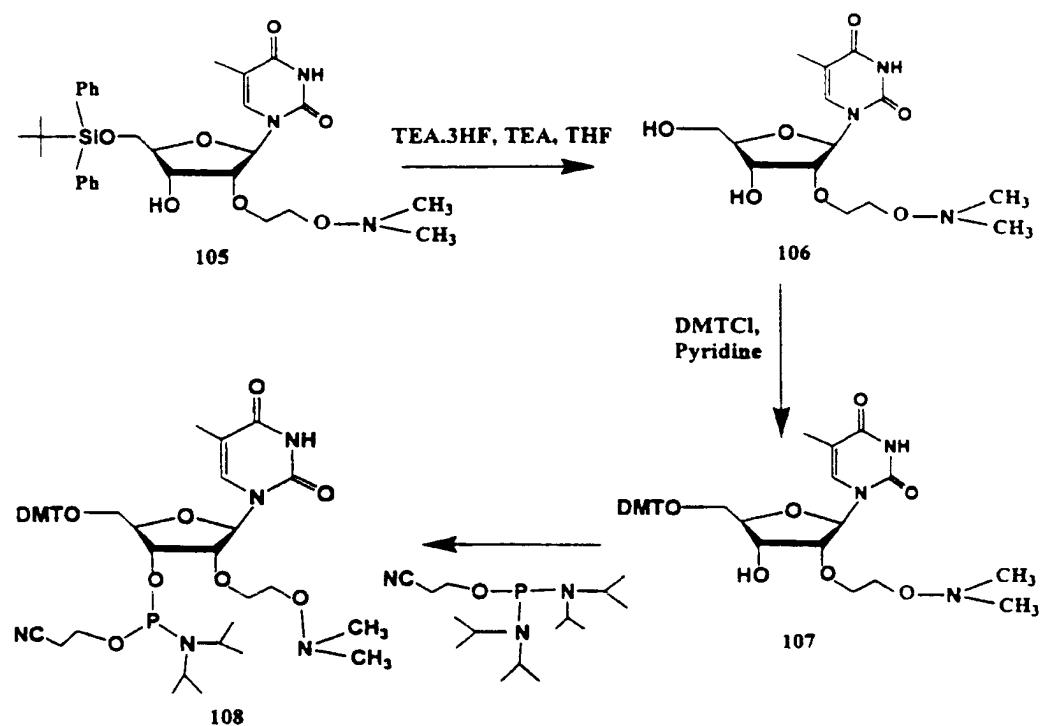


Figure 17

18 / 35

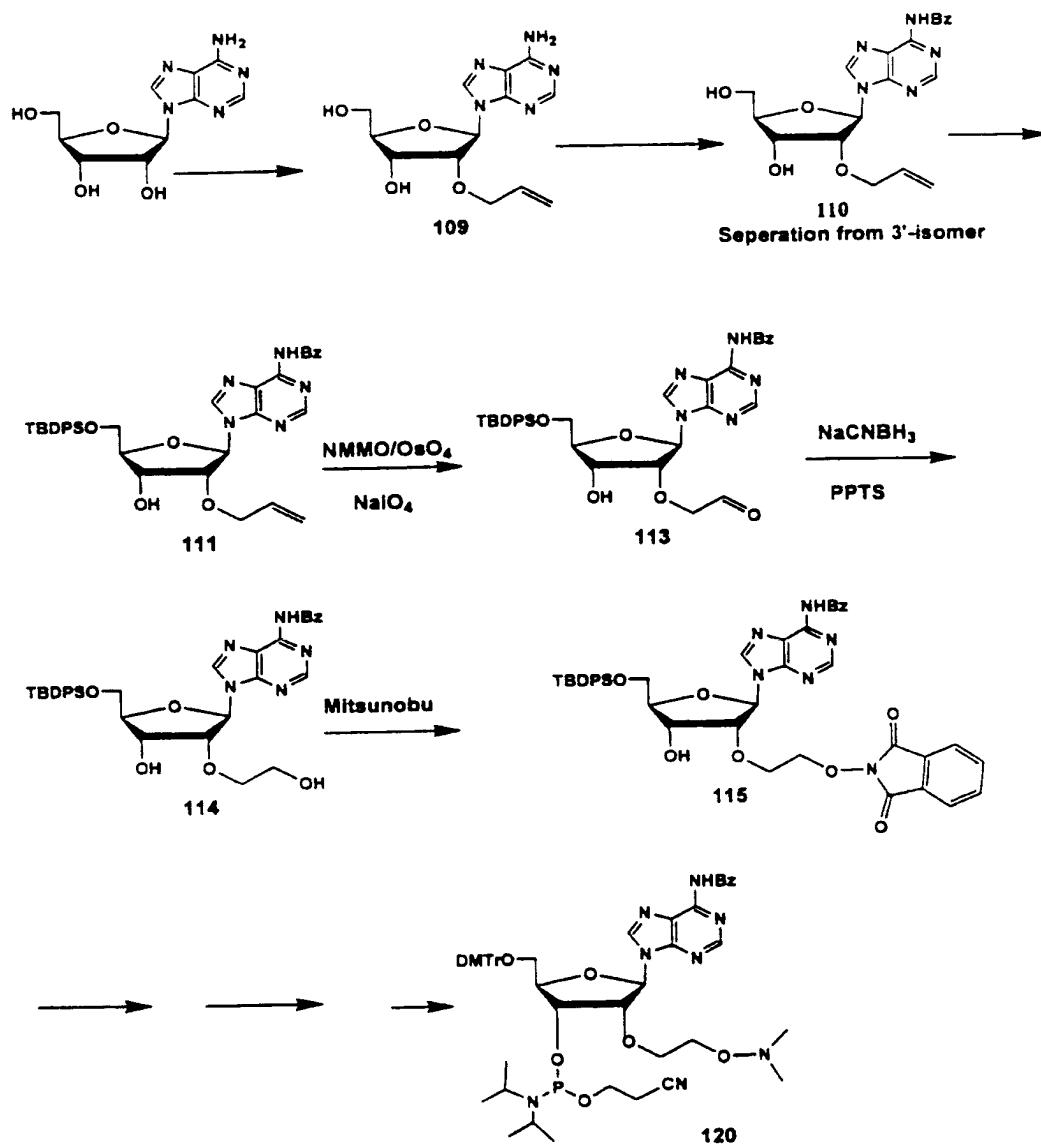


Figure 18

19 / 35

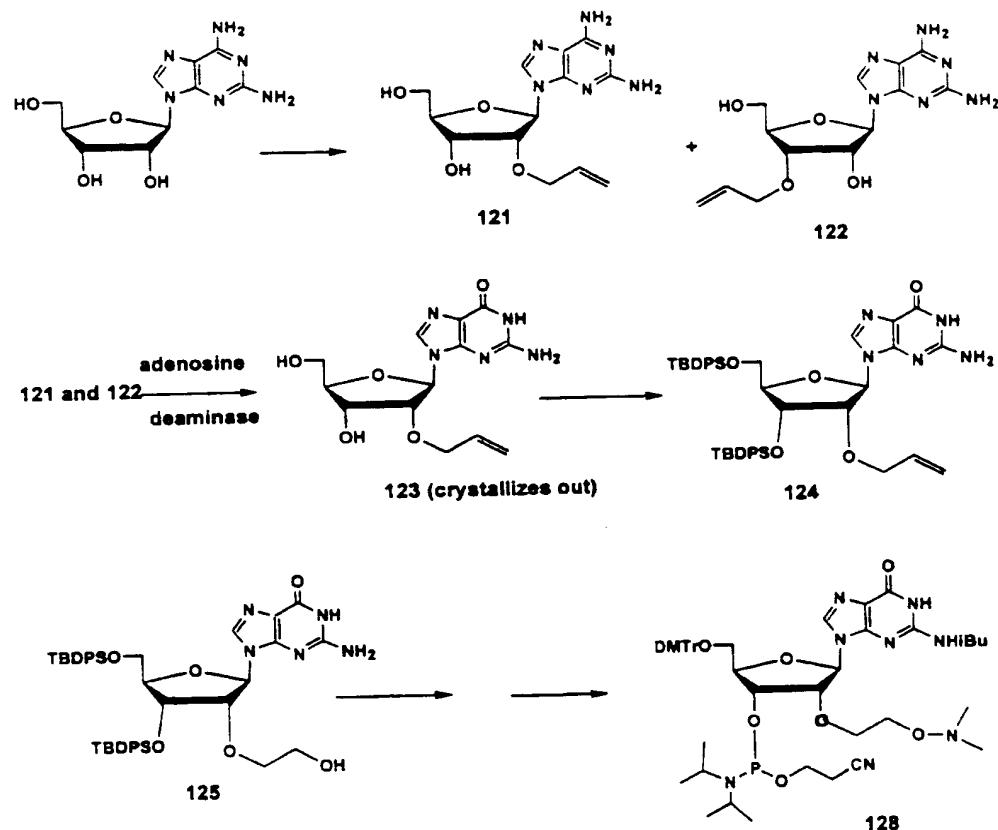


Figure 19

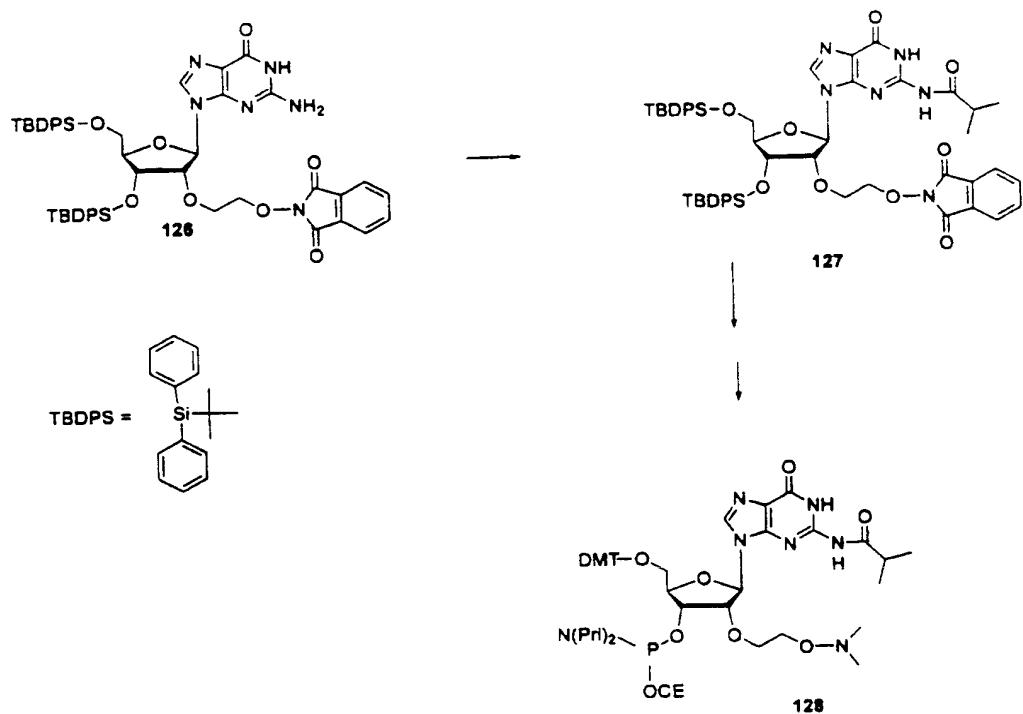


Figure 20

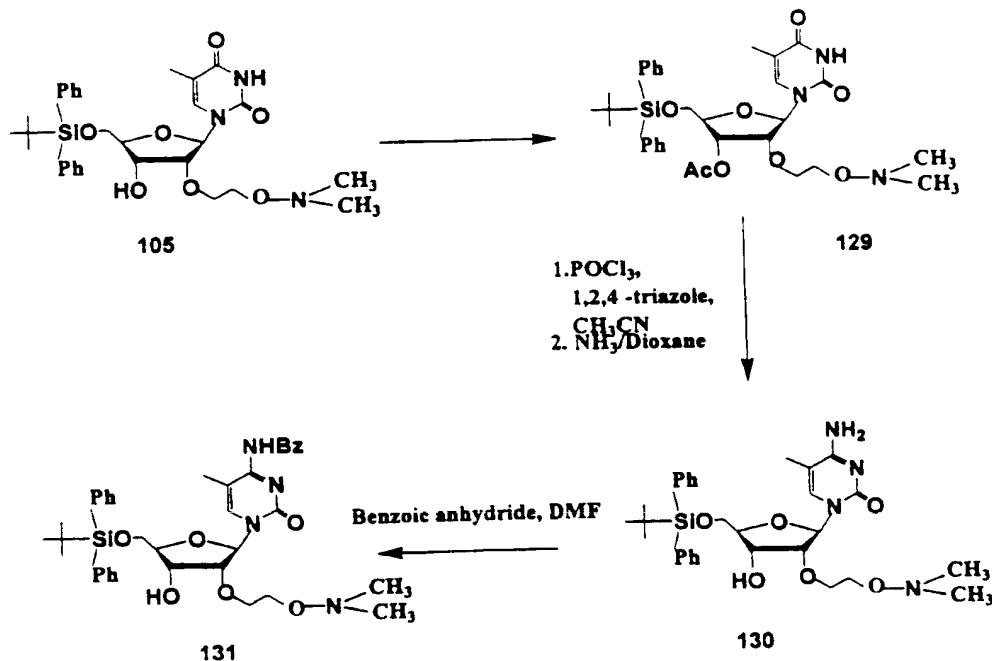


Figure 21

22/35

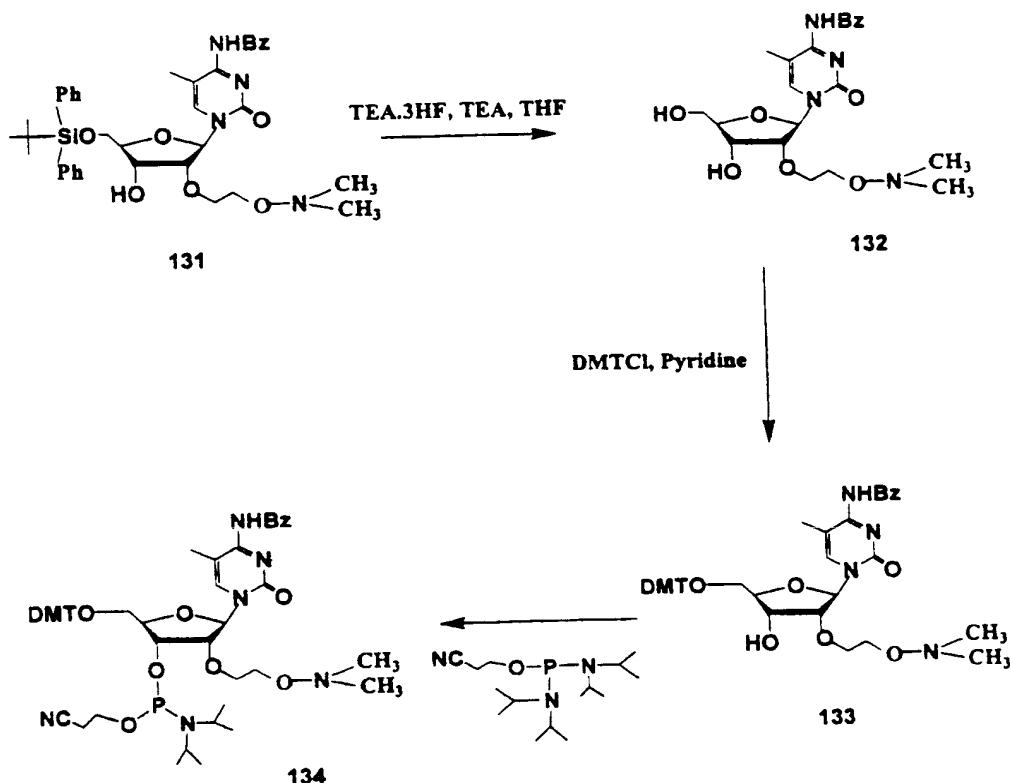


Figure 22

23/35

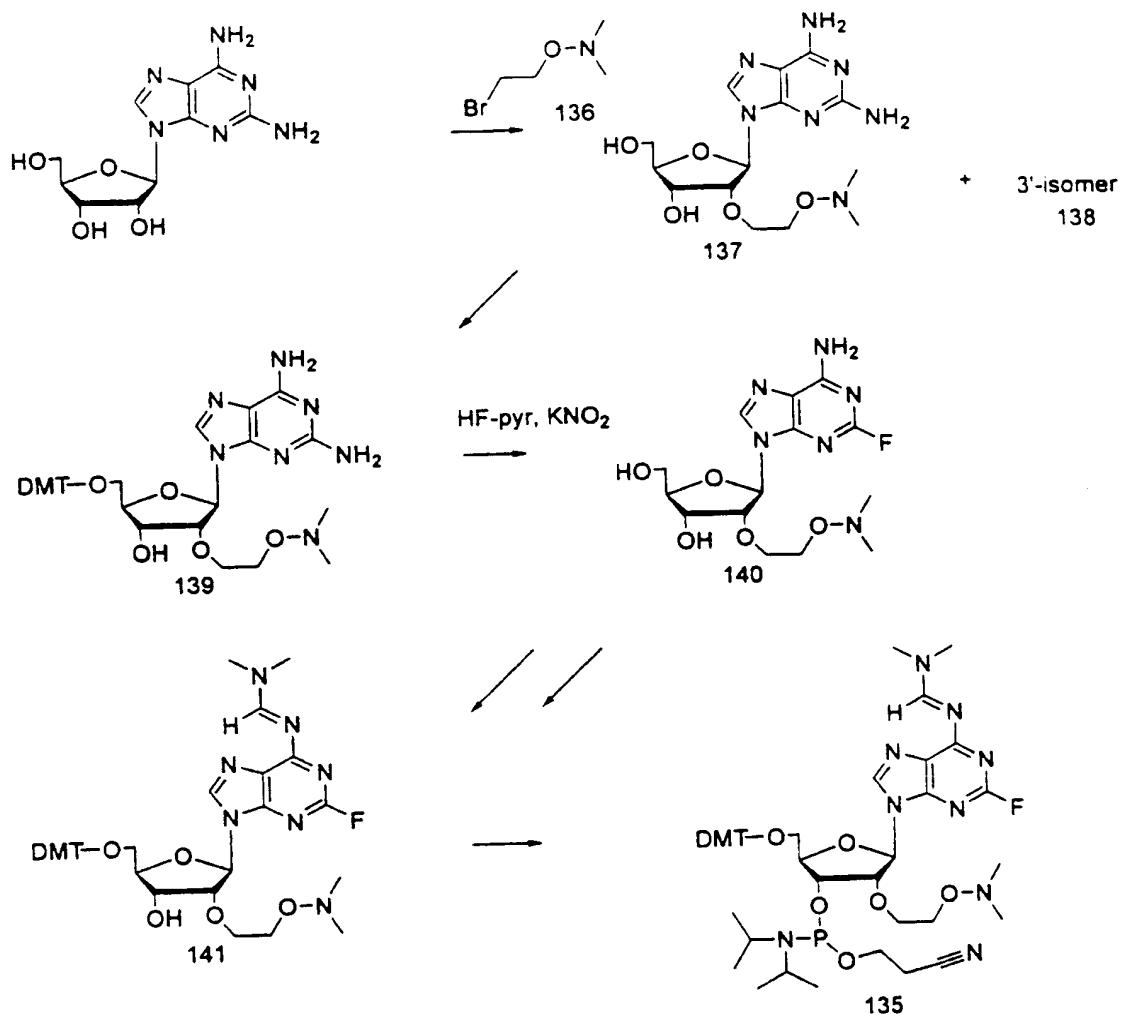


Figure 23

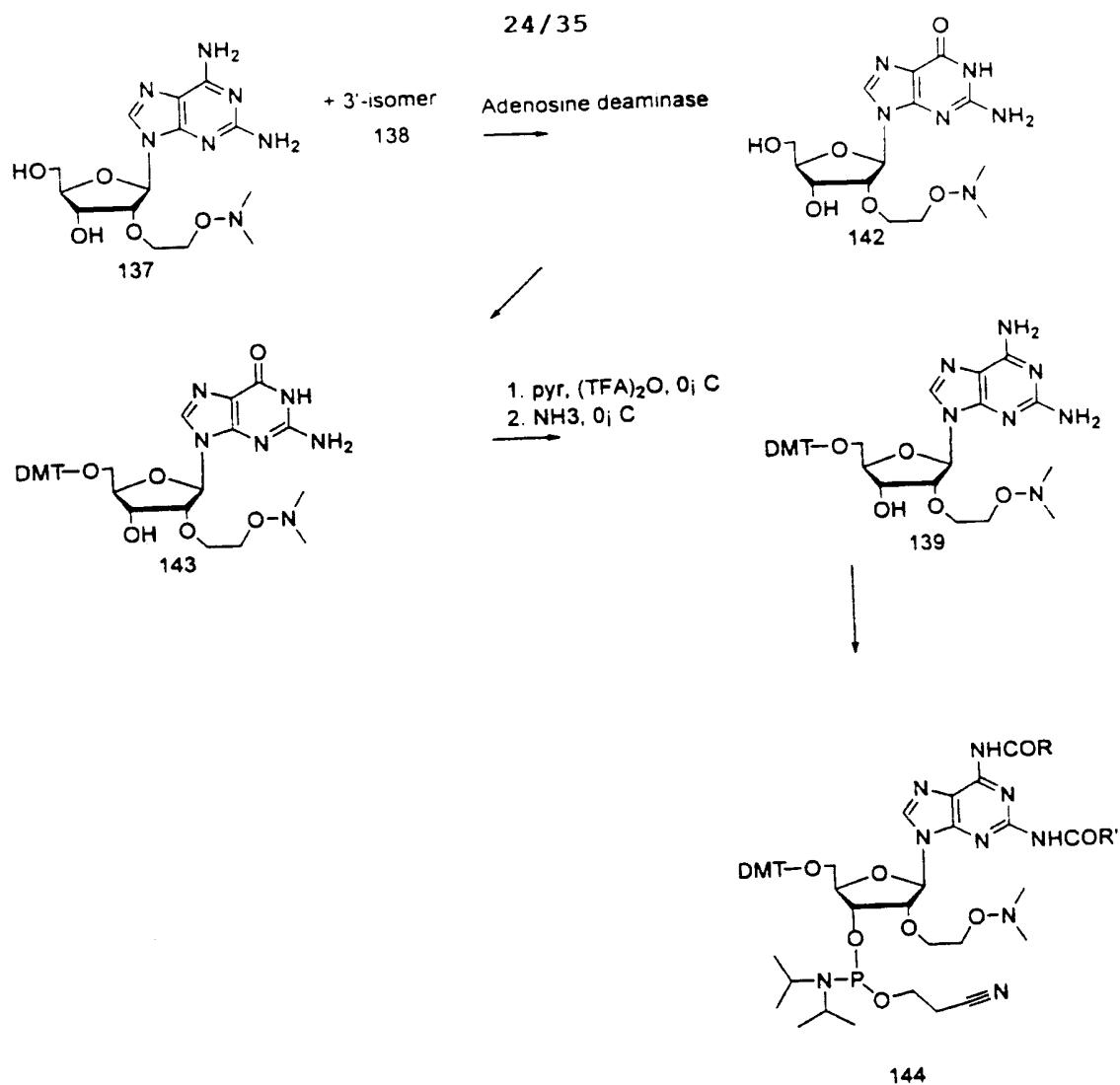


Figure 24

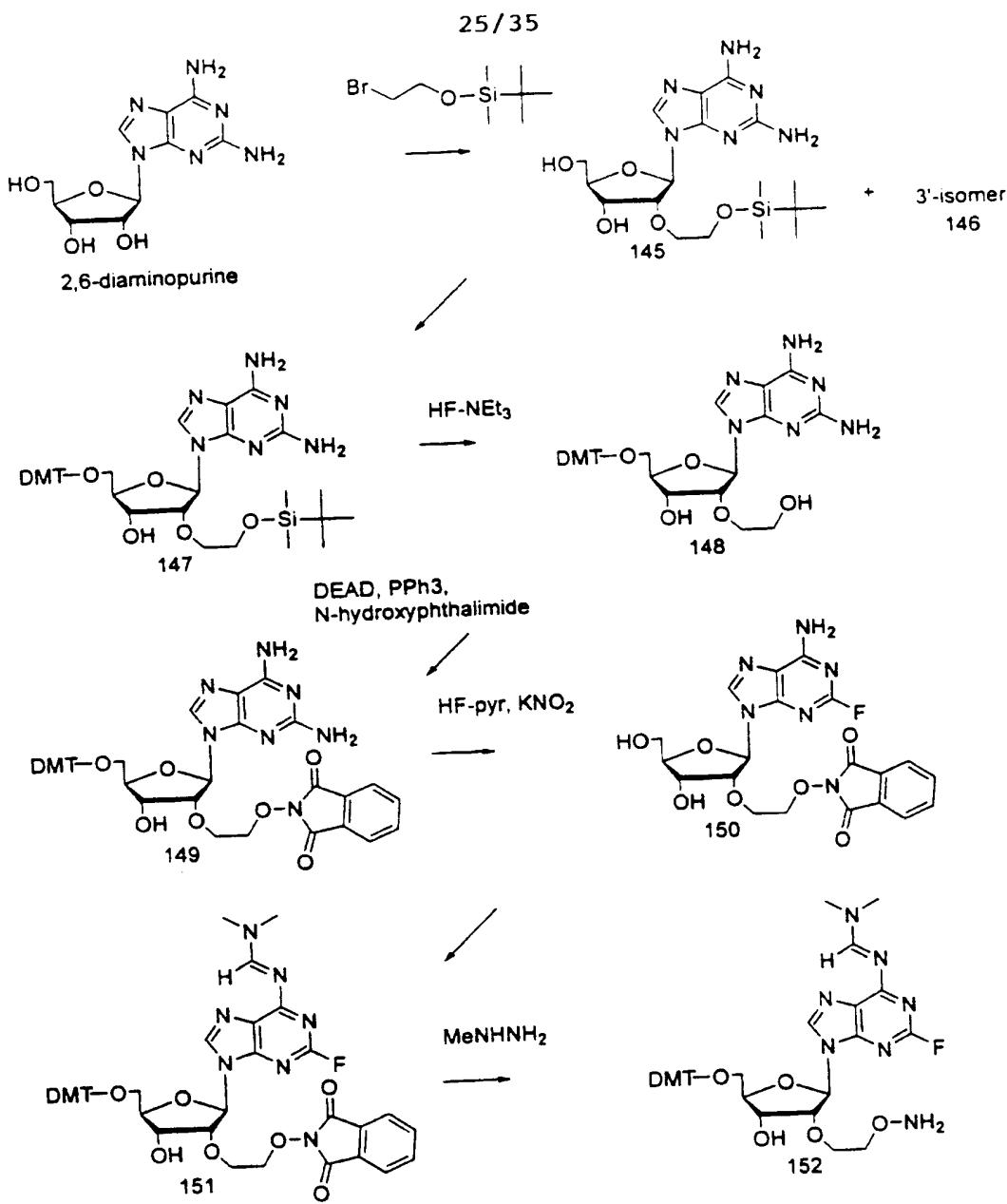


Figure 25

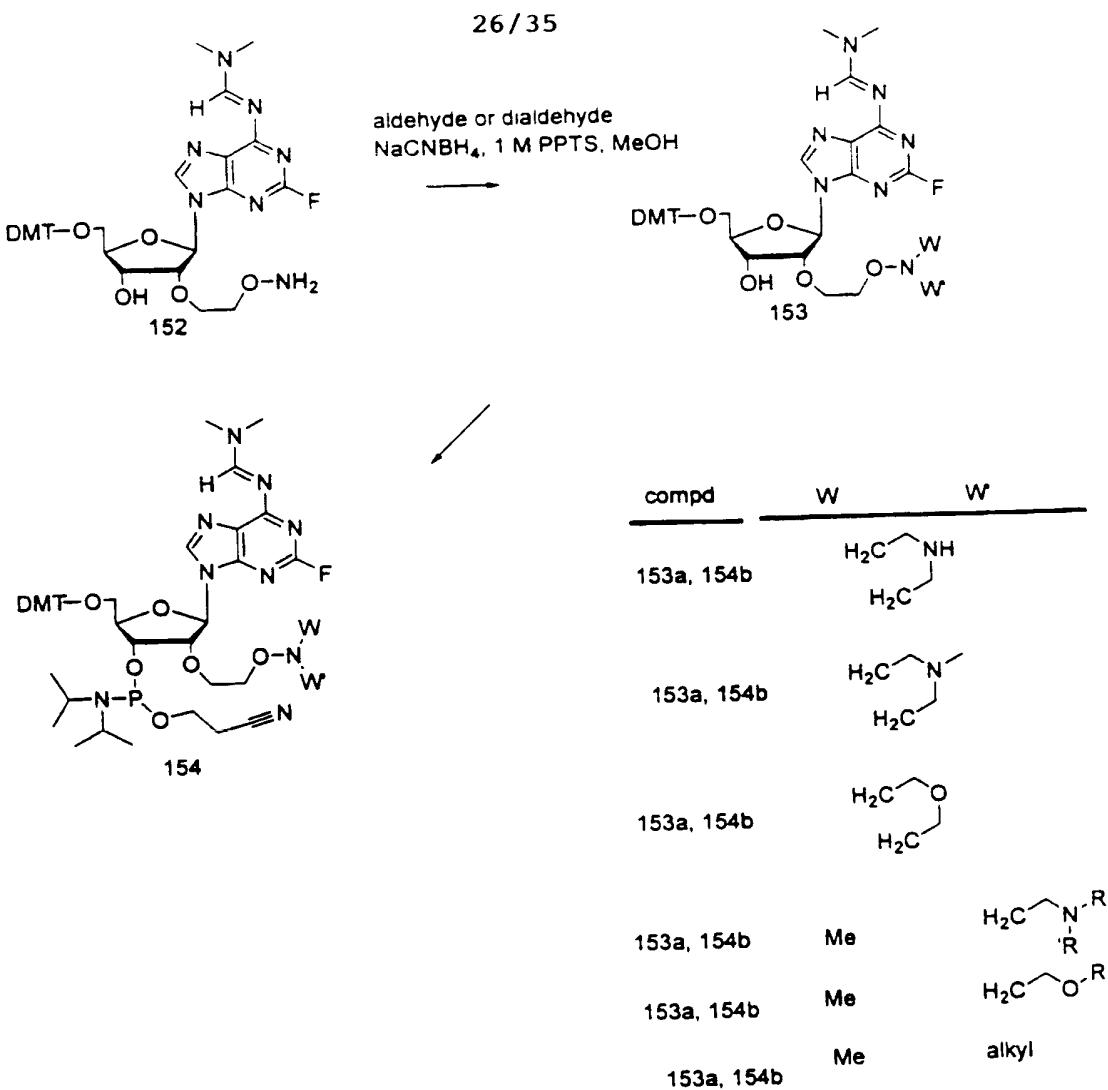


Figure 26

27/35

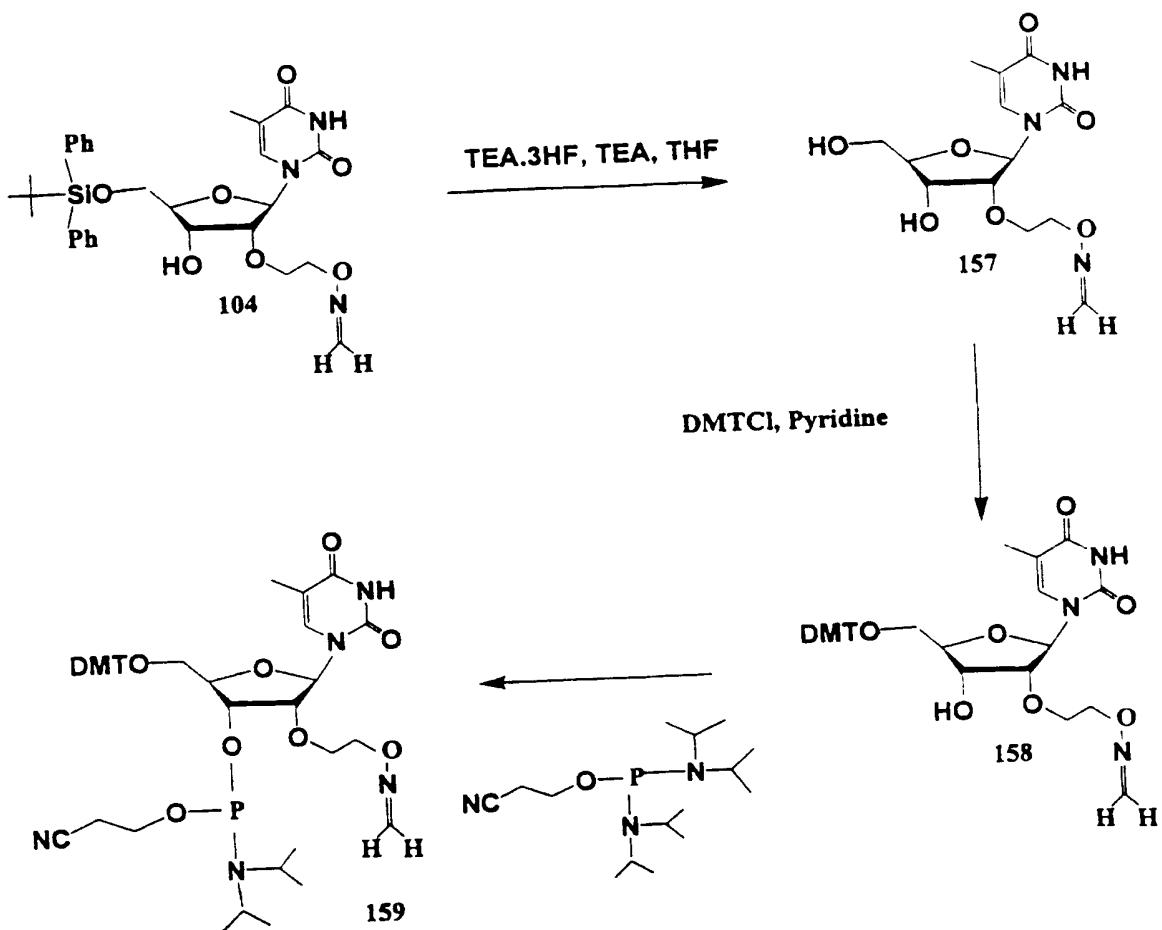


Figure 27

28/35

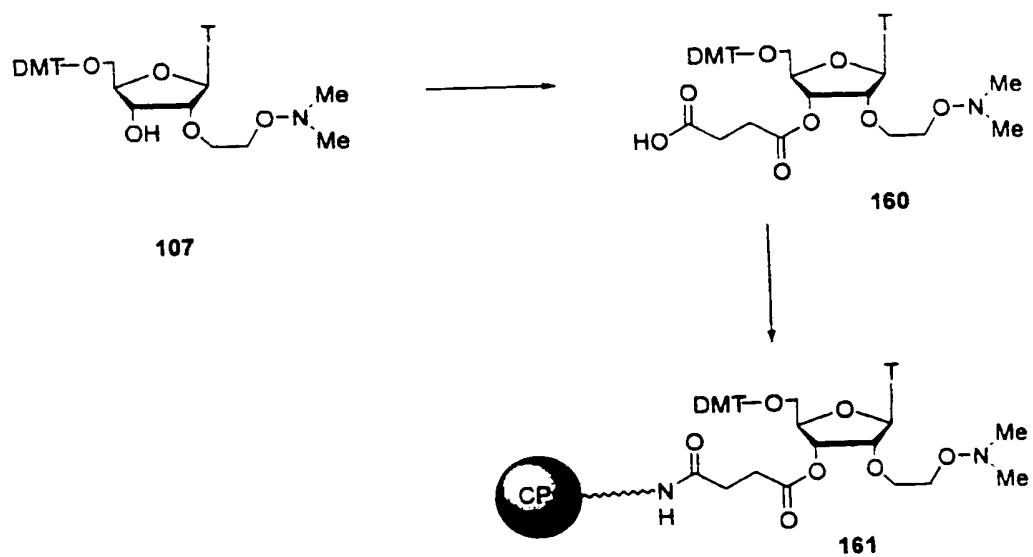
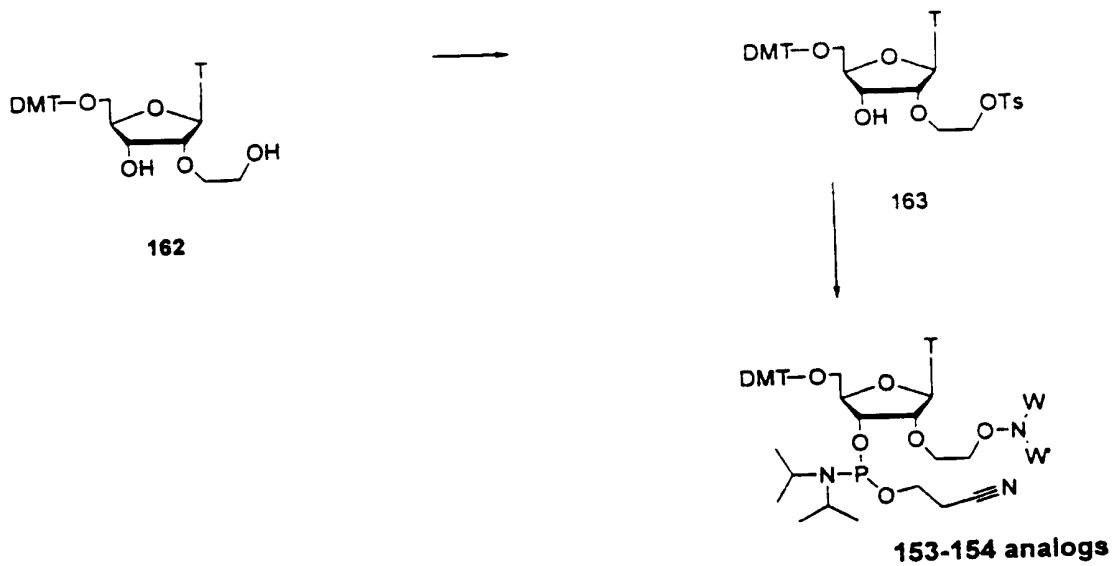


Figure 28



Amino-Oxy Precursors:

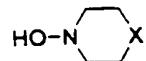
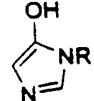
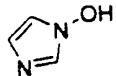
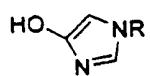
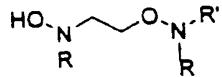
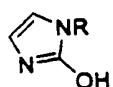
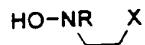
 $\text{X} = \text{NH, O, S, N-alkyl}$ 

Figure 29

30/35

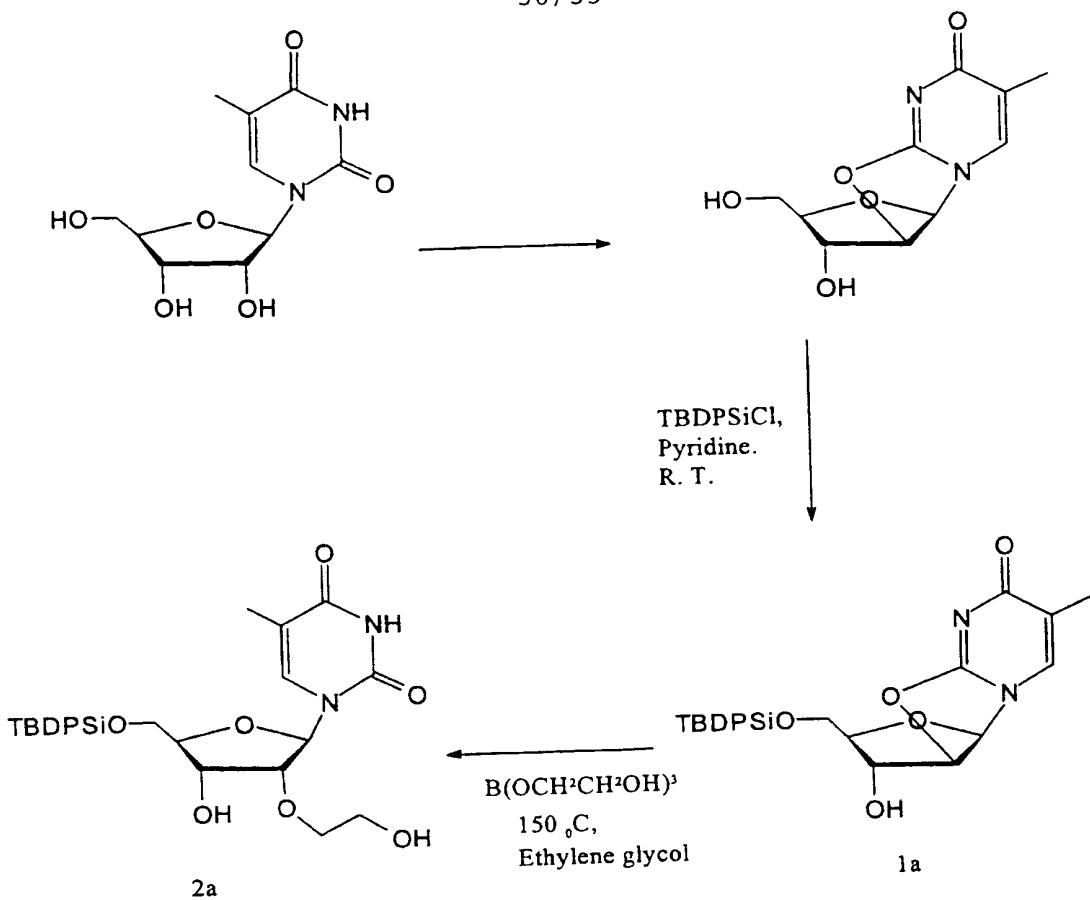


Figure 30

31 / 35

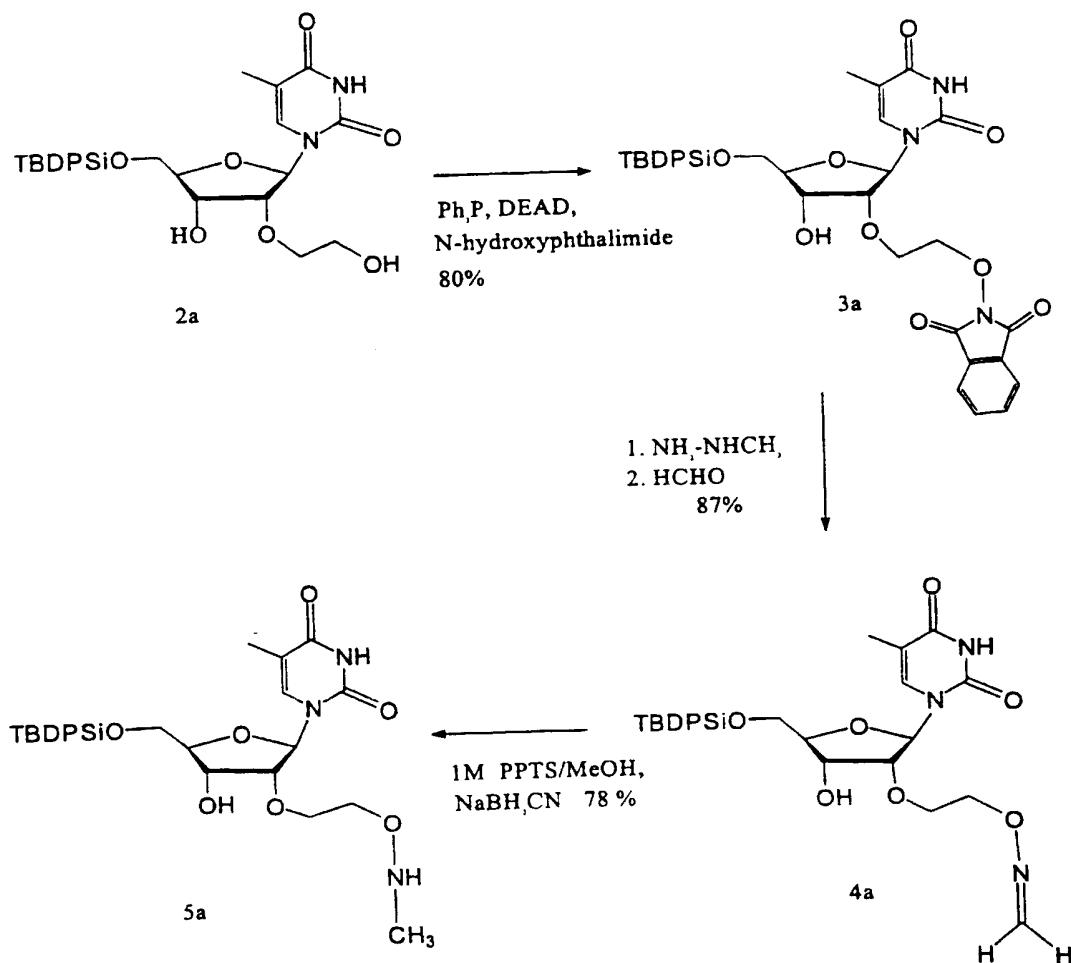
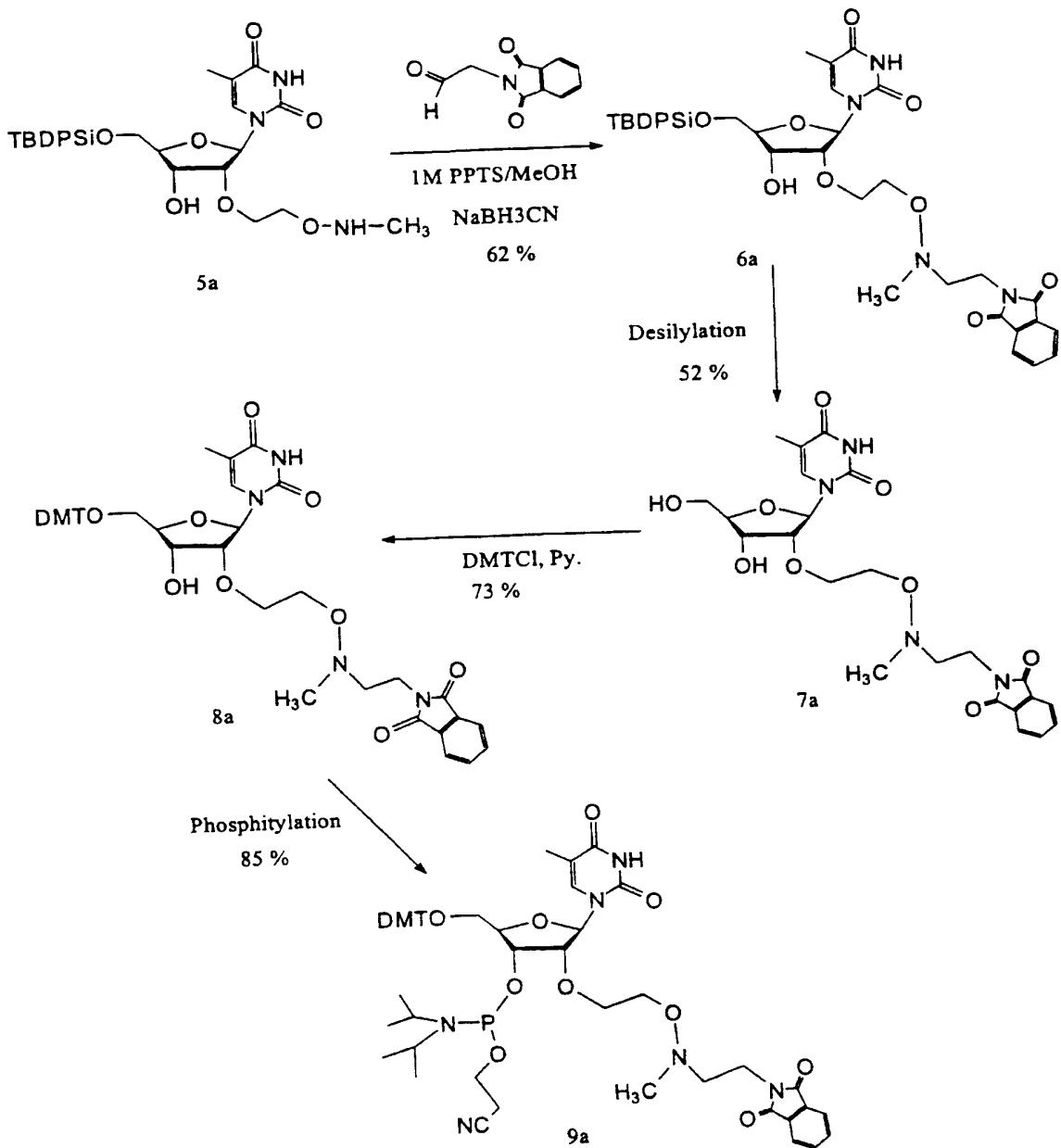


Figure 31

32/35



33 / 35

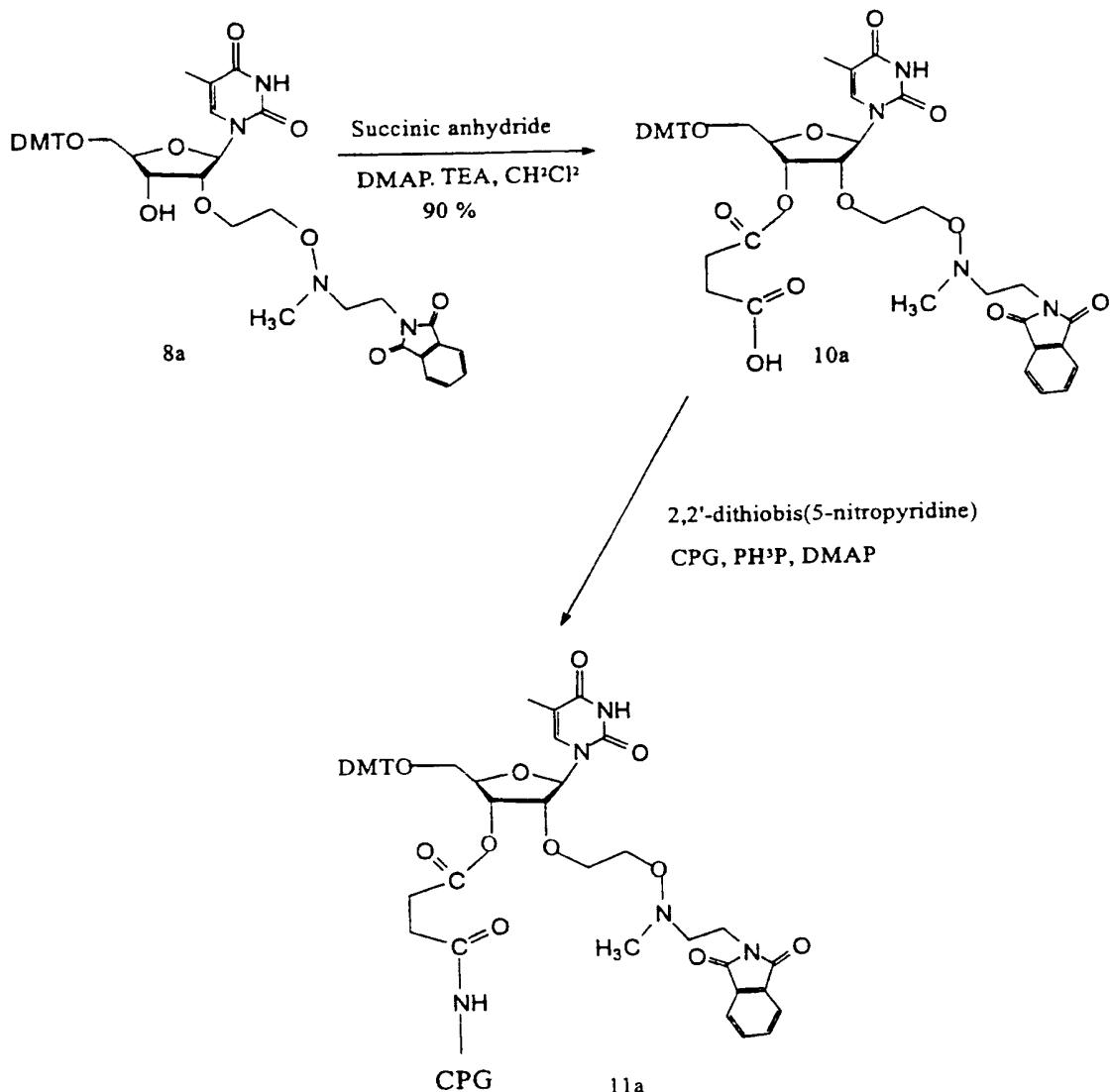


Figure 33

34 / 35

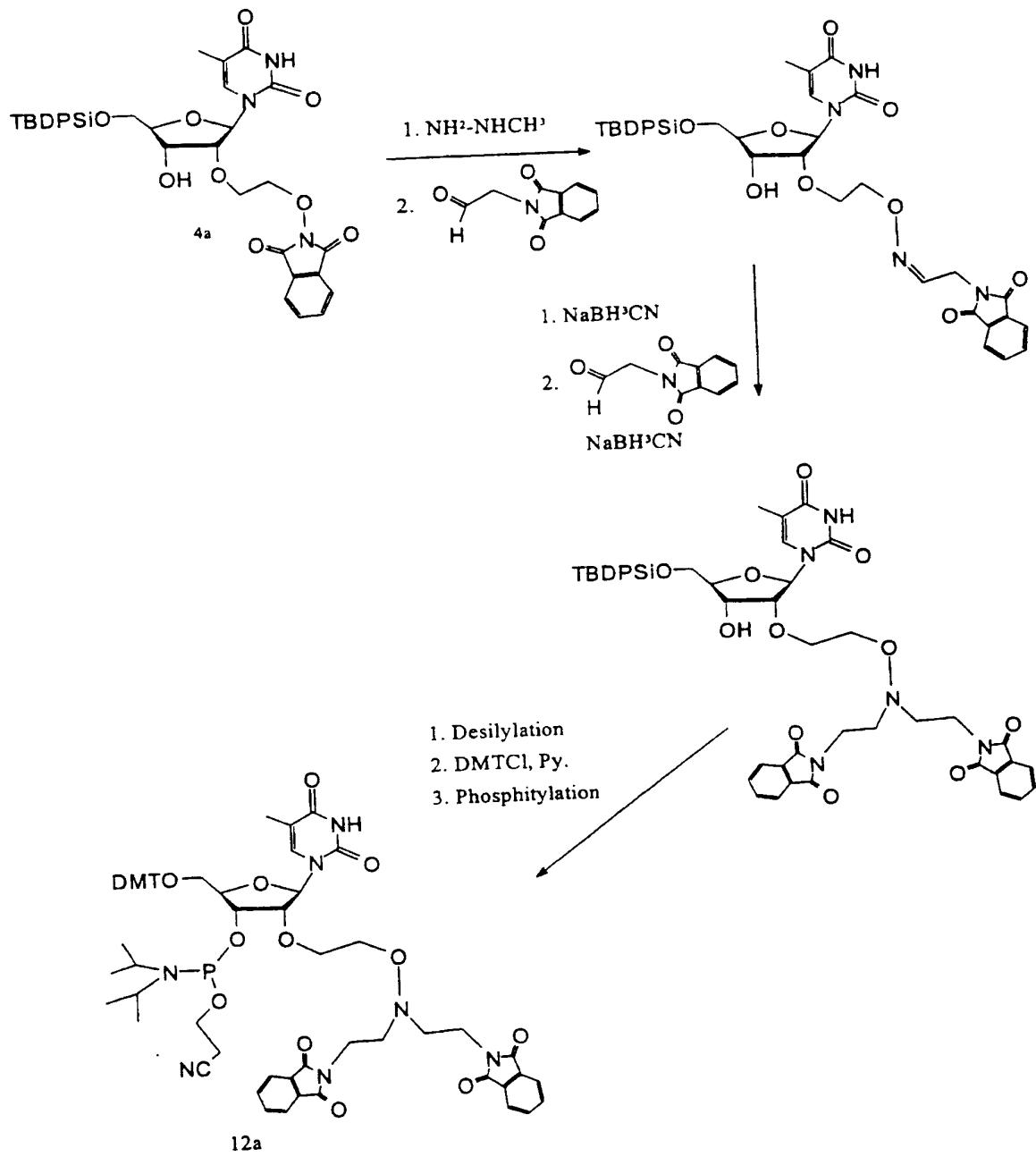


Figure 34

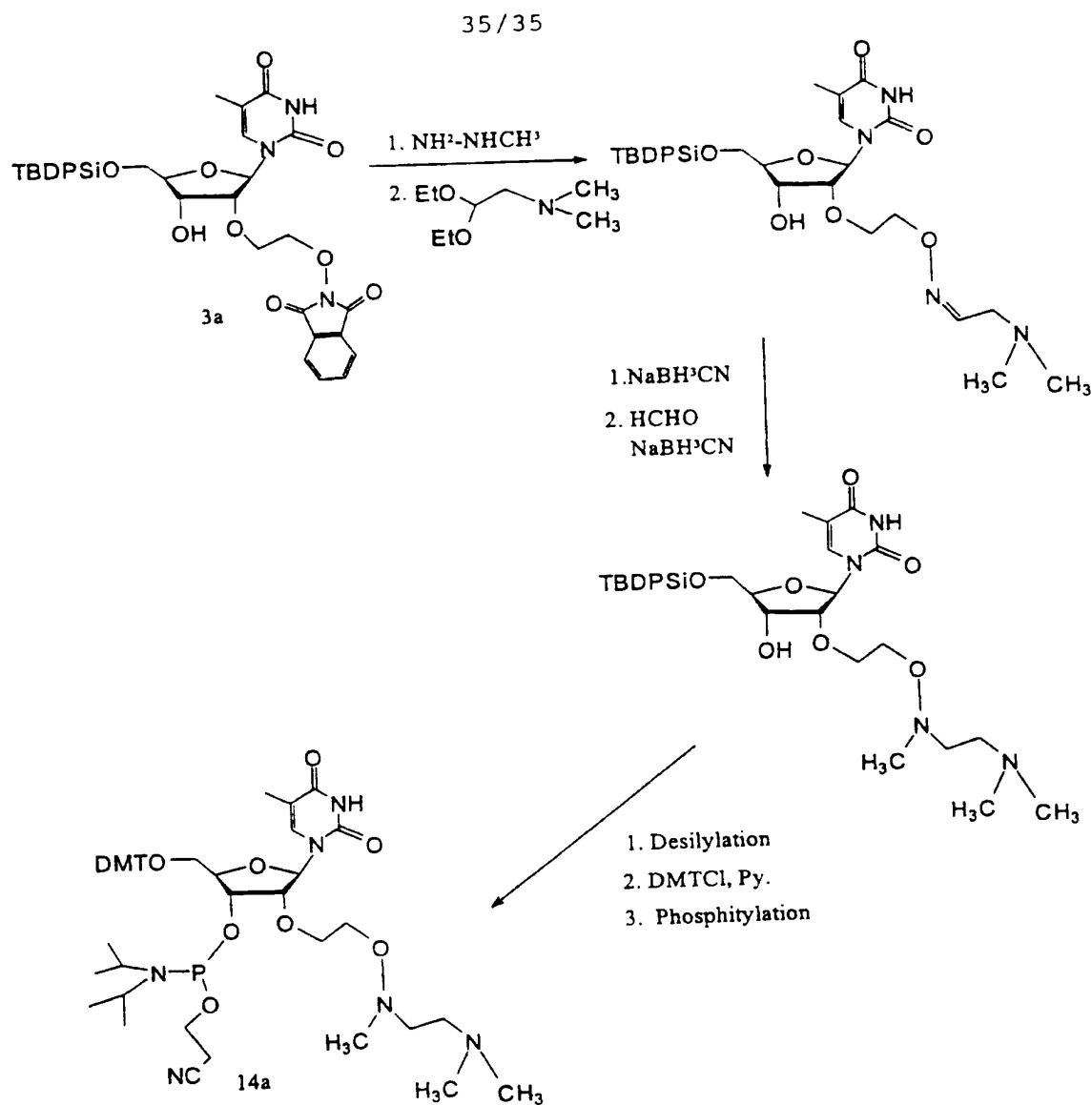


Figure 35

SEQUENCE LISTING

<110> ISIS Pharmaceuticals, Inc. et al.

<120> Aminooxy-Modified Nucleosidic Compounds And Oligomeric Compounds Prepared Therefrom

<130> ISIS3994

<140>

<141>

<150> 09/130,973

<151> 1998-08-07

<160> 21

<170> PatentIn Ver. 2.0

<210> 1

<211> 10

<212> DNA

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: antisense sequence

<400> 1

tttttttttt

10

<210> 2

<211> 25

<212> DNA

<213> Artificial Sequence

<220>

<223> Description of Artificial Sequence: antisense sequence

<400> 2

tgcateccccc aggccaccaat ttttt

25

<210> 3

<211> 16

<212> DNA

<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 3
gcgtttttt tttgcg

16

<210> 4
<211> 19
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 4
cgcaaaaaaa aaaaaaacgc

19

<210> 5
<211> 18
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 5
ctcgtacctt tccggtcc

18

<210> 6
<211> 18
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 6
ctcgtactt tccggtcc

18

<210> 7
<211> 18
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 7
ctcgtaaccat tccgggtcc

18

<210> 8
<211> 17
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 8
ggaccggaag gtacgag

17

<210> 9
<211> 21
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 9
accgaggatc atgtcgtagc c

21

<210> 10
<211> 29
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 10
acattatgct agcttttga gtaaaacttg

29

<210> 11
<211> 29
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 11
gaqatctgaa gcttctggat ggtcagcgc

29

<210> 12
<211> 35
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 12
gagatctgaa gcttgaagac gccaaaaaca taaag

35

<210> 13
<211> 33
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 13
acgcacatctgg cgcgccgata ccgtcgacct cga

33

<210> 14
<211> 18
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 14
tttttttttt tttttttt

18

<210> 15
<211> 16
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 15
tccaggtgtc cgcatc

16

<210> 16
<211> 24
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 16
accgaggagg atcatgtcgt acgc

24

<210> 17
<211> 21
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 17
accgaggaggc atgtcgtacg c

21

<210> 18
<211> 20
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 18
tctgagtagc agaggagctc

20

<210> 19
<211> 19
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 19
ttctcgctgg tgagtttca

19

<210> 20
<211> 20
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 20
ttctcgcccg ctcctccctcc

20

<210> 21
<211> 19
<212> DNA
<213> Artificial Sequence

<220>
<223> Description of Artificial Sequence: antisense
sequence

<400> 21
ttgagtagca gaggagctc

19

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US99/17988

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :C07H 21/00, 21/02, 21/04, 19/06, 19/16, 19/10, 19/20

US CL :Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 536/23.1, 24.3, 24.3, 26.7, 26.8, 27.6, 27.8, 27.81, 28.53, 28.55

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NONEElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)
File CAPlus Structure search.

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5,596,086 A (MATTEUCCI et al.) 21 January 1997, see entire document.	1-89
A	US 5,578,718 A (COOK et al.) 26 November 1996, see entire document.	1-89
A	US 5,264,562 A (MATTEUCCI) 23 November 1993, see entire document.	1-89
A	US 5,223,618 A (COOK et al.) 29 June 1993, see entire document.	1-89
A	US 5,571,902 A (RAVIKUMAR et al.) 05 November 1996, see entire document.	1-89

Further documents are listed in the continuation of Box C. See patent family annex.

Special categories of cited documents	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"B" earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"A"	document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search Date of mailing of the international search report
21 OCTOBER 1999 05 NOV 1999

Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer LAWRENCE ERIC CRANE Telephone No. (703) 308-1235
-------------------------------------------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US99/17988

A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

536/23.1, 24.3, 24.3, 26.7, 26.8, 27.6, 27.8, 27.81, 28.53, 28.55